





ABA Forum on Construction Law – Division 3 – Design

https://communities.americanbar.org/topics/12711/feed

50-state Survey of Firm Licensure Requirements for Architectural and Engineering Firms

2nd Edition – Published October 2024¹

CO-Editors

Matthew J. Ninneman, Esquire

HALL & EVANS, LLC 1001 17th Street, Suite 300 Denver, Colorado 80202 (303) 628-3416

ninnemanm@hallevans.com

Mark W. Mercante, Esquire

BAKER, DONELSON, BEARMAN, CALDWELL & BERKOWITZ, P.C. 3 Sanctuary Blvd., Suite 201 Mandeville, Louisiana 70471 (985) 819-8410

mmercante@bakerdonelson.com

Daniel P. Valentine, Esquire, P.E.

SIMPSON GUMPERTZ & HEGER, INC. 480 Totten Pond Road, Waltham, Massachusetts 02451 (781) 907-9295

dvalentine@sgh.com

Jennifer Kanady

FAC SERVICES, LLC 800 University Bay Dr., Ste. 200 Madison, Wisconsin 53705 (608) 231-2020 ikanady@facfin.com

Division 3 Chair

Kevin R. Garrison, Esquire Baker, Donelson, Bearman, Caldwell & Berkowitz, P.C. 1901 Sixth Ave. N, Suite 2600, Birmingham, Alabama 35203 (205) 250-8333

kgarrison@bakerdonelson.com

© American Bar Association 2024

This 50-State Survey is intended to provide general information concerning stamping and sealing regulations applicable to architects, engineers and land surveyors, current as of the date of this Edition. Several states require similar obligations for other licensed professions engaged in construction and design related services. This survey is not intended to and does not offer or constitute legal advice, nor is it inclusive of all stamping and sealing obligations applicable to professionals or trades. This information is not intended to create, and receipt of it does not constitute, a lawyer-client relationship. Readers should not act upon this information without seeking professional counsel, and any use of the information contained herein shall be at the user's own risk. Practitioners should consult the respective state statutes and professional regulations to ensure compliance with applicable rules, regulations and laws.

Alabama	Design Professional Regulation	Firm License	Corporate Formation Requirements
Author Kevin R. Garrison, Esq. Katelyn Dodd, Esq. BAKER, DONELSON, BEARMAN, CALDWELL & BERKOWITZ, P.C. 1901 Sixth Avenue North, Ste 2600 Birmingham, Alabama 35203 Office: (205) 250-8333 Cell: (205) 901-3195 kgarrison@bakerdonelson.com kdodd@bakerdonelson.com	Architecture Alabama Board of Architects www.boa.alabama.gov/ Licensing Statute – Ala. Code § 34-2-30, et seq. Licensing Rules – https://boa.alabama.gov/wp- content/uploads/2024/01/HandbookJ an24wupdatedcover.pdf	Corporations, professional corporations, professional associations, partnerships, or limited liability companies may practice architecture by meeting the following requirements and obtaining a Certificate of Authorization (COA): (a) 2/3 or more of its officers, partners, directors, members, or others (depending on the legal structure of the entity) are registered/licensed architects and/or licensed professional engineers in any US jurisdiction and are also voting stockholders of the entity; and (b) at least one of the stockholding officers, directors, or members must be an architect licensed in Alabama who has authority to contractually bind the entity and whose name and seal shall appear on all documents prepared under his/her responsible control.	There are no specific requirements in Alabama for the corporate formation of an architectural firm, although there are ownership requirements in order to obtain a COA. The statute and regulations expressly permit corporations, professional corporations, professional associations, partnerships, and limited liability companies to obtain COAs. Along with any other licensed profession, architects may organize their business pursuant to Alabama's Professional Corporations Law. Ala. Code § 10A-4-1.01, et seq. The primary distinction of this law is that ownership is limited to qualified persons (e.g., individuals licensed as architects).
	Engineering Alabama Board of Licensure for Professional Engineers and Professional Land Surveyors http://www.bels.alabama.gov Statute & Regulations: https://bels.alabama.gov/wp-content/uploads/2023/05/2023-LawandCode.pdf	Corporations, firms, partnerships, and LLCs, that perform or offer to perform engineering and/or land surveying work are required to have a Certificate of Authorization ("CA"). At least one of the principal officers, or partners, of a business entity seeking a CA must be licensed as a PE. If an individual practices under his or her own given name (i.e., John Doe, PE), they do not	There are no specific requirements in Alabama for the corporate formation of an engineering firm. Although only corporations, firms and partnerships are the only business entities listed by name in the regulations and statute, engineering firms are permitted to practice under all types of business entities authorized by law to conduct business in Alabama.

Alabama	Design Professional Regulation	Firm License	Corporate Formation Requirements
	Licensing Statutes –	need a CA. If they practice as John Doe	Along with any other licensed profession, engineers
	Code of Alabama, Title 34, Chapter 11	Engineering, they are required to have a CA.	may organize their business pursuant to Alabama's
	Ala. Code § 34-11-1, et seq.	An organization or individual operating under	Professional Corporations Law. Ala. Code § 10A-4-
		a fictitious name must obtain a CA.	1.01, et seq. The primary distinction of this law is
	Licensing Regulations –		that ownership is limited to qualified persons (e.g.,
	Alabama Administrative Code	In order to obtain a CA, the business entity	individuals licensed as professional engineers).
	Chapter 330 Ala. Admin. Code r. 330-x-	must file an application, pay a fee, and	
	1, et seq.	designate a resident licensed PE or land	
		surveyor in responsible charge of the	
		engineering or land surveying work for each	
		separate office or branch office. The names	
		and addresses of all officers, partners, board	
		members and resident licensees must be	
		provided to the board.	

Alaska	Design Professional Regulation	Firm License	Corporate Formation Requirements
Authors Douglas S. Oles, Esq. Joseph M. Haughney, Esq. Smith Currie & Hancock, LLP 600 University Street, Ste. 1800 Seattle, WA 98101 (206) 623-3427 doles@smithcurrie.co m jmhaughney@oles.com	Architecture and Engineering Alaska State Board of Registration for Architects, Engineers, and Land Surveyors http://www.commerce.alaska.gov/dnn/cbpl/ProfessionalLicensing/BoardofArchitect sEngineersandLandSurveyors.aspx Licensing Statute – AS ch. 08.48 Licensing Regulations – 12 AAC ch. 36 Professional Corporation Act - AS ch. 10.45	All business entities offering architectural, engineering, land surveying, or landscape architectural services must obtain firm licensure, which is obtained by submitting to the Board an application for a certificate of authorization, a certified copy of resolution, an affidavit and designation of persons "who are in responsible charge" of the architectural activities in the corporation—to practice architecture or engineering in Alaska. AS §08.48.241(a). For an architecture or engineering firm to obtain a certificate of authorization, it must assign all architectural or engineering decisions to an architect or engineer in responsible charge (or a registered architect or engineer under their direct supervision); specify the major branches of architecture or engineering of which the firm has designated a person in responsible charge; state the type of work practiced by the firm; indicate an ability to provide architectural or engineering services; state the professional records of the designated person who is in responsible charge of each major branch of architectural or engineering activities; state the experience, if any, of the firm during the preceding five-year period; specify the major	If a corporation, LLC, or LLP is organized solely by a group of architects or engineers each holding a certificate of registration and applies for a certificate of authorization, the board may grant a certificate based on review of the professional records of the organizers forming the firm in place of the required qualifications of AS §08.48.241. AS §08.48.241(e). For architecture or engineering firms organized as a partnership (not including LLPs), all of the members of the partnership must be legally registered architects or engineers under AS ch. 08.48. AS § 08.48.251. While it is not required that a firm operate as a professional corporation in order to practice architecture or engineering in Alaska, there are corporate governance requirements for those that choose to do so. All of the incorporators, shareholders, and directors must be licensed to render the same professional service as the corporation or company. AS §10.45.010. The articles of incorporation must meet the requirement of the Alaska Corporation Code AS ch. 10.06, and must also include the name of the profession to be practiced by the company, the names of all original shareholders, directors, and officers, and the corporation's address. AS §10.45.010 (a)-(b).

Alaska	Design Professional Regulation	Firm License	Corporate Formation Requirements
		branches of architecture or engineering AS	
		§08.28.241(b)-(d). A certificate of	
		authorization will be issued to an applicant	
		that meets the qualifications in AS	
		§08.48.241 and submits to the additional	
		requirements of 12 AAC 36.135.	

Arizona	Design Professional Regulation	Firm License	Corporate Formation Requirements
Authors	Architecture and Engineering	No firm shall engage in the practice of	There are no restrictions concerning the type of
		architecture, assaying, geology,	organizational entity that must be used for
Barry Willits, Esq.	Architects, engineers, assayers,	engineering, landscape architecture	purposes of registering a design firm. The
Nelson Mixon, Esq.	home inspectors, geologists,	or land surveying unless the firm is	definition of a "firm" contemplates a wide range
HOLDEN WILLITS PLC	landscape architects, surveyors,	registered with the BTR and the	of organizational structures, including
Two North Central	alarms, certified remediation	professional services are conducted	partnerships, corporations and any "other type of
Avenue, Suite 2000	specialists, and clandestine drug	under the full authority and	association, including the association of a non-
Phoenix, AZ 85004	laboratory site remediation on- site	responsible charge of a principal of	registrant and a registrant who offers to the
(602) 508-6210	workers and on-site supervisors	the firm, who is also a registrant.	public professional services regulated by the
<u>bwillits@holdenwillits.com</u>			Board." This includes, among other things,
nmixon@holdenwillits.com	Arizona Board of Technical	A "firm" means any individual or	professional and general corporations,
	Registration ("BTR")	partnership, corporation or other	professional and general limited liability
	https://btr.az.gov	type of association, including the	companies, partnerships, joint ventures and sole
		association of a non-registrant and a	proprietorships.
	Licensing Statute – A.R.S. § 31- 101	registrant who offers to the public	
	through § 32-150	professional services regulated by the	Regardless of the organizational entity adopted, a
		BTR.	registered design professional is responsible and
	Licensing Regulations – Arizona		personally liable for all documents the registrant
	Administrative Code ("AAC") at R4-	Firms must list a description of the	signs, stamps or seals. A.R.S. § 32-125(E); North
	30-1-1 through R4-30-307	services the firm is offering to the	Peak Constr., LLC v. Architecture Plus, Ltd., 227
		public. It must also identify a	Ariz. 165, 254 P.3d 404 (App. 2011).
		registrant in each category of services	Shareholders of professional corporations and
		the firm offers. This registrant must	members of professional limited liability
		be a principal of the firm.	companies are also personally liable for any
			negligent or wrongful acts, omissions or
		A "principal" is an individual who is	misconduct they commit or that are committed
		either (1) an officer of the	by any person under their direct supervision and

Arizona	Design Professional Regulation	Firm License	Corporate Formation Requirements
		corporation, or (2) is designated by a	control. A.R.S. §§ 10-2234 and 29-846.
		firm as having full authority and	
		responsible charge of the services	A professional corporation may but is not
		offered by the firm.	required
			to be formed for the purpose of rendering
			professional services under A.R.S. § 10-2201, et
		If a firm has more than one branch	seq. A professional
		office in	corporation may be a general partner of a
		Arizona, each branch must file a	partnership, a trustee of a trust, a co-venturer of
		registration application with the BTR.	a joint venture or a manager of a limited liability
		Each branch must also designate a	company or any other entity, only if the
		registrant in charge of the	partnership, trust, joint venture, limited liability
		professional services of that office.	company or other entity is engaged solely in
		The designated registrant for a	rendering professional services or in carrying on
		branch office does not need to be a	business authorized by the professional
		principal of the firm. Designated	corporation's articles of incorporation.
		registrants must notify the BTR if their	
		status as a designated registrant	A domestic or foreign professional corporation
		changes or if they are no longer	may render professional services only through
		employed by the firm.	individuals licensed in Arizona to render the
			services. A professional corporation may not
		Only one principal registrant (for firm	render any professional service or engage in any
		registration) or designated registrant	business other than the professional service,
		(for branch registration) is allowed for	including services ancillary to the professional
		each category of service offered to	service, and the business authorized by its articles
		the public. Categories include	of incorporation, except to the extent that the
		architecture, assaying, certified	other service or business is incidental to or an
		remediation specialist, geology, home	inconsequential portion of the authorized service

Arizona	Design Professional Regulation	Firm License	Corporate Formation Requirements
		inspection, landscape architecture	or business of that corporation.
		and surveying. Engineering firms may	
		list an engineer as a responsible	At least one-half of the directors and the
		registrant in each branch of	president, if any, must be licensed, in Arizona or
		engineering services offered. The BTR	in another state, to render a professional service
		currently recognizes seventeen	described in the corporation's articles of
		branches of engineering. R4-30-221.	incorporation.
		A registrant who is designated as a	Voting shares for a professional corporation may
		responsible registrant is responsible	only be issued to (1) individuals licensed in
		for the firm or corporation. The BTR	Arizona or another state; (2) general partnerships
		may impose disciplinary action on the	registered limited liability
		responsible registrant for any	partnerships, other partnerships and joint
		violation of statutes or rules that is	ventures in which at least one partner is licensed
		committed by a non-registrant	in Arizona; (3) professional corporations,
		employee, firm, or corporation. R4-	professional limited liability companies and other
		30-301(20).	persons licensed in Arizona; (4) other persons as
			long as such other persons in the aggregate do
		Firms must apply for registration	not hold more than 49% of the voting shares; and
		annually. All registrations expire one	(5) an employee stock ownership plan if all of the
		year from the date of issuance and	voting trustees of the plan are licensed in Arizona
		must be renewed within thirty days of	and the ownership interests are not directly
		the anniversary date of the original	issued to persons other than the employee stock
		registration, with some exceptions for	ownership plan trust or licensed professionals.
		military personnel as set forth in	
		A.R.S. § 32-4301.	A professional limited liability company may be
			formed for the purpose of rendering professional
		Applications can be downloaded from	services under A.R.S. § 29-841, et seq.

Arizona	Design Professional Regulation	Firm License	Corporate Formation Requirements
		the BTR's website and hand-delivered	Professional limited liability companies may only
		or mailed to the BTR. Each	render services through members, managers,
		application for firm or branch	officers, agents and employees who are
		registration must be accompanied by	themselves licensed in Arizona.
		a nominal fee. Any changes to the	
		firm's principals, designated	Membership interests are limited to (1)
		registrants, services offered, or	individuals licensed in Arizona or another state;
		address must be reported within	(2) general partnerships registered limited
		thirty days.	liability partnerships, other partnerships and joint
			ventures in which at least one partner is licensed
		Failure of a firm or branch to properly	in Arizona; (3) professional corporations,
		register with the BTR may result in	professional limited
		disciplinary procedures for practicing	liability companies and other persons licensed in
		without being registered. Possible	Arizona; and (4) other persons as long as such
		discipline includes the cancellation of	other persons in the aggregate do not hold more
		the firm's or branch's authority to	than 49% of the membership interests entitled to
		offer professional services in Arizona.	vote for the election of officers and managers.

Arkansas	Design Professional Regulation	Firm License	Corporate Formation Requirements
Author Patrick D. Wilson, Esq. WRIGHT LINDSEY & JENNINGS, LLP 200 West Capitol Avenue, Suite 2300 Little Rock, AR 72201 (501) 371-0808 pwilson@wlj.com djones@wlj.com	Architecture Arkansas State Board of Architects, Landscape Architects, and Interior Designers http://asbalaid.arkansas.gov/Pages/default.asp Licensing Statute – Ark. Code Ann. § 17-15-301, et seq. Licensing Rules – http://asbalaid.arkansas.gov/rulesRegs/Pages/default.aspx	Any corporation, professional corporation, and/or any partnership may not offer to engage in the practice of architecture until the corporation or partnership has obtained a certificate of authorization (license). A certificate of authorization is issued by the Board after it receives an application, a payment of a fee, and a copy of the corporation's articles of incorporation (corporations only).	A partnership or corporation may be admitted to practice architecture in Arkansas if: (1) 2/3 of partners (partnership) or 2/3 of the directors (corporation) are registered under the laws of any state to practice architecture or engineering; and (2) the person having the practice in his charge is a partner or director and is registered to practice architecture in Arkansas.
	Engineering Arkansas State Board of Licensure for Professional Engineers and Professional Surveyors http://www.pels.arkansas.gov/Pages/default.aspx Licensing Statutes — Ark. Code Ann. § 17-30-301, et seq. Licensing Rules of the Board — http://www.pels.arkansas.gov/rulesRegsStandards/Pages/default.aspx	The practice of engineering by individuals licensed through any form of business entity (including partnerships, corporations, organizations, associations, etc.) is permitted if: (1) The professional engineers of the firm are licensed; and (2) The firm has been issued a certificate of authorization (license) by the Board. A certificate of authorization is issued with the Board's approval provided the firm files an application, provides all information required including the names and addresses of individuals licensed to practice in the state who will be in charge of the firm's practice of engineering, and provides a fee.	The Secretary of State shall not issue a certificate of incorporation to an applicant or a registration as a foreign firm to a firm that includes among the objectives for which it is established any of the words "engineer", "engineering", or any modification or derivation thereof unless the board of licensure for this profession has issued for the applicant a certificate of authorization or a letter indicating the eligibility of the applicant to receive such a certificate.

California	Design Professional Regulation	Firm License	Corporate Formation Requirements
Author Ben Patrick, Esq. Matthew D. Peng, Esq. GORDON & REES SCULLY MANSUKHANI 275 Battery Street, Suite 2000 San Francisco, CA 94111 bpatrick@grsm.com mpeng@grsm.com	Architecture Regulating Agencies California Architects Board http://www.cab.ca.gov Division of State Architect (DSA) http://www.dgs.ca.gov/dsa/home.aspx • Regulates design and construction of public schools Office of Statewide Health Planning and Development (OSHPD) http://www.oshpd.ca.gov • Regulates design and construction of hospitals Relevant Statutes Architects Practice Act (APA) CA Business and Professions Code (CA B&P) §§ 5500 et seg. Licensing Statute CA B&P §§ 5550 – 5558 Licensing Regulations California Code of Regulations, Title 16, Div. 2, §§ 100 – 160	The right to practice architecture is an individual right based on an individual's ability to take and pass the required examinations, meet the minimum experience criteria, and to follow the professional rules of conduct. Therefore, a business entity cannot carry an architectural license. However, once an architect is licensed, he or she must maintain a file with the Board which includes information on the business entity through which he or she provides architectural services (CA B&P § 5558) Requirements for Most Business Entities Licensed architects are not prohibited from forming the following business entities with persons who are not architects, provided that any architects' professional services are provided under the responsible control of a licensed architect (CA B&P § 5535.2): 1. Sole Proprietorship (Individual) 2. Partnership 3. Limited Partnership 4. Limited Liability Partnership 5. Limited Liability Company 6. General Corporation Special Requirements for Professional Architectural Corporations (PAC's)	Naming Restrictions Under the APA, the name of a professional architectural corporation and any names under which it may be rendering professional services shall contain and be restricted to the name or the last name of one or more of the present, prospective, or former shareholders, or of persons who were associated with a predecessor person, partnership, or other organization and whose name or names appeared in the name of the predecessor organization, and shall include either (1) the words "architectural corporation" or (2) the word "architect" or "architects" and wording or abbreviations denoting corporate experience (CA B&P § 5610.3) Licensing Requirements Under the APA, a licensed architect may form a partnership with unlicensed persons, however, the name of the licensed architect shall appear on all instruments of service (CA B&P §5535.2) Under the APA, each director, shareholder and officer of a professional architectural corporation shall be a licensed person as defined in the (PCA), except as provided in PCA § 13403 (CA B&P § 5610.4)

California	Design Professional Regulation	Firm License	Corporate Formation Requirements
	Corporation Regulations Moscone-Knox Professional	In a PAC, each director, shareholder and officer shall be licensed (CA B&P § 5610.4), except where	Exemptions
	Corporation Act (PCA) CA Corp Code §§ 13400 et seq	the PAC has only one shareholder. In that case, that single shareholder must serve as the president and treasurer, and if there are other officers, they need not be licensed (PCA § 13403)	 The APA provides several exemptions from its requirements for the following individuals: Structural Engineer (CA B&P § 5537.1) Licensed Contractor, provided the services are performed by or under the direct supervisions of a person licensed to practice architecture, or a professional or civil engineer (CA B&P § 5537.2) Professional Engineer (CA B&P § 5537.4) Civil Engineer (CA B&P § 5537.5) Landscape Architect (CA B&P § 5537.6) Land Surveyor (CA B&P § 5537.7)
	Engineering Regulating Agencies Board for Professional Engineers and Land Surveyors http://www.pels.ca.gov Division of State Architect (DSA) http://www.dgs.ca.gov/dsa/home.aspx	The right to practice engineering is an individual right based on an individual's ability to take and pass the required examination, meet the minimum experience criteria, and to follow the professional rules of conduct. A licensed architect must maintain a file with the Board which includes information on the business entity through which he or she provides architectural services (CA B&P § 5558)	Naming Restrictions If the business name contains the name of any person, that person shall be licensed as a professional engineer, a licensed land surveyor, a licensed architect, or a registered geologist (CA B&P § 6738(a)(3)) The business name may be a fictitious name, however, if it includes the name of any person, the
	 Regulates design and construction of public schools 	Requirements for Business Entities Licensed engineers are not prohibited from forming business entities with persons who are not engineers, if: (i) a licensed engineer is an owner, partner, or officer in charge of the engineering	requirements of CA B&P § 6738(a)(3) must be satisfied (CA B&P 6738(c)) If the business name is the name of a deceased or retired person, all of the following conditions must

California	Design Professional Regulation	Firm License	Corporate Formation Requirements
	Office of Statewide Health Planning	practice; and (ii) all engineering services are	be satisfied: (1) the person's name had been used
	and Development (OSHPD)	performed by, or under the responsible charge of, a	in the name of the business, or a predecessor in
		licensed professional engineer (CA B&P § 6738(a)).	interest of the business, prior to and after the
	http://www.oshpd.ca.gov	The following business entities are allowed:	death or retirement of the person; (2) the person
			was an owner, partner or officer of the business or
	 Regulates design and 	Sole Proprietorship (Individual)	of the predecessor in interest of the business; (3)
	construction of hospitals	2. Partnership	the person was licensed as a professional engineer
		3. Limited Partnership	land surveyor, architect or geologist; (4) the
	Relevant Statutes	4. Limited Liability Partnership	person, if retired, consents to the use of the name
	Professional Engineers Act (PEA)	5. Limited Liability Company	(CA B&P § 6738(g))
	CA Business and Professions Code (CA	6. General Corporation	
	B&P) §§ 6700 et seg.		Licensing Requirements
			Under the PEA, all business entities providing
	Licensing Statute		engineering services must have: (1) at least one
	CA B&P §§ 6750 – 6766		engineer licensed in CA as an owner, partner, or
			officer in charge of the engineering practice of the
	Licensing Regulations		business; and (2) all civil, mechanical and electrica
	California Code of Regulations, Title 16,		engineering services performed by or under the
	Div. 5, §§ 400 - 476		responsible charge of a professional engineer
			licensed in the appropriate branch of professional
			engineering (CA B&P § 6738(a)(1) and (2))
			Note: An unlicensed person may be the partner o
			officer of a business, provided the requirements of
			CA B&P § 6738(a)(1) and (2) are satisfied.
			Therefore, an unlicensed person may NOT be the
			sole owner of a business
			Exemptions
			The PEA provides several exemptions from its

 Licensed Contractors for electrical of mechanical engineering services, so they are performed by or under rest charge of a licensed electrical or meengineer (CA B&P § 6737.3) Federal Officers and Employees prasolely as officers and employees (CA 6739) Nonresidents, provided they meet a following conditions: (1) nonresider (2) legally qualified in another state 	California	Design Professional Regulation	Firm License	Corporate Formation Requirements
	California	Design Professional Regulation	Firm License	requirements, including the following: • Licensed Architects (CA B&P § 6737) • Licensed Contractors for electrical or mechanical engineering services, so long as they are performed by or under responsible charge of a licensed electrical or mechanical engineer (CA B&P § 6737.3) • Federal Officers and Employees practicing solely as officers and employees (CA B&P §

Colorado	Design Professional Regulation	Firm License	Corporate Formation Requirements
Authors Matthew J. Ninneman, Esq. Hall & Evans, LLC 1001 17 th Street, Suite 300 Denver, Colorado (303) 572-3100 Ninnemanm@hallevans.com	Architecture Colorado State Board of Licensure for Architects, Professional Engineers and Professional Land Surveyors https://dpo.colorado.gov/AES Colorado's Architect Practice Act https://dpo.colorado.gov/AES/Laws Licensing Statute - Colo. R. Stat. §12-120-401 et seq. Licensing Regulations — Code of Colorado Regulations 4 CCR 730-1 — Bylaws and Rules of the State Board of Licensure for Architects, Professional Engineers, and Professional Land Surveyors	According to Colorado Revised Statutes (CRS) § 12-120-404(2), the practice of architecture by the following entities is permitted, subject to subsection (3) of that section: (a) A corporation that complies with the "Colorado Business Corporation Act", articles 101 to 117 of title 7, C.R.S.; (b) A limited liability company that complies with the "Colorado Limited Liability Company Act", article 80 of title 7, C.R.S.; (c) A registered limited liability partnership that has registered in accordance with section 7-60-144, C.R.S., or qualified in accordance with section 7-64-1002, C.R.S. According to C.R.S. § 12-120-404(1), no firm, partnership, entity, or group of persons shall be licensed to practice architecture; except that a partnership, entity, or group of persons may use the term "architects" in its business name if a majority of the individual officers and directors or members or partners are either licensed architects under § 12-120-404(3) or persons who	According to C.R.S. § 12-120-404(3), an entity listed in C.R.S. § 12-120-404(2) may practice architecture, but only if: (a) The practice of architecture by such entity is under the direct supervision of an architect, licensed in the state of Colorado, who is an officer of the corporation, a member of the limited liability company, or a partner in the registered limited liability partnership; (b)) Such architect remains individually responsible to the board and the public for his or her professional acts and conduct; and (c)) All architectural plans, designs, drawings, specifications, or reports that are involved in such practice, issued by or for such entity, bear the seal and signature of an architect in responsible control of, and directly responsible for, such architectural work when issued. (4) (a) Nothing in this part 4 shall be construed as prohibiting the formation of a corporation, limited liability company, registered limited liability partnership, joint venture, partnership, or association consisting of one or several architects or corporations meeting the requirements of subsection (3) of this section and one or several professional engineers, all duly licensed under the respective provisions of the
		its business name if a majority of the individual officers and directors or members or partners are either licensed architects	consisting of one or several architects or corporations meeting the requirements of subsection (3) of this section and one or several professional engineers, all
			(c) No identifying media used by any member of such

Colorado	Design Professional Regulation	Firm License	Corporate Formation Requirements
			entity shall mislead the public as to the fact that such
			member is licensed as an architect or as a professional
			engineer.
	Engineering	Colorado State Board of Licensure for	Colorado has no specific requirements for the
	Colour de Chata Danad of Lineau un fau	Architects, Professional Engineers and	corporate formation of an engineering firm beyond
	Colorado State Board of Licensure for	Professional Land Surveyors does not license firms to practice as engineering	the general requirements of forming a firm under the Colorado Secretary of State. Engineering firms are
	Architects, Professional Engineers and Professional Land Surveyors	firms.	permitted to practice under all types of business
	https://dpo.colorado.gov/AES	IIIIIS.	entities.
	TITTES.// apo.colorado.gov/ ALS	A partnership, corporation, limited liability	critics.
		company, joint stock association, or other	
		entity is not eligible for licensure under	
	Licensing Statutes – Colorado Revised	Colorado Revised Statute §12-120-204.	
	Statutes	_	
	Title 12. Professions and Occupations,	An entity may practice or offer to practice	
	Article 120. Engineers, Surveyors, and	engineering in Colorado only if the individual	
	Architects	in responsible charge of the entity's	
	Part 2. Engineers	engineering activities performed in Colorado	
		is a professional engineer licensed in	
	Licensing Regulations –	Colorado. All engineering documents, plats,	
	Code of Colorado Regulations	and reports issued by or for the entity in	
	4 CCR 730-1 – Bylaws and Rules of the State	connection with engineering work performed	
	Board of Licensure for Architects,	in this state must bear the seal and signature	
	Professional Engineers, and Professional	of the Colorado-licensed professional engineer who is in responsible charge of and	
	Land Surveyors	directly responsible for the engineering work.	
		Colorado requires general registration of all	
		types of business entities (corporation, limited	
		liability company, partnership, etc.). Sole	
		Proprietorship is registered under a trade	
		name.	

Connecticut	Design Professional Regulation	Firm License	Corporate Formation Requirements
Author Daniel P. Valentine, Esq., PE SIMPSON GUMPERTZ & HEGER INC. 480 Totten Pond Rd. Waltham, MA 02451 dvalentine@sgh.com	Architecture The Architectural Licensing Board was established per Conn. Gen. Stat. § 20-289. Its website is accessible through the Dept. of Consumer Protection's website: www.ct.gov/dcp Licensing Statutes: Conn. Gen. Stat. §§ 20-288 to 20-298b Licensing Regulations: Conn. Agencies Regs. §§ 20-289-1 to 20-289-14	Architecture Firms must register with the Secretary of State as a domestic or foreign firm. Also, a Certificate of Good Standing from the Secretary of the State of Connecticut (or from	Business Corporations, Conn. Gen. Stat. § 20-298b(a), in part: The practice of architecture or the offer to practice architecture in this state by individual licensed architects under the corporate form or by a corporation, a material part of the business of which includes architecture, is permitted, provided that (1) such personnel of such corporation act on its behalf as architects and its chief executive officer is licensed under the provisions of this chapter, (2) if such corporation is a professional corporation, not less than two-thirds of the voting stock thereof is held by an individual or individuals who are licensed under the provisions of this chapter, and (3) such corporation has been issued a certificate of authorization by the board. Business Corporations, Conn. Gen. Stat. § 20-298b(d), in part: Each corporation holding a certificate of authorization under this section shall file with the board a designation of an individual or individuals licensed to practice architecture in this state who shall be in charge of architectural work by such corporations, Conn. Gen. Stat. § 20-298b(f), in part: Not less than 2/3 of the individual members of an LLC or owners of a professional corporation that practices or offers to practice architectural services shall be individually licensed under the provisions of this chapter and shall own not less than 2/3 of the voting interests of the LLC or not less than 2/3 of the voting stock of the professional corporation, provided, in the case of a corporation that practices or offers to practice architectural services that has adopted an employee stock ownership plan as described in subsection (a) of this section, the requirements of this subsection shall be satisfied if such corporation meets the requirements of subsection (a) of this section.

Connecticut	Design Professional Regulation	Firm License	Corporate Formation Requirements
			Partnerships, Conn. Agencies Reg. § 20-289: (a) No firm, company, partnership, association or corporation shall be registered as an architect except as provided in Section 20-290 of the Connecticut General Statutes. (b) A partnership may engage in the practice of architecture provided each member of such partnership is a licensed architect. (c) If one or more of the persons referred to in the partnership name of an architectural firm has been deceased or not actively engaged in the practice of architecture in this state for more than five years, the deceased or inactive partners' names shall not be used unless the date or dates of decease or retirement of said partners is properly noted.
	Engineering State Board of Examiners for Professional Engineers and Land Surveyors was established per Conn. Gen. Stat. § 20-300. Its website is accessible through the Dept. of Consumer Protection's website: www.ct.gov/dcp Licensing Statutes: Conn. Gen. Stat. §§ 20-299 to 20-310 Licensing Regulations: Conn. Agencies Regs. §§ 20-300-1 to 20-300-14a;	Corporations and LLCs desiring a certificate of registration shall file with the board an application upon a form prescribed by the Dept. of Consumer Protection. Each such certificate shall expire annually and shall be renewable. Each such corporation or LLC shall file with the department a designation of an individual or individuals licensed to practice engineering who shall be in charge of engineering by such corporation or LLC. Such corporation or LLC shall notify the department of any change in such designation within thirty days after such change becomes effective.	Business Corporations, Conn. Gen. Stat. § 20-306a(a), in part: The practice of or the offer to practice professional engineering in this state by individual licensed professional engineers under the corporate form or by a corporation or LLC, a material part of the business of which includes engineering, is permitted, provided (1) such personnel of such corporation or LLC as act in its behalf as engineers are licensed or exempt from licensure under the provisions of this chapter, and (2) such corporation or LLC has been issued a certificate of registration by the board as provided in this section. Business Corporations, Conn. Gen. Stat. § 20-306a(c): Not less than 2/3 of the individual members of an LLC or owners of a professional corporation that practices or offers to practice professional engineering in this state shall be individually licensed under the provisions of this chapter and shall own not less than 2/3 of the voting interests of the LLC or of the voting stock of the professional corporation.

Connecticut	Design Professional Regulation	Firm License	Corporate Formation Requirements
		Joint Practice Firm – Professional Engineers and Architects, each of whom is licensed under the provisions of said chapters, may form a corporation or LLC for the joint practice of engineering and architecture – subject to the statutory requirements at Conn. Gen. Stat. § 20-306b(a) at right.	Business Corporations, Conn. Gen. Stat. § 20-306b(a): (1) one or more persons licensed as architects or architects own not less than 2/3 of the voting stock of the corporation or of the voting interests of the LLC, and the members of each profession forming the corporation or LLC together own at least 20% of the voting stock of the corporation or of the voting interests of the LLC, (2) the personnel in responsible charge of the practice of architecture for such corporation or LLC shall be licensed under chapter 390 and the personnel in responsible charge of the practice of engineering or land surveying for such corporation or LLC shall be licensed under this chapter, and (3) such corporation or LLC has been issued a joint certificate of registration by the Department of Consumer Protection, the Architectural Licensing Board, or the appropriate members of the State Board of Examiners for Professional Engineers and Land Surveyors designated to administer the provisions of this chapter with respect to professional engineers.

Delaware	Design Professional Regulation	Firm License	Corporate Formation Requirements
Author Jason A. Lien, Esq. MASLON, LLP 225 South Sixth Street, Ste. 2900 Minneapolis, MN 55402 (612) 672-8319 jason.lien@maslon.com	Architecture Board of Architects: https://dpr.delaware.gov/boar ds/architects/ Licensing Statute – 24 Del. Code Ann. § 312A.	A business entity "authorized under Delaware law or the laws of another state" may practice architecture. Additionally, a business entity planning to practice architecture in Delaware must receive a certificate of authorization from the Board of Architects. 24 Del. Code Ann. § 312A. To receive a certificate of authorization, the entity must file an application, which the Board provides. The application must list the names, addresses and licenses or registration numbers for all directors, officers, partners, members or managers of the business entity. The entity should also provide information regarding the individual or individuals registered to practice architecture in Delaware. The Board will not issue a certificate of authorization unless the business entity shows that each office of the business entity that conducts architectural services is under the "charge and supervision of an individual holding a valid certificate of registration" to practice architecture. 24 Del. Code Ann. § 312A.	To practice architecture, the business entity must satisfy three criteria: (1) "One or more of the officers (if a corporation), partners (if a partnership), members or managers (if a limited liability company or publicly owned corporation)," must be registered in Delaware as an architect. One or more of the officers, partners, members or managers must also be "designated as being responsible for any services in the practice of architecture." (2) All employees of the business entity who act as architects in Delaware, or act as architects for clients located in Delaware, must be registered with the Board; and (3) Said business entity has been issued a certificate of authorization by the Board 24 Del. Code Ann. § 312A.
	Engineering Delaware Association of Professional Engineers: https://www.dape.org/	Any corporation or partnership offering to practice engineering must receive a certificate of authorization. To receive a certificate of authorization, the business entity must file the following information with the council of the Delaware Association of Engineers: a list of the	A corporation or partnership issued a certificate of authorization may practice engineering only if at least one of the officers or one of the employees of the business entity is (1) designated as responsible for the engineering activities of the business entity and (2) licensed

Delaware	Design Professional Regulation	Firm License	Corporate Formation Requirements
		names and addresses of all officers, board	in Delaware as a professional engineer. All
	Licensing Statute: 24 Del. Code	members and principals; a list of any personnel	personnel of the business entity practicing
	Ann. § 2821.	authorized to practice engineering in Delaware	engineering must be licensed in Delaware as a
		who are also responsible for the engineering	professional engineer. 24 Del. Code Ann. § 2821.
		practices of the business entity; and proof of	
		compliance with the relevant state tax laws. 24	
		Del. Code Ann. § 2821.	
		Additionally, any individual licensed to practice	
		engineering in Delaware must obtain a certificate	
		of authorization if he or she plans to practice	
		engineering under a name different from the	
		individual's licensed name. 24 Del. Code Ann. §	
		2821.	

District of Columbia	Design Professional Regulation	Firm License	Corporate Formation Requirements
Authors Michael A. Schollaert, Esq. BAKER, DONELSON, BEARMAN, CALDWELL & BERKOWITZ, PC 100 Light Street, 19 th Floor, Baltimore, Maryland 21202 mschollaert@bakerdo nelson.com	Architecture Department of Licensing and Consumer Protectionhttps://dlcp.dc.gov/ Entity Statute – D.C. Code, tit. 29, et. seq. District of Columbia Board of Architecture, Interior Design, and Landscape Architecturehttps://dlcp.dc.gov/node/1617366 Licensing Statute – D.C. Code § 47-2853.62 (Individuals) Licensing Regulations – D.C. Mun. Regs. tit. 17, ch. 34, et seq. (Individuals)	The D.C. Board of Architecture, Interior Design, and Landscape Architecture only provides professional licenses to individuals. Architectural firms must comply with the District of Columbia's general licensing and registration requirements for corporate entities, governed by the Department of Licensing and Consumer Protection. All foreign entities must register prior to doing business in D.C. D.C. Code § 29-105.02.	Professional Corporation A professional corporation can only be organized to render professional services through shareholders, directors, officers, employees and/or agents, who are each licensed to render the relevant professional service. While a professional corporation can employ persons who are not individually licensed, those individuals cannot perform services for which a license is required. D.C. Code § 29-505. The original shareholders and directors of a professional corporation named in the articles of incorporation must be licensed to render the relevant professional service. D.C. Code § 29-506. A person cannot be a shareholder, director, or officer of a professional corporation, or render professional services, unless that person is an individual licensed to render the relevant professional service for which the corporation was organized. There is an exception for corporations with only one shareholder. In that instance, the secretary of the corporation does not need to be licensed to perform professional services (and may not perform any professional services.) D.C. Code § 29-508. Generally, shares in the corporation may only be transferred to an individual who is eligible to be a

District of Columbia	Design Professional Regulation	Firm License	Corporate Formation Requirements
			shareholder of the professional corporation or to the professional corporation. D.C. Code § 29-511.
			Professional Limited Liability Company
			A professional limited liability is organized under D.C. Code, tit. 29, ch. 8 governing limited liability companies generally, solely for the purpose of rendering services through its members, managers, employees or agents. D.C. Code § 29-101.02(38).
	Engineering	The D.C. Board of Professional Engineering only provides licenses to individuals. Engineering	<u>Professional Corporation</u>
	Department of Licensing and Consumer Protection https://dlcp.dc.gov/	firms do not need to register with the DC Board of Professional Engineering. <i>See</i> Frequently Asked Questions, D.C. Board of Professional	A professional corporation can only be organized to render professional services through shareholders, directors, officers, employees and/or agents, who are
	Entity Statute – D.C. Code, tit. 29, et. seq.	Engineering, available at https://dlcp.dc.gov/page/board-professional-argineers	each licensed to render the relevant professional service. While a professional corporation can employ
	District of Columbia Board of Professional Engineering https://dlcp.dc.gov/node/1617401	<u>engineers</u> Engineering firms must comply with the District	persons who are not individually licensed, those individuals cannot perform services for which a license is required. D.C. Code § 29-505.
	Licensing Statute – D.C. Code § 47-2853.132	of Columbia's general licensing and registration requirements for corporate entities, governed	The original shareholders and directors of a professional
	(Individuals)	by the Department of Consumer and Regulatory Affairs.	corporation named in the articles of incorporation must be licensed to render the relevant professional service.
	Licensing Regulations – D.C. Mun. Regs. tit. 17, ch. 15, et seq. (Individuals)		D.C. Code § 29-506.
			A person cannot be a shareholder, director, or officer of a professional corporation, or render professional services, unless that person is an individual licensed to

District of Columbia	Design Professional Regulation	Firm License	Corporate Formation Requirements
			render the relevant professional service for which the corporation was organized. There is an exception for corporations with only one shareholder. In that instance, the secretary of the corporation does not need to be licensed to perform professional services (and may not perform any professional services.) D.C. Code § 29-508.
			Generally, shares in the corporation may only be transferred to an individual who is eligible to be a shareholder of the professional corporation or to the professional corporation. D.C. Code § 29-511.
			Professional Limited Liability Company A professional limited liability is organized under D.C. Code, tit. 29, ch. 8 governing limited liability companies generally, solely for the purpose of rendering services through its members, managers, employees or agents. D.C. Code § 29-101.02(38).

Florida	Design Professional Regulation	Firm License	Corporate Formation Requirements
Author Michael T. Kamprath, Esq. HILLSBOROUGH COUNTY AVIATION AUTHORITY - TAMPA INTERNATIONAL AIRPORT PO Box 22287 Tampa, Florida 33622 (813) 870 7833 mtk9@case.edu	Florida Board of Architecture and Interior Design http://www.myfloridalicense.co m/DBPR/pro/arch/index.html Licensing Statute – Fla. Stat. Ch. 481, Pt I. Licensing Regulations – Fla. Admin. Code Ch. 61G1	If architectural services are offered in a name other than the full legal name of a sole proprietor, a certificate of authorization (also known as a business license) is required. Thus, licensure is required for the following: corporations, limited liability companies, partnerships, professional associations, professional corporations or fictitious names.	Corporations, LLC's and Partnerships that practice professional architecture or interior design in Florida are governed by Section 481.219 Fla. Stat. One or more of the principal officers (president, vice-president, secretary or treasurer) of the corporation or limited liability company, or one or more partners of the partnership, and all personnel of the corporation, limited liability company, or partnership who act on its behalf in Florida must be registered and licensed architects or interior designers. The statute does not exempt individuals who sign and seal construction or interior design documents from individual liability for the professional services performed. This statute is not applicable to the erection, enlargement, or alteration of any building on a farm for use by any farmer, regardless of cost, any one family or two family residence, townhouse or domestic outbuilding regardless of cost or any other type of building costing less than \$25,000 with some minor exceptions. Note that Section 558.0035 Fla. Stat. provides limited protection to individual designers who comply with its requirements. One or more individuals, professional corporations, or professional limited liability companies, in any combination, duly licensed or

Florida	Design Professional Regulation	Firm License	Corporate Formation Requirements
			otherwise legally authorized to render the same professional services may organize and become a shareholder or shareholders of a professional corporation, or members of professional limited liability company for pecuniary profit for the sole and specific purpose of rendering professional service.
	Engineering Florida Board of Professional Engineers ("FBPE") http://www.fbpe.org/ Licensing Statute – Fla. Stat. Ch. 471. Licensing Regulations – Fla. Admin Code. Ch. CHAPTER 61G15-18	The following types of entities require firm licensure to practice engineering: (1) Partnership (2) Corporation (3) Business trust (4) Other legal entity (5) Business organization	Business organizations that employ professional engineers who practice engineering in Florida are governed by Chapter 417 Fla. Stat. Section 471.023 Fla. Stat. requires that the practice of engineering through a business organization through licensees as agents, employees, officers or partners is only permitted if the business organization possesses a certificate of authorization issued by the Board of Professional Engineers. If an individual is practicing in his or her name, he or she does not need a certificate of authorization. One or more of the principal officers of the business organization or one or more of the partners of the partnership and all personnel of the business organization who act on its behalf as engineers must be licensed. No individuals practicing engineering are relieved of responsibility for professional services performed by reason of his or her employment or relationship with a business organization. The personal liability of a shareholder or owner of a business organization, in his or her capacity as shareholder or owner, shall be no greater than that of a shareholder-employee of a corporation incorporated under Chapter 607 Fla. Stat.

Florida	Design Professional Regulation	Firm License	Corporate Formation Requirements
			Note that Section 558.0035 Fla. Stat. provides limited protection to individual designers who comply with its requirements.
			See above regarding professional service companies and professional limited liability companies.

Georgia	Design Professional Regulation	Firm License	Corporate Formation Requirements
Author	Architecture	No firm, sole proprietorship, partnership, limited	Although it is not mandatory that an
		liability company, corporation, or other similar	architectural firm operate as a professional
Mike H. Shanlever, Esq.	Georgia State Board of Architects	organization shall be registered as architects. Firms,	corporation, if it elects to do so there are
Samantha Skolnick, Esq.	and Interior Designers -	sole proprietorships, partnerships, limited liability	certain corporate governance requirements.
ALSTON & BIRD LLP	https://sos.ga.gov/board-architects-	companies, and corporations may practice	
One Atlantic Center 1201 W.	<u>interior-designers</u>	architecture, as defined by this article, and perform	Stockholders in a professional corporation must
Peachtree St. Atlanta, GA		the services heretofore enumerated common to the	be licensees in the profession for which the
30309-3424	Licensing Statute – O.C.G.A. § 43-	practice of architecture, provided that all such work	professional corporation is authorized to
(404) 881-7619	4-1, et seq.	and services are performed under the responsible	perform services. Furthermore, at least one
mike.shanlever@alston.com		control of an architect registered in this state who is a	member of the board of the directors, and the
samantha.skolnick@alston.com	Licensing Regulations – Ga.	director, in the case of a corporation, or who is a	president of the professional corporation must
	Comp. R. & Regs. r. 50-1, et seq.	partner, in the case of a partnership, or who is a	be a licensee. O.C.G.A. § 14-7-4.
		member, in the case of a limited liability company, or	
	Georgia Professional Corporation Act	who is an employee with an ownership interest who	
	_	has been designated in writing as holding a position of	
	O.C.G.A. § 14-7-1, et seq.	authority within the firm which authorizes him or her	
		to direct the architectural services offered by that	
		firm; and provided, further, that the administration of	
		construction contracts shall be under the responsible	
		control of such registered architect and that such	
		plans, drawings, and specifications shall be prepared	
		under the responsible control of such registered	
		architect and bear the architect's individual signature	
		and seal. O.C.G.A. § 43-4-10.	
		Although the architectural licensing statute does not	
		mention professional corporations, this is likely a	
		drafting error. The Georgia Professional Corporation	
		Code specifically authorizes the practice of	
		architecture in a professional corporation. O.C.G.A. §	
		14-7-2.	
		1	

Georgia Design Professional Regulation	Firm License	Corporate Formation Requirements
Engineering Georgia Board of Professional Engineers and Land Surveyors - https://sos.ga.gov/georgia-professional- engineers-and-land-surveyors-board Licensing Statute – O.C.G.A. § 43-15-1, et seq. Licensing Regulations – Ga. Comp. R. & Regs. r. 180-1, et seq	The practice of or offer to practice professional engineering, as defined in this chapter, by individual professional engineers registered under this chapter through a firm, corporation, professional corporation, partnership, association, or other entity offering engineering services to the public or by a firm, corporation, professional corporation.	Although it is not mandatory that an engineering firm operate as a professional corporation, if it elects to do so there are certain corporate governance requirements. Stockholders in a professional corporation must be licensees in the profession for which the professional corporation is authorized to perform services. Furthermore, at least one member of the board of the directors, and the president of the professional corporation must be a licensee. O.C.G.A. § 14-7-4.

Hawaii	Design Professional Regulation	Firm License	Corporate Formation Requirements
Authors Daniel P. Valentine, Esq., PE SIMPSON GUMPERTZ & HEGER INC. 480 Totten Pond Rd. Waltham, MA 02451 dvalentine@sgh.com	Architecture Hawaii Board of Engineers, Architects, Surveyors & Landscape Architects http://cca.hawaii.gov/pvl/boards/engineer/ Licensing Statutes — Hawaii Revised Statutes, Professional Engineers, Architects, Surveyors and Landscape Architects — Haw. Rev. Stat. Chapter 464 Licensing Regulations — Professional Engineers, Architects, Surveyors, and Landscape Architects https://cca.hawaii.gov/pvl/files/2013/08/har_115-c1.pdf	A corporation, partnership, or limited liability company may engage in the practice of architecture provided the person(s) connected with the business entity directly in charge of the professional work is duly licensed; is a full-time employee, principal, officer, partner, member, or manager of the business entity; and is delegated the legal authority to bind the business entity in all matters relating to the professional work. Haw. Code R. § 16-115-4. Similar wording is also found in the licensing statute at HRS § 464-12.	There are no specific requirements in Hawaii for the corporate formation of an architectural firm. Architectural firms are permitted to practice under all types of business entities.
	Engineering Hawaii Board of Engineers, Architects, Surveyors & Landscape Architects http://cca.hawaii.gov/pvl/boards/engineer/ Licensing Statutes – Hawaii Revised Statutes, Professional Engineers, Architects, Surveyors and Landscape Architects – Haw. Rev. Stat. Chapter 464 Licensing Regulations – Professional Engineers, Architects, Surveyors, and Landscape Architects https://cca.hawaii.gov/pvl/files/2013/08/har 115-c1.pdf	A corporation, partnership, or limited liability company may engage in the practice of engineering provided the person(s) connected with the business entity directly in charge of the professional work is duly licensed; is a full-time employee, principal, officer, partner, member, or manager of the business entity; and is delegated the legal authority to bind the business entity in all matters relating to the professional work. Haw. Code R. § 16-115-4. Similar wording is also found in the licensing statute at HRS § 464-12.	There are no specific requirements in Hawaii for the corporate formation of an engineering firm. Engineering firms are permitted to practice under all types of business entities.

Idaho	Design Professional Regulation	Firm License	Corporate Formation Requirements
Author Mark L. Garner, Esq. BEARD ST. CLAIR GAFFNEY PA 520 First American Cir. Rexburg, Idaho 83440 (208) 359-5885 mgarner@beardstclair.com	Architecture Idaho Board of Architects and Landscape Architects, Idaho Division of Occupational and Professional Licenses https://dopl.idaho.gov/ala/ Licensing Statutes – Idaho Code § 54-301 et. seq. Licensing Regulations – Idaho Administrative Procedure Act, Agency 24, Title 1, Chapter 1 (IDAPA 24.01.01.000 et seq.) Uniform Business Organizations Code – Professional Entities – Idaho Code §30-21-901. Idaho Uniform Limited Liability Company Act – Idaho Code §30-25-101 et seq.	In Idaho, licenses to practice architecture are issued in the names of individuals only. Firms or corporations are prohibited from licensure. Idaho Code Ann. § 54-305(1)	Architecture firms are authorized to organize as professional entities, such as professional corporations, professional limited partnerships, professional limited liability limited partnerships, professional limited liability partnerships, or professional limited liability companies (Idaho Code §§ 30-21-102, 301, 302.) While no particular form of entity is required, the Idaho Board of Architectural Examiners has specified that "[f]irm names incorporating the use of names of unlicensed individuals are considered in violation of Section 54-315, Idaho Code. A firm may continue to utilize the name of a retired or deceased formerly licensed architect so long as their unlicensed status is clearly disclosed." IDAPA 24.01.01.400 Professional Entities: Professional entities may render professional services only through its managers, members, employees and agents who are duly licensed or otherwise legally authorized to render such professional services within Idaho. Idaho Code Ann. § 30-21-901(d). Professional entities are not authorized to render allied professional services where the laws pertaining to specific professions or the codes of ethics or professional responsibility of any of the professions involved in such a proposed professional entity prohibit such a combination of professional services. Idaho Code Ann. § 30-21-901(c). Foreign professional entities rendering services in Idaho shall be subject to the laws of Idaho and the code of ethics or professional responsibility that are applicable to the profession in which such professional entity is rendering services in Idaho. Idaho Code Ann. § 30-21-501(d).

Idaho	Design Professional Regulation	Firm License	Corporate Formation Requirements
			The term "allied professional services" means "professional services which
			are so related in substance that they are frequently offered in conjunction
			with one another as parts of the same service package to the consumer".
			Idaho Code Ann. § 30-21-901(a).
	Engineering and Land Surveying	All types of business entities	Engineering firms are authorized to organize as professional entities, such
		(corporation, limited liability	as professional corporations, professional limited partnerships,
	Idaho Board of Professional	company, partnership, etc.)	professional limited liability limited partnerships, professional limited
	Engineers and Professional Land	require a certificate of authority	liability partnerships, or professional limited liability companies (Idaho
	Surveyors (IPELS)	from IPELS to practice	Code §§ 30-21-102, 301, 302.)
	http://ipels.idaho.gov	engineering as a firm in Idaho.	
			Professional Entities:
	Licensing Statutes – Idaho Code §	In order to receive a certificate	All shareholders of a professional service corporation must be natural
	54- 1201 et. seq.	of authority all engineers must	persons licensed to render one or more of the same professional services
		be individually licensed and the	as defined by Idaho Code § 30-1303(1).
	Licensing Regulations – Idaho	firm must provide IPELS with (a)	
	Administrative Procedure Act,	description of the professional	Professional entities may render professional services only through its
	Agency 24, Title 32, Chapter 1	services to be performed by the	managers, members, employees and agents who are duly licensed or
	(IDAPA	entity; and (b) the designated	otherwise legally authorized to render such professional services within
	24.32.01.000 et seq.)	licensed individual(s) who shall	Idaho. Idaho Code Ann. § 30-21-901(d).
		be "in responsible charge" of	
	Uniform Business Organizations Code	the professional practice for the	Professional entities are not authorized to render allied professional
	 Professional Entities – Idaho Code 	entity. Idaho Code Ann. § 54-	services where the laws pertaining to specific professions or the codes of
	§30-21-901.	1235(4).	ethics or professional responsibility of any of the professions involved in
			such a proposed professional entity prohibit such a combination of
	Idaho Uniform Limited Liability	The term "responsible charge"	professional services. Idaho Code Ann. § 30-21-901(c).
	Company Act – Idaho Code §30-25-101	means: "the control and direction	
	et seq.	of engineering work, or the	The term "allied professional services" means "professional services which
		control and direction of land	are so related in substance that they are frequently offered in conjunction
		surveying work, requiring	with one another as parts of the same service package to the consumer".
		initiative, professional skill,	Idaho Code Ann. § 30-21-901(a).

Illinois	Design Professional Regulation	Firm License	Corporate Formation Requirements
Author	Architecture	All types of entities require firm licensure to practice architecture, whether it is a:	Professional Corporations and LLC's (including Professional LLCs) that practice professional
Scott R. Fradin, Esq.	Illinois Department of Financial & Professional	(1) Professional Corporation ("P.C.")	architecture in Illinois are governed by the
MUCH SHELIST, P.C.	Regulation ("IDFPR")	(2) Professional Limited Liability Company	Professional Service Corporation Act ("PSCA") and
191 North Wacker Drive,	https://idfpr.illinois.gov/profs/architect.html	("PLLC")	the Professional Limited Liability Company Act
Suite 1800		(3) Corporation ("Inc.")	("PLLCA") respectively. The PSCA and the PLLCA
Chicago, IL 60606	Licensing Statute – 225 ILCS 305/1 et. Seq. (Illinois	(4) General Partnership	requires the architectural P.C. or PLLC to be 100%
(312) 521-2619	Architecture Practice Act of 1989)("IAPA")	(5) Limited Liability Partnership ("LLP")	owned by professional architects or other
SFradin@muchlaw.com		(6) Limited Partnership	professionals designated in the PSCA if the firm is
	Rules – Ill. Admin. Code Tit. 68, § 1150	(7) Sole Proprietor/D.B.A.*	also licensed to offer the services of the other profession (e.g., engineering, surveying, etc.).
	Business Corporation Act of 1983 – 805 ILCS 5/1.01	* All businesses that offer or provide	Corporate entities are not allowed to hold an
	et. seq.	professional design services must register	ownership interest in the P.C. or PLLC.
		with the Department of Financial and	
	Professional Service Corporation Act – 805 ILCS	Professional Regulation-Division of	The PSCA and PLLCA require all owners,
	10/1 et. seq.	Professional Regulation as a Professional	directors, shareholders, members and
		Design Firm (the "Division"). The only	managers, to be licensed by the State of
	Limited Liability Company Act – 805 ILCS 180/1-1	exception is a licensed sole proprietor who	Illinois.
	et. seq.	offers or provides design services in his or	
		her individual name and is not employing	The PSCA includes an exemption from its
	Professional Limited Liability Act – 805 ILCS 185/1 et.	·	requirements for corporations which prior to
	seq.*	which professional licensure is required.	September 15, 1969 were permitted by law to
	*The live's additability Consequent Andian and included	However, any sole proprietorship	practice architecture.
	*The Limited Liability Company Act is applicable to	conducting business as a design	The DCCA limited by a second section of the limited
	professional limited liability companies except in the		The PSCA limits the non-professional services that
	event of any conflict with the provisions of the Limited Liability Company Act or other laws in which	required to register as a professional design firm.	the architectural P.C. may render to those "ancillary" to the practice of architecture.
		design inni.	anciliary to the practice of architecture.
	case the Professional Limited Liability Act governs.		The Illinois Architecture Practice Act of 1989 ("IAPA")
			requires that for any corporation, partnership, professional limited liability company or professional design firm: 2/3 of the board of directors/general

Illinois	Design Professional Regulation	Firm License	Corporate Formation Requirements
		In addition to the seal requirements in Section 14 of the IAPA, all documents or technical submissions prepared by the design firm shall contain the design firm registration number issued by the Department of Financial and Professional Regulation-Division of Professional Regulation. (III. Admin. Code Tit. 68, § 1150.80(h))	partners/members (as the case may be) must be licensed under the laws of any state (to practice architecture, engineering or surveying); and a managing agent must be a director/general partner/member (as the case may be), and hold a license under the IAPA. The IAPA requires that the Professional Design Firm designate a managing agent and that the managing agent must have a valid, active license under the IAPA.
			The office in which professional work is performed is required to have a resident architect, licensed in Illinois and regularly employed in that office full-time who shall be responsible for overseeing professional work, and who shall serve as resident architect for that office only.
	Professional Engineering and Structural Engineering Illinois Department of Financial & Professional Regulation Professional Engineers: https://idfpr.illinois.gov/profs/profengineer.html Licensing Statute — 225 ILCS 325/1 et. seq. (Professional Engineering Practice Act)("PEPA") Rules — Ill. Admin. Code Tit. 68, § 1380	All types of entities require firm licensure to practice professional and structural engineering, whether it is a: (1) Professional Corporation ("P.C.") (2) Professional Limited Liability Company ("PLLC") (3) Corporation ("Inc.") (4) General Partnership (5) Limited Liability Partnership ("LLP") (6) Limited Partnership (7) Sole Proprietor/D.B.A.* * All businesses that offer or provide professional design services must register	Professional Corporations and LLC's (including Professional LLCs) that practice professional architecture in Illinois are governed by the Professional Service Corporation Act ("PSCA") and the Professional Limited Liability Company Act ("PLLCA") respectively. The PSCA and the PLLCA requires the engineering P.C. or PLLC to be 100% owned by professional architects or other professionals designated in the PSCA if the firm is also licensed to offer the services of the other profession (e.g., architecture, surveying, etc.). Corporate entities are not allowed to hold an ownership interest in the P.C. or PLLC.

Illinois	Design Professional Regulation	Firm License	Corporate Formation Requirements
	Structural Engineers:	with the Department of Financial and	The PSCA and PLLCA require all owners, directors,
	https://idfpr.illinois.gov/profs/se.html	Professional Regulation-Division of	shareholders, members and managers, to be
		Professional Regulation as a Professional	licensed by the State of Illinois.
	Licensing Statute – 225 ILCS 340/1 et. seq.	Design Firm (the "Division"). The only	
	(Structural Engineering Practice Act)("SEPA")	exception is a licensed sole proprietor who	The PSCA includes an exemption from its
	Rules – Ill. Admin. Code Tit. 68, § 1480	offers or provides design services in his or	requirements for corporations which prior to
		her individual name and is not employing	September 15, 1969 were permitted by law to
	Other Laws Applicable to PE and SE:	other individuals to perform work for which	practice architecture.
		professional licensure is required. However,	
	Business Corporation Act of 1983 – 805 ILCS 5/1.01	any sole proprietorship conducting business	The PSCA limits the non-professional services that
	et. seq.	as a design professional under an assumed	the engineering P.C. may render to those
		name is required to register as a professional	"ancillary" to the practice of engineering.
	Professional Service Corporation Act – 805 ILCS	design firm.	
	10/1 et. seq.		PEPA requires that the Professional Design Firm
		In addition to the seal requirements in	designate a managing agent and that the managing
	Limited Liability Company Act – 805 ILCS 180/1-1	Section 14 of the PEPA and Section 12 of the	agent must have a valid, active license under the
	et. seq.	SEPA, all documents or technical	PEPA.
		submissions prepared by the design firm	
	Professional Limited Liability Act – 805 ILCS 185/1 et.	shall contain the design firm registration	Similarly, SEPA requires that the Professional
	seq.*	number issued by the Department of	Design Firm designate a managing agent and that
		Financial and Professional Regulation-	the managing agent must have a valid, active
	*The Limited Liability Company Act is applicable to	Division of Professional Regulation. (III.	license under the SEPA.
	professional limited liability companies except in the	Admin. Code Tit. 68, § 1380.290(h) and §	
	event of any conflict with the provisions of the	1480.200(h).)	
	Limited Liability Company Act or other laws in which		
	case the Professional Limited Liability Act governs.		
	Illinois is not a discipline specific State. A		
	Professional Engineer in Illinois is authorized to		
	perform any type of engineering the licensee		
	believes to have the knowledge, competency and		
	ability to perform while maintaining the Health,		
	Safety and Welfare of the public. The only exception		
	to this is in regards to Structural Engineering. A		
	Professional Engineer in Illinois cannot offer or		
	perform any structural engineering services.		

Illinois	Design Professional Regulation	Firm License	Corporate Formation Requirements
	Only an Illinois licensed Structural Engineer can		
	provide structural engineering services in Illinois.		

Indiana	Design Professional Regulation	Firm License	Corporate Formation Requirements
Authors Terrence L. Brookie, Esq.	Architecture The Board of Registration for Architects and	Only an individual may be a registered architect. However, a firm, partnership, or corporation may practice or offer to practice	The Indiana Professional Corporation Act ("IPCA") permits one or more architectural or engineering professionals to incorporate to render architectural or engineering services.
Joshua N. Kutch, Esq. Raeanna L. Carrell, Esq. FROST BROWN TODD, LLP	Landscape Architects, Indiana Professional Licensing Agency	architecture when the work is done under the full authority and responsible charge of a registered architect who is also a principal of	I.C. 23-1.5-2-3(a). Professional corporations are organized using the same
111 Monument Circle, Suite 4500 Indianapolis, IN 46244	https://www.in.gov/pla/professions/architects-and-landscape-architects-home/	the firm or partnership or is an officer of the corporation. The name of the responsible registered architect must appear whenever the	form prescribed by the secretary of state to organize general corporations. I.C. 23-1.5-2-9.1.
(317) 237-3800 tbrookie@fbtlaw.com jkutch@fbtlaw.com rcarrell@fbtlaw.com	Licensing Statute – IC §§ 25-4-1-1 through 25-4-1-33 Licensing Regulations – 804 IAC 1.1	firm name is used in the professional practice of the firm, partnership or corporation. I.C. § 25-4-1-27	The directors of a professional corporation and all the officers other than the secretary and the treasurer must be qualified persons with respect to the corporation. I.C. 23-1.5-2-4.
	Professional Corporation Act – IC § 23-1.5 et seq.		A professional corporation must file a biennial report under IC 23-0.5-2-13. I.C. 23-1.5-2-11.1.
			The IPCA permits foreign professional corporations to practice in Indiana. I.C. 23-1.5-5-1.
			The Indiana Business Corporation Law (IBCL) applies to professional corporations organized under the IPCA, but the latter prevails to the extent there is inconsistency in the provisions of the IBCL and IPCA. I.C. 23-1.5-2-1.

Indiana	Design Professional Regulation	Firm License	Corporate Formation Requirements
	Engineering Indiana State Board of Registration for	Only an individual may be registered by the BRPE to practice engineering. Business entities, however, may engage in the practice	Engineers may form professional corporations. See above regarding the Indiana Professional Corporation Act.
	Professional Engineers (BRPE) https://www.in.gov/pla/professions/engineeri	of engineering so long as the regulated activities of the practicing entity are conducted under the supervision of a duly registered	
	Licensing Statute – I.C. §§ 25-31-1-1 through 25-31-1-36	professional engineer employed full-time by the business. Engineering documents produced by the practicing entity must contain	
	Licensing Regulations – 864 IAC 1.1	the seal of the registered professional engineer who is responsible charge of the work. I.C. §	
	Professional Corporation Act – I.C. § 23-1.5	25-31-1-18.	

Iowa	Design Professional Regulation	Firm License	Corporate Formation Requirements
Author Sean M. Corpstein, Esq. WHITFIELD & EDDY, PLC 699 Walnut St., Ste 2000 Des Moines, Iowa 50309 (515) 264-6041 corpstein@whitfieldlaw.com	Architecture Iowa Architectural Examining Board - https://plb.iowa.gov/board/architects Licensing Statute – Iowa Code Chapter 544A Licensing Rules and Regulations – Iowa Code Chapter 272C	Business entities are not required to register or obtain licenses. As of July 1, 2014, the lowa Architectural Examining Board no longer registers firms. The board only registers individual architects. Any architectural work done in lowa must be completed by or under the responsible charge of an lowa—licensed architect. Iowa Code § 544A.16(5). The Board does not have any limits on the type or ownership of the company.	lowa Code Chapter 490, the Iowa Business Corporation Act, applies to the formation of architectural firms just like any other business and professional corporations and companies. There is no law in Iowa which limits the type of entities which can perform architectural services. As of 2014, Iowa repealed the requirements as to directors or members of the firm. Instead, Iowa Code § 544A.16(5) and its requirement that work be performed un the charge of an Iowa-licensed architect applies to the work performed.
	Engineering lowa Engineering and Land Surveying Board - https://plb.iowa.gov/board/engineers- land-surveyors Licensing Statute — lowa Code Chapter 542B Licensing Rules and Regulations — lowa Code Chapter 272C	Business entities are not required to register or obtain licenses, or obtain any "certificate of authority" or similar authorization. If an entity designs buildings or works for a public interest, <i>all</i> of its principal designing or constructing engineers must hold licenses, unless the entity is engaged "solely in constructing buildings and works." Iowa Code § 542B.26.	lowa Code Chapter 490, the lowa Business Corporation Act, applies to the formation of engineering firms just like any other business and professional corporations and companies. There is no law in lowa which limits the type of entities which can perform engineering services. However, any business entity which plans to practice engineering in lowa must be owned or managed by, or regularly employ, one or more licensed professional engineers who directly control and personally service all engineering work performed by the entity. Iowa Admin. Code Chapter 193C-8.5(3). This requirement is not satisfied through the hiring of an engineer on an as-needed, occasional or consulting basis. <i>Id.</i> at 193C-8.5(5).

Kansas	Design Professional Regulation	Firm License	Corporate Formation Requirements
Authors G. Edgar "Eddie" James, Esq. Aaron M. Bartelt, Esq. James Sobba, LLC 4435 Main Street, Suite 910 Kansas City, MO 64111 (816) 623-0504 ejames@jamessobba.com abartelt@jamessobba.com	Architecture State Board of Technical Professions www.ksbtp.ks.gov Licensing Statute – Kan. Stat Ann. 74- 7001 et seq. Licensing Rules – Kan. Admin. Regs. §§ 66-6-1 et seq. Professional Corporations – Kan. Stat Ann. 17-2706 et. seq	The licensing laws of Kansas are applicable to business entities as well as to natural persons. As defined by statute, "business entity" means any: (1) General Business Corporation (2) Professional Corporation (3) Limited Liability Company (4) Limited Liability Partnership (5) Corporate Partnership or (6)) other legal entity created by law.	The entitlement of any business entity to a certificate of authority to practice architecture is tied to the licensing of one or more of its individual members or employees. A business entity may be organized for the practice of one or more of the technical professions if: 1. One or more of the principals is designated as responsible for the activities and decisions relating to the practice of such profession and is licensed to practice such profession by the Board and is a regular employee of and active participant in the business entity; 2. Each person engaged in the practice of the technical profession is licensed to practice such profession by the Board or is exempt from licensure under Kan. Stat. Ann. § 74-7031 through § 74-7035, or is exempt from exam for licensure in this state under Kan. Stat. Ann. § 74-7024; and 3. Such business entity has been issued a certificate of authorization by the Board.
			•

Kansas	Design Professional Regulation	Firm License	Corporate Formation Requirements
			set forth as its purpose the type of professional service to
			be practiced through the professional corporation and
			shall otherwise meet the requirements of the general
			corporation code of the state of Kansas. A certificate by
			the regulating board of the profession involved that each
			of the incorporators is duly licensed to practice that
			profession, and that the proposed corporate name has
			been approved, shall be filed in the office of the secretary
			of state prior to issuance of the certificate of
			incorporation. When two or more types of professions are
			to be rendered by such corporation, as shown in the
			articles of incorporation, a certificate of each regulatory
			board shall be required.
			In general, a professional corporation may issue the shares
			of its capital stock only to a natural person licensed,
			registered or certified to practice the same type of
			profession which any professional corporation is
			authorized to practice.

Kansas	Design Professional Regulation	Firm License	Corporate Formation Requirements
	Engineering State Board of Technical Professions www.ksbtp.ks.gov Licensing Statute – Kan. Stat Ann. 74- 7001 et. seq. Professional Corporations – Kan. Stat Ann. 17-2706 et seq. Licensing Rules – Kan. Admin. Regs. §§ 66-6-1 et seq.	The licensing laws of Kansas are applicable to business entities as well as to natural persons. As defined by statute, "business entity" means any: (1) General Business Corporation (2) Professional Corporation (3) Limited Liability Company (4) Limited Liability Partnership (5) Corporate Partnership or (6)) other legal entity created by law.	The entitlement of any business entity to a certificate of authority to practice engineering is tied to the licensing of one or more of its individual members or employees. A business entity may be organized for the practice of one or more of the technical professions if: 1. One or more of the principals is designated as responsible for the activities and decisions relating to the practice of such profession and is licensed to practice such profession by the Board and is a regular employee of and active participant in the business entity; 2. Each person engaged in the practice of the technical profession is licensed to practice such profession by the Board or is exempt from licensure under Kan. Stat. Ann. § 74-7031 through § 74-7035, or is exempt from exam for licensure in this state under Kan. Stat. Ann. § 74-7024; and 3. Such business entity has been issued a certificate of authorization by the Board. Although engineering firms are not required to operate as a professional corporation in Kansas, if the firm elects to do so there are additional corporate governance requirements. Under Kansas law, one or more natural persons, each of whom is licensed to render the same type of professional service within Kansas, may incorporate a

Kansas	Design Professional Regulation	Firm License	Corporate Formation Requirements
			professional corporation to practice that same type of
			professional service by filing articles of incorporation with
			the secretary of state. The articles of incorporation shall
			set forth as its purpose the type of professional service to
			be practiced through the professional corporation and
			shall otherwise meet the requirements of the general
			corporation code of the state of Kansas. A certificate by
			the regulating board of the profession involved that each
			of the incorporators is duly licensed to practice that
			profession, and that the proposed corporate name has
			been approved, shall be filed in the office of the secretary
			of state prior to issuance of the certificate of
			incorporation. When two or more types of professions are
			to be rendered by such corporation, as shown in the
			articles of incorporation, a certificate of each regulatory
			board shall be required.
			In general, a professional corporation may issue the shares
			of its capital stock only to a natural person licensed,
			registered or certified to practice the same type of
			profession which any professional corporation is
			authorized to practice.

Kentucky	Design Professional Regulation	Firm License	Corporate Formation Requirements
Author Steven M. Henderson, P.E., Esq. STITES & HARBISON PLLC 400 West Market Street, Suite 1800 Louisville, KY 40202	Architecture Kentucky Board of Architects Licensing Statute – KRS 323.010 et. seq.	No license is required for entities providing architectural services in KY. Corporations can provide architectural services through full-time employees who are licensed to provide architectural services in KY.	There are no restrictions on the types of business entities that can provide architectural services in KY. *KY also allows for the formation of Professional Service Corporations (KRS 274 et seq.); however, the licensing statutes and regulations do not require that architectural services be provided through a PSC.
(502) 779-5826 shenderson@stites.com W. Tyler Lloyd, Esq. STITES & HARBISON PLLC 400 West Market Street, Suite 1800 Louisville, KY 40202 (502) 681-0315 tlloyd@stites.com	Licensing Regulations – 201 Ky. Admin Reg. 19:100	Each office that prepares drawings, specifications, and other professional architectural work must have a licensed architect who is a regular employee who is in direct supervisory control of the work. (current)	If a PSC is formed for the purpose of providing architectural services, KRS 274.015 requires that all shareholders, officers other than the secretary and treasurer, and not less than ½ of the directors be licensed to provide architectural services.

Kentucky	Design Professional Regulation	Firm License	Corporate Formation Requirements
	Engineering	A business entity must obtain a permit from	There are no restrictions on the type of business
		the KBE in order to practice engineering in	entities that can provide engineering services.
	Kentucky Board of Engineers & Land	KY. Application available	
	Surveyors	at http://kyboels.ky.gov/Getting-	Business entities may engage in the practice of
	("KBE") https://kyboels.ky.gov/Getting-	<u>Licensed/Pages/Getting-a-Firm-Permit.aspx</u>	engineering provided that (1) at least one principal,
			officer, or designated employee is a professional
	Licensed/Pages/Getting-a-Firm-Permit.aspx		engineer who is in responsible charge of the
		In addition to paying a fee, a firm must	engineering work and (2) the entity has a permit
	Licensing Statute – KRS 322.010 et. seq.	submit the following to the KBE: the names	from the KBE. See KRS 322.060; see also 201 Ky.
		and addresses of all principals and officers;	Admin. Reg. § 18:180
	Licensing Regulations – 201 Ky. Admin. Reg.	the licensed number of principals, officers,	
	18:180	and employees who are professional	*KY also allows for the formation of Professional Service
		engineers in responsible charge of the firm's	Corporations (KRS 274 et seq.); however, the licensing
		practice of engineering in Kentucky; a list of	statutes and regulations do not require that engineering
		locations of all offices in Kentucky at which	services be provided through a PSC.
		the business entity offers professional	
		engineering services; a statement of	If a PSC is formed for the purpose of providing
		qualifications for the permit; and references	engineering services, KRS 274.015 requires that all
		as required by KBE's administrative	shareholders, officers other than the secretary and
		regulations.	treasurer, and not less than ½ of the directors be
			licensed to provide engineering services.
		Permits for business entities must be	
		renewed every two years.	

Louisiana Design	gn Professional Regulation	Firm License	Corporate Formation Requirements
Authors Mark W. Mercante, Esq. Nick R. Pitre, Esq. Max C. Hadley, Esq. BAKER, DONELSON, BEARMAN, CALDWELL & BERKOWITZ, P.C. No. 3 Sanctuary Boulevard Suite 201 Mandeville, Louisiana 70471 Archite Louisia Examir Examir Licensi Seq. Licensi https:/	siana State Board of Architectural niners https://www.lsbae.com	The following types of business entities require firm licensure to practice architecture: (1) Louisiana Corporations formed pursuant to Louisiana Business Corporation Law (2) Louisiana Nonprofit Corporations (3) Louisiana Architectural-Engineering Corporations (4) Louisiana Limited Liability Companies (5) Foreign Corporations or LLCs	In addition to permitting an architectural firm to practice under the general business entity forms (<i>i.e.</i> corporation, LLC, etc.), Louisiana law also provides for the formation of a professional architectural corporation, which is defined as a corporation organized pursuant to La. R.S. 12:1086, <i>et seq.</i> , for the practice of architecture as provided for by La. R.S. 37:141 through La. R.S. 37:158, or a corporation that is certified to be in compliance therewith by the Louisiana State Board of Architectural Examiners. <i>See</i> La. R.S. 12:1086, <i>et seq.</i> A majority of the outstanding shares of a professional architectural corporation must be held by one or more natural persons duly licensed to practice architecture in Louisiana. La. R.S. 12:1090(B). Further, architectural services rendered on behalf of a professional architectural corporation must be performed by or under the direct supervision of a natural person duly licensed to practice architecture in Louisiana. La. R.S. 12:1097. Professional architectural corporations are subject to specific regulation and discipline by the Louisiana State Board of Architectural Examiners. 46 La. Admin. Code, Part I, § 1701. Louisiana law also provides for the formation of an architectural-engineering corporation, which is defined as a corporation organized pursuant La. R.S. 12:1171, <i>et</i>

Louisiana	Design Professional Regulation	Firm License	Corporate Formation Requirements
			law governing the practice of architecture and engineering by the Louisiana State Board of Architectural Examiners and the Louisiana Professional Engineering and Land Surveying Board. Architectural services rendered on behalf of an architectural-engineering corporation must be performed by or under the direct supervision of a natural person duly licensed to practice architecture in Louisiana, and these architects are responsible to the Board for all acts and conduct of the corporation. La. R.S. 12:1178; 46 La. Admin. Code, Part I, § 1703.
	Engineering Louisiana Professional Engineering and Land Surveying Board ("LAPELS") https://lapels.com Licensing Statutes — Louisiana Revised Statutes Title 37. Professions and Occupations, Chapter 8. Professional Engineering and Professional Land Surveying (La. R.S. 37:681, et seq.)	All types of business entities (corporation, limited liability company, partnership, etc.) require firm licensure to practice engineering in Louisiana except non-firm individual proprietorships (sole proprietorships) that are not legal entities and which bear the full name of the owner who is a licensed professional. Such sole proprietorships are not required to be licensed as engineering firms with the board (only individual licensure is required). Non-firm individual proprietorships that do not bear the full name of the owner who is a licensed professional must be licensed with the board as an engineering firm.	There are no specific requirements in Louisiana for the corporate formation of an engineering firm. Engineering firms are permitted to practice under all types of general business entity forms, including a partnership, association, cooperative, venture, corporation, limited liability company, limited liability partnership, or any other business entity form authorized under Louisiana law.

Louisiana	Design Professional Regulation	Firm License	Corporate Formation Requirements
	Licensing Regulations — Louisiana Administrative Code Title 46. Professional and Occupational Standards, Part LXI. Professional Engineers and Land Surveyors https://www.lapels.com/LawsRules.ht ml	 Execute the paperwork to create the firm (note that a firm using any derivative of the word "engineering" or "surveying" must submit a completed Waiver Request Form to the Louisiana Secretary of State). If the firm is a corporation or limited liability company, obtain a certificate of incorporation or certificate of organization (domestic corporations and LLCs) or certificate of authority (foreign firms) from the Louisiana Secretary of State. Within thirty days after forming the firm or obtaining the certificate from the Louisiana Secretary of State, submit to the Board a completed Application for Firm License along with a copy of the firm's certificate from the Louisiana Secretary of State. La. R.S. 37:689. 	engineering by the Louisiana State Board of Architectural Examiners and the Louisiana Professional Engineering and Land Surveying Board.

Louisiana	Design Professional Regulation	Firm License	Corporate Formation Requirements
		Supervising Professionals (46 La. Admin. Code, Part I, § 2305): Each firm licensed with the board must designate one or more supervising professionals. Each supervising professional must be a licensed professional in an active status: a. whose primary employment is with the firm on a full-time basis, provided the supervising professional works for the firm for a 12-month average of at least 30 hours per week or 130 hours per month; or b. whose employment is with the firm, provided the supervising professional has at least a 25 percent ownership interest in the firm. The supervising professionals of an engineering firm shall be professional engineers. The supervising professional sof a land surveying firm shall be professional land surveyors.	

Maine	Design Professional Regulation	Firm License	Corporate Formation Requirements
Author	Architecture	A firm, corporation, company, partnership or limited liability company may not itself be	Because a firm may not itself be licensed, the corporate formation requirements
Gavin G. McCarthy, Esq., Matthew O. Altieri, Esq. PIERCE ATWOOD LLP Merrill's Wharf 254 Commercial Street, Portland, Maine 04101 (207) 791-1100	Maine Board of Licensure for Architects, Landscape Architects and Interior Designers: www.maine.gov/pfr/professionallicensing/professions/architects Licensing Statute – 32 M.R.S. § 220, et seq.	licensed to practice architecture or landscape architecture. Rather, a license may only be issued to an individual, and licensure must be determined on individual and personal qualifications. <i>See</i> 32 M.R.S. § 220-C.	are inapplicable. Should a group of licensed architects wish to form a non-licensed corporation, Title 13-C's general corporate formation rules would apply.
gmccarthy@pierceatwood.com	Licensing Regulations – 02 C.M.R. 288, Chapters 10-19		
	Engineering Maine Board of Licensure for Professional Engineers: www.maine.gov/professionalengineers Licensing Statute – 32 M.R.S. § 1251, et seq.	There is no provision for licensure of an engineering firm, and no avenue by which such an entity may become licensed as a practical matter, since the licensing statute has certain requirements that only a natural person could fulfill. See id. § 1352-A(1) (requiring, for example, a college degree). A firm, copartnership, corporation or joint stock	Because a firm may not be itself be licensed, the corporate formation requirements are inapplicable. Should a group of licensed engineers wish to form a non-licensed corporation, Title 13-C's general corporate formation rules would apply.
	Licensing Regulations – 02 C.M.R. 322, Chapters 1-3	association may, however, engage in the practice of professional engineering in Maine, provided that the practice is carried on only by professional engineers licensed in the State. <i>See</i> 32 M.R.S. § 1253.	

Maryland	Design Professional Regulation	Firm License	Corporate Formation Requirements
Authors Joseph C. Kovars, Esq. Christopher C. Dahl, Esq. Baker, Donelson, Bearman, Caldwell & Berkowitz, PC 100 Light Street, 19th Fl Baltimore, MD 21202 (410) 685-1120 jkovars@bakerdonelson.com cdahl@bakerdonelson.com	Architecture Maryland Board of Architects — www.dllr.state.md.us/license/arch Licensing Statute — Md. Code Ann., Bus. Occ. § 3-101 et seq. ("Maryland Architects Act") Licensing Regulations — COMAR 09.21 Maryland Professional Service Corporation Act — Md. Code Ann., Corps. & Ass'ns § 5- 101 et seq.	The following types of business entities require a permit issued by the Maryland Board of Architects to provide architectural services: (1) Corporations; (2) Limited liability companies; and (3) Partnerships. A "permit" to provide architectural services is different from a "license" to practice architecture. Individuals are licensed. Business entities obtain permits. Md. Code Ann., Bus. Occ. § 3-40 2 (2).	In order for a corporation to qualify for a permit to provide architectural services, at least two-thirds of the directors of the corporation must be licensed in Maryland or another state to practice architecture, engineering, or landscape architecture. Md. Code Ann., Bus. Occ. § 3-404 (b)(1). Likewise, in order for a partnership to qualify for a permit to provide architectural services, at least two-thirds of the partners must be licensed in Maryland or another state to practice architecture, engineering, or landscape architecture. If the partnership is a limited partnership, then at least two-thirds of the general partners of the limited partnership must be so licensed. Md. Code Ann., Bus. Occ. § 3-404 (b)(2). Finally, in order for a limited liability company to qualify for a permit to provide architectural services, at least two-thirds of the members of the limited liability company must be licensed in Maryland or another state to practice architecture, engineering, or landscape architecture. Md. Code Ann., Bus. Occ. § 3-404 (b)(3). Each of the above business entities operating under a permit to provide architectural services must appoint at least one "responsible member" who is (1) a Maryland licensed architect and (2) a director of the corporation, member of the limited liability company, or partner of the partnership. The "responsible member" shall be in responsible charge of architecture practiced through the business entity. Md. Code Ann., Bus. Occ. § 3-404 (c).

Maryland	Design Professional Regulation	Firm License	Corporate Formation Requirements
			In addition, a corporation that provides architectural services may elect to be a professional corporation under the Maryland Professional Service Corporation Act, in which case it will be subject to the requirements of that Act. The election to organize as a professional corporation is not mandatory for an architecture firm in Maryland, however. Md. Code Ann., Corps. & Ass'ns § 5-101 (g)(2)(i).
			Should a corporation that exclusively provides architectural services elect to organize as a professional corporation under the Maryland Professional Service Corporation Act, all of its stockholders must be licensed in Maryland or in another state to render architectural services. Md. Code Ann., Corps. & Ass'ns § 5-109. In addition to the licensing requirement that two-thirds of the directors of the professional corporation be Maryland-licensed architects, under the Maryland Professional Service Corporation Act all of the professional corporation's officers (except for the Secretary and Treasurer) must be licensed in Maryland or in another state to render architectural services. Md. Code Ann., Corps. & Ass'ns § 5-117.

Maryland	Design Professional Regulation	Firm License	Corporate Formation Requirements
	Engineering Maryland Board for Professional Engineers - www.dllr.state.md.us/license/pe Licensing Statutes – Md. Code Ann., Bus. Occs. § 14-101 et seq. ("Maryland Professional Engineers Act") Licensing Regulations – COMAR 09.23 Maryland Professional Service Corporation Act – Md. Code Ann., Corps. & Ass'ns § 5- 101 et seq.	Under the Maryland Professional Engineers Act, a licensed professional engineer may practice engineering through: (1) a corporation as an officer, employee, or (2) agent of the corporation. (3) a limited liability company as a member, employee, or agent of the limited liability company; or (4) a partnership as a partner, employee, or agent of the partnership. (5) A corporation, limited liability company, or partnership that operates a business through which engineering is practiced shall be required to hold a permit issued by the Maryland Board for Professional Engineers. Md. Code Ann., Bus. Occs. § 14-402.	The Maryland Professional Engineers Act concerning permits does not set forth any special requirements for the governance of business entities through which engineering is practiced. Rather, to qualify for a permit, a corporation, limited liability company, or partnership must designate a Maryland-licensed professional engineer to serve as a "managing agent." The "managing agent" must be: (1) in a position to act on behalf of the business entity in matters related to the practice of or the offering of the practice of engineering; and (2) either an employee, owner, director, officer, member, or partner of the business entity. A professional engineer may not serve as the "managing agent" for more than one business entity, unless the business entities are affiliates. Md. Code Ann., Bus. Occs. § 14-403. In addition, a corporation that provides engineering services may elect to be a professional corporation under the Maryland Professional Service Corporation Act, in which case it will be subject to the requirements of that Act. The election to organize as a professional corporation is not mandatory for an engineering firm in Maryland, however. Md. Code Ann., Corps. & Ass'ns § 5- 101 (g)(2)(ix).

Maryland	Design Professional Regulation	Firm License	Corporate Formation Requirements
Maryland	Design Professional Regulation	Firm License	Should a corporation that exclusively provides engineering services elect to organize as a professional corporation under the Maryland Professional Service Corporation Act, all of its stockholders must be licensed in Maryland or in another state to render engineering services. Md. Code Ann., Bus. Occs. § 5-109. In addition, the Maryland Professional Service Corporation Act would require that a majority of the professional corporation's directors, and all of its officers (except for the Secretary and Treasurer), be licensed in Maryland or in another state to render engineering services. Md. Code Ann., Bus. Occs. § 5-117.

Massachusetts	Design Professional Regulation	Firm License	Corporate Formation Requirements
Authors Katharine E. Kohm, Esq. PIERCE ATWOOD LLP One Citizens Plaza, 10 th Fl. Providence, RI 02903 (401) 588-5166 kkohm@PierceAtwood.com	Architecture Massachusetts Board of Registration of Architects – https://www.mass.gov/orgs/board-of-registration-of-architects Licensing Statute – Mass. Gen. Laws C. 112 §§ 60A through 60P Licensing Regulations – 231 C.M.R. §§ 2 through 4.05	Massachusetts's architect licensing statutory and regulatory regime registers individuals and does not require firms (either domestic or foreign) to obtain firm-specific authorizations or licenses to practice. 231 C.M.R. §4.04. However, pursuant to the Rules of Professional Conduct, if the name or business title of the firm is not the real name of the individual architects, then the full name and residence of each person associated with the business title or fictional name must be registered. See 231 C.M.R. §4.03. Of course, the practice of architecture by certain entities such as corporations, LLPs, PCs, and LLCs, have specific state registration requirements pursuant to organization and registration legislation other than the architect licensing statute. These entities must register with the Secretary of State in order to do business in Massachusetts: (1) limited liability partnership (LLP) (2) professional corporations (PC) (3) limited liability company (LLC) (4) corporations Mass. Gen. Laws C. 108A §§ 45-47 (LLP); Mass. Gen. Laws C. 156A §§ 4(a), 7 (PC);	Corporation, Partnership, or Joint Venture — Although not requiring firm authorization or firm licensure with the Board, a partnership, joint venture, or corporation may practice architecture provided it meets certain formation and control requirements: (1) any agreement to perform such architecture services must be executed on behalf of the partnership or corporation by the partner or partners, or by the officer or officers who shall be the registered architect or registered architects exercising professional and supervisory control for the services. Mass. Gen. Laws C. 112 § 60L (8); 231 C.M.R. §4.04(2), (3), (6). (2) at least one officer of the corporation (status established in the articles or by-laws), one partner of the partnership, or one member of the joint venture must be registered as an architect in Massachusetts; 231 C.M.R. §4.04(2), (3), (6). (3) All architectural services performed by that corporation, partnership, or joint venture must be performed by the Massachusetts-registered-architect officer, partner, or joint venture member, or by other individuals who perform such work under the responsible control of the Massachusetts-registered-architect officer, partner, or joint venture member. 231 C.M.R. §4.04(2), (3), (6). LLPs and LLCs — Although not requiring firm authorization or firm licensure with the Board, LLPs and LLCs, at the time of registration and in each annual report, are required to provide to the Secretary of State: (1) the names of each of the partners or members who render professional service on behalf of the LLP or LLC in the Massachusetts and their business addresses, Mass. Gen. Laws C. 108A § 45(7)(a), Mass. Gen. Laws c. 156C § 6, 231 C.M.R. §4.04(4), (5),

Massachusetts Design Professional Regulation Firm	rm License	Corporate Formation Requirements
Mas Mas see a	ass. Gen. Laws C. 156C §§ 6, 65 (LLC); ass. Gen. Laws C. 156D § 11 (Corp.). e also 231 C.M.R. §4.04 (applicable to entities practicing architecture)	(2) a certificate from the appropriate regulating board or boards that each of the partners or members who render professional service on behalf of the LLP or LLC is duly licensed to render such service, Mass. Gen. Laws C. 108A § 45(7)(b), Mass. Gen. Laws C. 156C § 6, 231 C.M.R. §4.04(4), (5), (3) certification that each of the partners or members who render professional services on behalf of the LLP or LLC is duly licensed to render such services. Mass. Gen. Laws C. 108A § 45(7)(c), Mass. Gen. Laws C. 156C § 6, 231 C.M.R. §4.04(4), (5), (4) certification that the LLP or LLC is maintaining required amounts of insurance e in an amount of at least \$50,000, plus the product of \$25,000 multiplied by the number of members or partners and the number of other employees or of at least \$300,000 for each claim with an aggregate top limit of liability for all claims during any one year of at least \$1,000,000; or participation in a segregated capital fund program equal to the amount of insurance required above . Mass. Gen. Laws C. 108A § 45(8)(a), Mass. Gen. Laws c. 156C § 65, 231 C.M.R. §4.04(7). Out-of-state LLCs and LLPs architectural firms may provide services provided they meet the above requirements and also file a certificate

PCs – Although not requiring express licensure with the Board, PCs, at the time of registration in the articles and in the annual report must provide to the Secretary of State: (1) corporate purposes indicating the professional services to be rendered, Mass. Gen. Laws c. 156A § 7(a), (2) a certificate by the appropriate regulating board or boards that each of the incorporators, the president and any vice presidents, a majority of the directors and each shareholder is duly licensed to render a professional service permitted by the articles of organization of the corporation. Mass. Gen. Laws C. 156A §§ 7(c), 9. Out-of-state PC architectural firms may provide services provided they meet the above requirements and file also a certificate with the Secretary of State to do business in Massachusetts. Mass. Gen. Laws C. 156A §§ 7, 17.
Exception – There is a limited exception to the requirement of individual (and presumably an individual on behalf of a firm) registration: An architect who is not a resident of Massachusetts, but holds a certificate to practice in his/her home state may hold him/herself out to perform services in Massachusetts provided that before any services actually are performed, the out of state architect is registered. Mass. Gen. Laws C. 112 § 60L(9).

Engineering Massachusetts's engineer licensing statutory and regulatory regime regulatory massachusetts Board of individuals and does not require firm	egisters express licensure with the Board, as part of the initial and yearly business
Registration of Professional Engineers and Professional Land Surveyors - https://www.mass.gov/orgs/b oard-of-registration-of- professional-engineers-and- land-surveyors Licensing Statute – Mass. Gen. Laws C. 112 § 81D through 81T Licensing Regulations – 250 C.M.R. 2.00 through 7.00 C.M.R. 2.00 through 7.00 That said, the practice of engineering registration requirements pursuant legislation other than the engineer licensing statute. The following typentities must provide specific registration to the Secretary of Statorder to do business in the state like practice professional corporations (PC) (3) limited liability company (LLC)	Regulatory Board" form to be signed by the Board of Registration for Professional Engineers and Land Surveyors. Otherwise, a co-partnership, corporation or joint stock association may practice engineering provided that the person in direct charge, control, and supervision of the practice is a professional engineer holding a Massachusetts certificate of registration and is an active participant in the contracting, reporting, publishing, scheduling, etc. of professional services being offered by the firm. Mass. Gen. Laws C. 112 § 81R(f); 250 C.M.R. 5.02. LPS and LLCS — Although not requiring express licensure with the Board, LLPs and LLCs, at the time of registration and in each annual report, are required to provide to the Secretary of State: (1) the names of each of the partners or members who render professional service on behalf of the LLP or LLC in the Massachusetts and their business addresses, Mass. Gen. Laws C. 108A § 45(7)(a), Mass. Gen. Laws C. 156C § 6. (2) a certificate of the appropriate regulating board or boards that each of the partners or members who render professional service on behalf of the LLP or LLC is licensed, Mass. Gen. Laws C. 108A § 45(7)(b), Mass. Gen. Laws C. 156C § 6. (3) certification that each of the partners or members who render professional services on behalf of the LLP or LLC is licensed to render such services. Mass. Gen. Laws C. 108A § 45(7)(c), Mass. Gen. Laws C. 156C § 6. (4) certification that the LLP or LLC is maintaining required amounts of insurance in designated amounts deemed appropriate by the regulating board to cover negligence, wrongful acts, errors and omissions and that

Massachusetts	Design Professional Regulation	Firm License	Corporate Formation Requirements
		Mass. Gen. Laws C. 108A §§ 45-47 (LLP); Mass. Gen. Laws C. 156A §§ 7 (PC); Mass. Gen. Laws C. 156C §§ 6, 65 (LLC); Mass. Gen. Laws C. 156 § 11 (Corp.).	Out-of-state LLC and LLP engineering firms may provide services provided they meet the above requirements and also file a certificate with the Secretary of State to do business in Massachusetts. Mass. Gen. Laws C. 108A § 47(4)-(6) (LLPs); Mass. Gen. Laws C. 156C § 48 (LLCs).
			PCs – Although not requiring express licensure with the Board, PCs, at the time of registration in the articles and in the annual report must provide the Secretary of State:
			(1) corporate purposes indicating the professional services to be rendered, Mass. Gen. Laws C. 156A § 7(a),
			(2) a certificate by the appropriate regulating board or boards that each of the incorporators, the president and any vice presidents, a majority of the directors and each shareholder is duly licensed to render a professional service permitted by the articles of organization of the corporation. Mass. Gen. Laws C. 156A § 7(c); 9.
			Out-of-state PC engineering firms may provide services provided they meet the above requirements and also file a certificate with the Secretary of State to do business in Massachusetts. Mass. Gen. Laws C. 156A §§ 7, 17.
			Exception – There is a limited exception to the requirement of individual (and presumably an individual on behalf of a firm) registration: The practice of engineering by a person who is not a resident of Massachusetts or who does not have an established place of business in Massachusetts is permissible if (1) that practice does not exceed more than thirty (30) consecutive days in any calendar year, (2) the person is legally qualified by registration to practice engineering in his or her own state or country, (3) the person never was licensed in Massachusetts, and (4) the person applies for, receives, and pays a fee for a temporary permit. Mass. Gen. Laws C. 112, § 81R(b), (c); 250 C.M.R. 3.09.

Michigan	Design Professional Regulation	Firm License	Corporate Formation Requirements
Author Matthew C. Herstein, Esq. DENEWETH, VITTIGLIO & SASSAK, P.C. 1175 W. Long Lake Rd., Ste. 202, Troy, MI 48098 (248) 290-0406 mherstein@dvslaw.com	Architecture and Engineering Michigan Department of Licensing and Regulatory Affairs Architects https://www.michigan.gov/lara/bureau -list/bpl/occ/prof/architects Engineers - https://www.michigan.gov/lara/burea u-list/bpl/occ/prof/engineers Licensing Statute – MCL § 339.2001, et. seq.	Michigan only licenses individuals to practice professional engineering or architecture. Firms are not licensed to practice professional engineering or architecture.	Pursuant to MCL § 339.2010(1), a "firm" may engage in the practice of architecture, professional engineering, or professional surveying in Michigan if not less than 2/3 of the "principals" of the firm are licensees. A "firm" is defined by MCL § 339.2001(b) as "a sole proprietorship, partnership, corporation, or limited liability company through which a person licensed under this article offers or provides a service to the public." A "principal" is defined by MCL § 339.2001(h) as "a sole proprietor, partner, the president, vice-president, secretary, treasurer, or director of a corporation, or a member or manager of a limited liability company." If the conduct of the firm and its principals complies with the rules promulgated by Michigan's Department of Licensing and Regulatory Affairs ("LARA"), a non-licensed principal and the principal's firm may apply for and receive an approval from LARA to engage in the practice of architecture, professional engineering, or professional surveying. The Michigan Business Corporation Act addresses Professional Corporations. See MCL § 450.1281, et seq. Under this Act, professional corporations offering architectural and professional engineering services may only provide professional services through its officers, employees and agents who are
			offering architectural and professional engineering services may only provide professional services

Minnesota	Design Professional Regulation	Firm License	Corporate Formation Requirements
Author Kristine A. Kubes, Esq. KUBES LAW OFFICE, PLLC International Market Square 275 Market Street Suite 566 Minneapolis, MN 55405 (612) 333-3262 kristine@kubeslaw.com	Architecture Minnesota Board of Architecture, Engineering, Land Surveying, Landscape Architecture, Geoscience & Interior Design ("AELSLAGID") https://mn.gov/aelslagid/ Licensing Statute: Minn. Stat. § 326.01-326.15 Licensing Rules: Minn. Rules 1800 and 1805	MN does not license firms. Licenses are individually held by persons who meet the qualifications for licensure – education, examination, and experience. Only persons meeting the qualifications for licensure may practice, or offer to practice, architecture in the state of Minnesota. Minn. Stat. § 326.02, subd. 1. "Architect" is a protected term in Minnesota and may not be used except by a licensed architect. <i>Id</i> . "It shall be unlawful for any person * * * to use in connection with the person's name, or to otherwise assume, use or advertise any title or description tending to convey the impression that the person is an architect." <i>Id</i> . Requirements and procedures are set forth in Minn. Stat. Ch. 326 and in Minn. Rules 1800.1000-1300 and et seq. All licensees are required to comply with the Code of Professional Conduct at Minn. Rule 1805.0100-1600.	In order to operate in MN as a firm offering professional services (such as architecture), a resident business entity must first be created under the MN Business Corporations Act (Minn. Stat. Ch. 302A); the MN Nonprofit Corporation Act (Minn. Stat. Ch. 317A); the MN Uniform Limited Partnership Act (Minn. Stat. Ch. 321); the MN Revised Uniform Limited Liability Company Act (Minn. Stat. Ch. 322C); or the MN Uniform Partnership Act (Minn. Stat. Ch. 323A). For requirements related to foreign business entities, see Minn. Stat. Ch. 303 (foreign corporations), Ch. 321 (foreign LPs), Ch. 322C (foreign LLCs), and Ch. 323A (foreign LLPs). Architects may form the above entities, provided that the person or persons in such entities who are in responsible charge are licensed architects. Minn. Stat. § 326.14. Architects may choose to form their entities as "professional firms" if entity members want to limit firm ownership and governance to licensed professionals. Minn. Stat. §§ 319B.07, 319B.09. This election is made in the originating documents or by later amendment. Minn. Stat. § 319B.03, subd. 2. If electing to be a "professional firm," the firm shall be governed by the MN Professional Firms Act ("PFA"). Minn. Stat. § 319B.03, subd. 1. The professional firm must register annually with the governing Board (AELSLAGID) and pay annual registration to the Sec. of State. Minn. Stat. § 319B.11, subds. 3, 4. Where a term of the PFA conflicts with a term of the applicable business entity statute, the PFA controls. Minn. Stat. § 319B.03, subd. 4.

MN Architecture cont'd. Individual members of professional firms are subject to the requirements of their respective licensing boards. Minn. Stat 3198.11. Firms providing professional services and formed under the Firms that complies with statutory requirements of their name that complies with statutory requirements of the professional service firms must be owned be persons or companies of persons who are authorized to furnite least one category of the professional firm's pertinent professional services. See Minn. Stat. § 3198.07 for all categories/ required An owner may not sell, gift, assign, or transfer ownership to unless that person/entity meets the requirements of ownership to the professional firm's person of the professional firm's persons or companies.
laid out in Section 319B.07. As a general rule, professional service firms must be governed one or more professionals, each of whom is licensed to furnis least one category of the pertinent professional services; or be surviving spouse of a deceased professional who was the sole owner of the professional firm, while the surviving spouse ow and controls the firm, but only during the period of time ending one year after the death of the professional. See Minn. Stat. 319B.09.

Minnesota	Design Professional Regulation	Firm License	Corporate Formation Requirements
	Engineering	MN does not license firms. Licenses are individually held by persons who meet the	In order to operate in MN as a firm offering professional services (such as architecture), a resident business entity must first be
	Minnesota Board of Architecture, Engineering, Land Surveying, Landscape Architecture,	qualifications for licensure – education, examination, and experience.	created under the MN Business Corporations Act (Minn. Stat. Ch. 302A); the MN Nonprofit Corporation Act (Minn. Stat. Ch. 317A); the MN Uniform Limited Partnership Act (Minn. Stat. Ch. 321);
	Geoscience & Interior Design ("AELSLAGID") https://mn.gov/aelslagid/	Only persons meeting the qualifications for licensure may practice, or offer to practice, architecture in the state of Minnesota. Minn. Stat. § 326.02, subd. 1.	the MN Revised Uniform Limited Liability Company Act (Minn. Stat. Ch. 322C); or the MN Uniform Partnership Act (Minn. Stat. Ch. 323A). For requirements related to foreign business entities, see Minn. Stat. Ch. 303 (foreign corporations), Ch. 321 (foreign
	Licensing Statute: Minn. Stat. § 326.01-326.15	"Professional Engineer" and "PE" are protected terms in Minnesota and may not	LPs), Ch. 322C (foreign LLCs), and Ch. 323A (foreign LLPs). Engineers may form the above entities, provided that the person
	Licensing Rules: Minn. Rules 1800 and 1805	be used except by a licensed engineer. <i>Id.</i> , subd. 3.	or persons in such entities who are in responsible charge are licensed architects. Minn. Stat. § 326.14.
		"It shall be unlawful for any person * * * to use in connection with the person's name, or to otherwise assume, use or advertise any title or description tending to convey the impression that the person is a professional engineer." <i>Id.</i> , subd. 1.	Engineers may choose to form their entities as "professional firms" if entity members want to limit firm ownership and governance to licensed professionals. Minn. Stat. §§ 319B.07, 319B.09. This election is made in the originating documents or by later amendment. Minn. Stat. § 319B.03, subd. 2.
		Requirements and procedures are set forth in Minn. Stat. Ch. 326 and in Minn. Rules 1800.1000-1300 and et seq.	If electing to be a "professional firm," the firm shall be governed by the MN Professional Firms Act ("PFA"). Minn. Stat. § 319B.03, subd. 1. The professional firm must register annually with the governing Board (AELSLAGID) and pay annual registration to the Sec. of State. Minn. Stat. § 319B.11, subds. 3, 4. Where a term of
		All licensees are required to comply with the Code of Professional Conduct at Minn. Rule 1805.0100-1600.	the PFA conflicts with a term of the applicable business entity statute, the PFA controls. Minn. Stat. § 319B.03, subd. 4.

Minnesota	Design Professional Regulation	Firm License	Corporate Formation Requirements
Minnesota	MN Engineering cont'd.	Firm License	Individual members of professional firms are subject to the requirements of their respective licensing boards. Minn. Stat. § 319B.11. Firms providing professional services and formed under the PFA must use a firm name that complies with statutory requirements. See Minn. Stat. 319B.05. As a general rule, professional service firms must be <i>owned</i> by persons or companies of persons who are authorized to furnish at least one category of the professional firm's pertinent professional
			services. See Minn. Stat. § 319B.07 for all categories/ requirements. An owner may not sell, gift, assign, or transfer ownership to another unless that person/entity meets the requirements of ownership as laid out in Section 319B.07.
			As a general rule, professional service firms must be <i>governed</i> by one or more professionals, each of whom is licensed to furnish at least one category of the pertinent professional services; or by a surviving spouse of a deceased professional who was the sole owner of the professional firm, while the surviving spouse owns and controls the firm, but only during the period of time ending one year after the death of the professional. See Minn. Stat. § 319B.09.

Minnesota	Design Professional Regulation	Firm License	Corporate Formation Requirements
	MN Engineering cont'd.		Individual members of professional firms are subject to the requirements of their respective licensing boards. Minn. Stat. §§ 319B.11, .14.
			Firms providing professional services and formed under the PFA must use a firm name that complies with statutory requirements. See Minn. Stat. 319B.05.
			As a general rule, professional service firms must be <i>owned</i> by persons or companies of persons who are authorized to furnish at least one category of the professional firm's pertinent professional services. See Minn. Stat. § 319B.07 for all categories/ requirements. An owner may not sell, gift, assign, or transfer ownership to another unless that person/entity meets the requirements of ownership as laid out in Section 319B.07.
			As a general rule, professional service firms must be <i>governed</i> by one or more professionals, each of whom is licensed to furnish at least one category of the pertinent professional services; or by a surviving spouse of a deceased professional who was the sole owner of the professional firm, while the surviving spouse owns and controls the firm, but only during the period of time ending one year after the death of the professional. See Minn. Stat. § 319B.09.

Mississippi	Design Professional Regulation	Firm License	Corporate Formation Requirements
Authors	Architecture	Firms are not permitted to hold	An architect can practice through a professional
		licenses to practice architecture.	corporation or association or through a professional
Jennifer Kanady, Esq.	Mississippi Board of	Licensure is issued to individuals only.	limited liability company.
Ben Serverian	Architecture http://www.archbd.state.ms.us	Miss. State Bd. of Arch. Rule 3.2.12	
FAC Services, LLC		(2014).	Professional architectural corporations or associations are
800 University Bay Dr Suite 200	Licensing Statute – Miss. Code Ann. § 73-		governed by Mississippi's Professional Corporations Act
Madison, WI 53705	1-1 et. seq.	"No stock company, corporation,	("PCA"), subject to the following requirements:
(608) 231-2020		professional corporation or	At least one active stockholder must hold a
<u>ikanady@facfin.com</u>	Licensing Regulations – Miss. State Board	professional limited liability company	certificate to practice architecture in Mississippi; and
	of Architecture Rules and Regulations	shall be entitled to a certificate to	2. Each stockholder, director and officer must hold a
	(2014); Title 30, Part 201;	practice architecture." Miss.	licensure for architecture or engineering in his or her
		Code Ann. § 73-1-19.	state of residence; and
	Professional Corporation Act – Miss. Code		3. Only licensed individuals are permitted to own
	Ann. § 73-10-1 et. seq.	An architect can practice as a sole	stock in the professional architectural corporation or
		proprietor, or through a partnership,	association.
	Professional Limited Liability Company Act	a professional corporation, a	
	- Miss. Code Ann. § 79-29-901 et. seq.	professional association, or a	Professional architectural limited liability companies are
		professional limited liability company.	governed by Mississippi's Professional Limited Liability
	Uniform Partnership Act - Miss. Code		Company Act ("PLLCA"), subject to the following
	Ann. § 79-13-101 et. seq.	An individual architect must	additional requirements:
		provide information to the	1. At least one owner of a membership interest in
		State Board of Architecture as	the PLLC must hold a certificate to practice architecture
		to the business entity through	in Mississippi; and
		which the architect practices	2. Each owner of a membership interest and <u>any</u>
		architecture in Mississippi.	managers of the PLLC must hold certificates to practice
			architecture in that person's state or
			3. residence; and
			4. Only licensed architects or licensed engineers
			practicing with a licensed architect are permitted to own

Mississippi	Design Professional Regulation	Firm License	Corporate Formation Requirements
			membership interests in or be a manager of a PLLC offering architectural services in Mississippi.
			Architectural partnerships are governed by Mississippi's Uniform Partnership Act of 1997 (UPA), subject to the following additional requirements: 1. At least one active partner must hold a certificate to practice architecture in Mississippi; and 2. Only licensed architects or licensed engineers practicing with a licensed architect are permitted to be active members of the partnership.

Mississippi	Design Professional Regulation	Firm License	Corporate Formation Requirements
	Engineering	The following types of entities require firm	To qualify to hold an Engineering Certificate
		licensure to practice engineering:	of Authority, each Professional Service
	Mississippi Board of Licensure for Professional	(1) A Professional Service Corporation.	Corporation, Corporation, General
	Engineers &	(2) A Corporation;	Partnership, Limited Partnership, Limited
	Surveyors http://www.pepls.state.ms.us/pepls/web.nsf	(3) A General Partnership;	Liability Partnership, and Limited Liability
		(4) A Limited Partnership;	Company must designate at least one
	Licensing Statute – Miss. Code Ann. § 73-13-1 et. seq.	(5) A Limited Liability Partnership;	Mississippi-licensed Professional Engineer
		(6) A Limited Liability Company.	who is either a principal officer, partner, or
	Licensing Regulations – Miss. Bd. of Licensure for Prof.	Miss. Code Ann. § 73-13-3.	the designated principal engineer of the
	Eng. and Surveyors Rules and Regulations of		firm with management responsibility for
	Procedures (2014); Title 30, Part 901	A sole proprietorship owned and operated	the firm's practice, and who makes
		by a licensed engineer is not required to	significant technical and/or contractual
	Professional Corporation Act – Miss. Code Ann. § 73-	obtain a license.	judgments on behalf of the firm which
	10-1 et. seq.		would affect the firm's professional
		A professional association of licensed	reputation and liability.
	Professional Limited Liability Company Act - Miss. Code	professional engineers is not required to	
	Ann. § 79-29-901 et. seq.	obtain a license.	A licensee who renders occasional, part-
			time or consulting services to a firm may
	Uniform Partnership Act - Miss. Code Ann. § 79-13-101	Both the licensed sole proprietor and the	not be designated as being responsible for
	et. seq.	licensees within a professional association	the professional services of the firm.
		are to maintain their individual licenses in	See PCA requirements above.
		active status and only offer the	
		professional services for which they are	
		licensed and qualified to provide.	
		Miss. Code Ann. § 73-13-3.	

Missouri	Design Professional Regulation	Firm License	Corporate Formation Requirements
Author Jennifer Kanady, Esq. Ben Serverian FAC SERVICES, LLC 800 University Bay Dr Suite 200 Madison, WI 53705 (608) 231-2020 jkanady@facfin.com	Architecture Missouri Board for Architects, Professional Engineers, Professional Land Surveyors, and Landscape Architects http://pr.mo.gov/apelsla.asp Licensing Statute – R.S.Mo. 327.091 et. seq. Licensing Rules – www.sos.mo.gov/adrules/csr/cur rent/20csr/20csr.asp#20-2030	Missouri's licensing laws are applicable to corporations as well as to natural persons. As used in Missouri's licensing statutes, the term "corporation" means any: (1) General Business Corporation (2) Professional Corporation (3) Limited Liability Company Any licensed architect may also practice through the medium of a partnership.	The entitlement of any business entity to a certificate of authority to practice architecture is tied to the licensing of one or more of its individual members or employees. Any domestic or foreign corporation, having as one of its corporate purposes the practice of architecture, may render architectural services in Missouri if: (1) the directors of the corporation have assigned responsibility for the proper conduct of all architectural activities in Missouri to an architect licensed and authorized to practice in Missouri; (2) the person(s) who is personally in charge of and supervises the architectural activities of the corporation in Missouri is a duly licensed architect in Missouri; and (3) the corporation obtains and pays for a certificate of authority. Professional corporations may render professional services only through its officers, employees, and agents who are duly licensed to render that professional service. Unlike corporations, partnerships are not required to secure certificates of authority.
	Engineering Missouri Board for Architects, Professional Engineers,	Missouri's licensing laws are applicable to corporations as well as to natural persons. As used in Missouri's licensing statutes, the term "corporation" means any:	The entitlement of any business entity to a certificate of authority to practice architecture is tied to the licensing of one or more of its individual members or employees.

Missouri	Design Professional Regulation	Firm License	Corporate Formation Requirements
	Land Surveyors, and Landscape Architects	(1) General Business Corporation (2) Professional Corporation	Any domestic or foreign corporation, having as one of its corporate purposes the practice of architecture, may render
	http://pr.mo.gov/apelsla.asp	(3) Limited Liability Company	architectural services in Missouri if:
	Licensing Statute – R.S.Mo. 327.181 et. seq. Licensing Rules – www.sos.mo.gov/adrules/csr/curren/20csr/20csr.asp#20-2030	Any licensed engineering may also practice through the medium of a partnership.	 (1) the directors of the corporation have assigned responsibility for the proper conduct of all architectural activities in Missouri to an architect licensed and authorized to practice in Missouri; (2) the person(s) who is personally in charge of and supervises the architectural activities of the corporation in Missouri is a duly licensed architect in Missouri; and (3) the corporation obtains and pays for a certificate of authority.
			Professional corporations may render professional services only through its officers, employees, and agents who are duly licensed to render that professional service.
			Unlike corporations, partnerships are not required to secure certificates of authority.

Montana	Design Professional Regulation	Firm License	Corporate Formation Requirements
Author Jennifer Kanady, Esq. Ben Serverian FAC SERVICES, LLC 800 University Bay Dr Suite 200 Madison, WI 53705 (608) 231-2020 jkanady@facfin.com	Architecture Montana Board of Architects and Landscape Architects ("MTBALA") www.architect.mt.gov Licensing Statute: Mont. Code Ann. § 37-65-301 et. seq. Licensing	Pursuant to § 37-65-302 Mont. Code Ann.: No firm, company, partnership, association, corporation, or other similar organization shall be licensed as an architect. Only individuals shall be licensed as architects, but a number of architects constituting a firm may use the collective title "architects" or "licensed architects".	None.
	Engineering Montana Board of Professional Engineers and Professional Land Surveyors ("MTBELS") www.engineer.mt.gov Licensing Statute: Mont. Code Ann. § 37-67-301 et. seq. Licensing Regulations: ARM 24.183 et. seq.	Pursuant to § 37-67-320 Mont. Code Ann.: (1) A sole proprietorship, firm, partnership, or corporation: (a) May engage in the practice of engineering in this state if the sole proprietor or any member of the firm, partnership, or corporation who is in responsible charge* of engineering performed in this state is a professional engineer, as appropriate: (b) must have a professional engineer in residence who is in responsible charge of the engineering conducted in the office or place of engineering; and	None.

Montana	Design Professional Regulation	Firm License	Corporate Formation Requirements
		(c) shall obtain a certificate of	
		authorization from the board before	
		engaging in the practice of	
		engineering.	
		(2) The provisions of subsection (1) do	
		not apply to sole proprietorships,	
		firms, partnerships, or corporations	
		that are engaged in the practice of	
		engineering for their own benefit	
		and do not practice or offer	
		engineering services to others.	
		*Responsible Charge is defined in ARM	
		24.183.301	

Nebraska	Design Professional Regulation	Firm License	Corporate Formation Requirements
Author Jennifer Kanady. Esq. Ben Serverian FAC SERVICES, LLC 800 University Bay Dr Suite 200 Madison, WI 53705 (608) 231-2020 jkanady@facfin.com	Architecture and Engineering Nebraska Board of Engineers and Architects http://www.ea.nebraska.gov Licensing Statute — Neb. Rev. Stat. § 81-3436. Licensing Rules — Neb. Admin. Code § 110, Ch. 7. Professional Corporation Act — Neb. Rev. Stat. § 21-2201.	Any organization (partnership, limited liability company, corporation, professional corporation, or other form of business entity but not including public service providers) that practices or offers to practice for others architecture or engineering through individuals licensed under the Engineers and Architects Regulation Act must obtain a certificate of authorization by the Board of Engineers and Architects.	There are no specific requirements in Nebraska for the corporate formation of an architecture or engineering firm. Firms are permitted to practice under all types of business entities. Although architectural or engineering firms are not required to operate as a professional corporation in Nebraska, there are additional corporate governance requirements if they elect to do so. A professional corporation must have a certificate of registration from the regulating board for the profession, only licensed professionals may be
			shareholders, and a professional corporation may only be formed to provide one type of professional service.

Nevada	Design Professional Regulation	Firm License	Corporate Formation Requirements
Author Jennifer Kanady, Esq. Ben Serverian FAC SERVICES, LLC 800 University Bay Dr Suite 200 Madison, WI 53705 (608) 231-2020 jkanady@facfin.com	Architecture Nevada State Board of Architecture, Interior Design and Residential Design http://www.nsbaidrd.state.nv.us Licensing Statute — Nevada Revised Statutes, Chapter 623 Licensing Regulations-Nevada Administrative Code, Chapter 623 Adopted Regulations of the State Board of Architecture, interior Design and Residential Design — http://nsbaidrd.state.nv.us/uploads/R076-13A.pdf Licensing Rules — http://www.nsbaidrd.state.nv.us/uploads/register-architects/Arch-Rules-of- Conduct-08-09.pdf Professional Entities and Associations Act, NRS, Chapter 89	All types of business entities (partnership, corporation, limited-liability company or other forms of business organization or association) must obtain firm licensure before practicing architecture in Nevada. NRS 623.349. Steps for licensure are as follows: 1. Demonstrate to the Board that the applying entity is in compliance with all provisions of NRS 623.349. 2. Pay the fee for a certificate of registration pursuant to NRS 623.310. 3. Qualify to do business in the State of Nevada. 4. If the applying entity is a corporation, register with the Board and furnish to the Board a complete list of all stockholders when the company first files with the Board and annually thereafter. 5. If the applying entity is a partnership, limited-liability company or other form of business organization or association, register with the Board and furnish to the Board a complete list of members of the applying entity when the entity first files with the Board and annually thereafter. NRS 623.349.	Architects may join or form a partnership, corporation, limited-liability company or other business organization or association with registrants and licensees outside of their field of practice, or with persons who are not registered or licensed, if control and two-thirds ownership of the business organization or association is held by persons registered or licensed in the State of Nevada. NRS 623.349. Each office or place of business in Nevada of any partnership, corporation, limited-liability company or other forms of business organization or association that engages in the practice of architecture must have an architect who is a resident of the State of Nevada and who holds a certificate of registration issued by Nevada regularly working in the office of place of business and having responsible control for the architectural work conducted in the office or place of business. NRS 623.350(1). The requirement in NRS 623.350(1) does not apply to partnerships, corporations, limited-liability companies or other forms of business organizations or associations that engage in the practice of architecture at offices established for construction administration. NRS 623.350(1).

Nevada	Design Professional Regulation	Firm License	Corporate Formation Requirements
			Architects may organize a professional entity in the manner provided for organizing a professional entity or association pursuant to chapter 89 of NRS. A professional entity may be organized to render a professional service relating to: architecture, interior design, residential design, engineering and landscape architecture, or any combination thereof. Except as otherwise provided by law, a professional association may render professional service only through its members and employees who are licensed or otherwise authorized by law to render the professional service.

Nevada	Design Professional Regulation	Firm License	Corporate Formation Requirements
	Engineering Nevada State Board of Professional Engineers & Land Surveyors - http://www.nvboe.org/ Licensing Statutes — Nevada Revised Statute, Chapter 625 Licensing Regulations- Nevada Administrative Code, Chapter 625 Professional Entities and Associations Act, NRS, Chapter 89	All types of business entities (corporation, limited liability company, partnership, etc.) must obtain firm licensure before practicing engineering in Nevada. NAC 625.425. Steps for Firm Licensure: The steps required for obtaining firm licensure are as follows: 1. File an application for registration with the Board. 2. Pay a fee of \$50.	Engineering firms are permitted to practice under all types of business entities. A firm engaged in the practice of engineering must employ at least one professional engineer at each place of business where the work will be performed. All engineering work done at a place of business must be performed under a professional engineer, who has been placed in responsible charge of the work and who is employed full-time at that particular place of business. NRS 625.407(1). Professional engineers may join or form a partnership, corporation, limited-liability company or other business organization or association with
		Responsible Charge Professional: Each firm licensed with the board must designate one professional engineer who is in responsible charge of the work provided by the firm (he is not required to be a principal of the firm). NAC 625.425(2).	registrants and licensees outside of their field of practice, or with persons who are not registered or license. NRS 625.407(4). The requirements in NRS 625.407 do not apply to a firm, partnership, corporation or other person who: (1) practices professional engineering for his or her benefit and does not engage in the practice of professional engineering or offer professional engineering series to other persons; and (2) is

	engaged in the practice of professional engineering in offices established for limited or temporary purposes, including offices established for the convenience of filed survey crews or for inspecting construction. NRS 625.407(5).
	l
	Engineers may organize a professional entity in the manner provided for organizing a professional entity or association pursuant to chapter 89 of NRS. A professional entity may be organized to render a professional service relating to: architecture, interior design, residential design, engineering and landscape architecture, or any combination thereof. Except as otherwise provided by law, a professional association may render professional service only through its members and employees who are licensed or otherwise authorized by law to render the professional service.

New Hampshire	Design Professional Regulation	Firm License	Corporate Formation Requirements
Author	Architecture	Architectural services may be offered by:	At least one officer of a corporation or at least one general partner or associate must be designated as responsible for
Jennifer Kanady, Esq.	New Hampshire Secretary of State,	(1) Sole Proprietorship	architectural activities and decisions of the business
Ben Serverian	Corporation Division,	(2) Limited Partnership – Domestic	organization and such individual must be licensed in New
FAC Services, LLC	http://www.sos.nh.gov/corporate/Forms.h	or Foreign	Hampshire, N. Ha. Code RSA §310-A:42-a (I)(a).
800 University Bay Dr Suite 200	tm	(3) Registered Limited Liability	
Madison, WI 53705		Partnership – Domestic or	Specific PLLC rules:
(608) 231-2020	State of New Hampshire Board of	Foreign	
<u>ikanady@facfin.com</u>	Architects,	(4) Limited Liability Company –	All members of domestic PLLCs must be (i) natural
	http://www.nh.gov/jtboard/arch.ht	Domestic or Foreign	persons authorized by N. Ha., some other state,
		(5) Professional Limited Liability	territory, or any foreign country to render
	Architect Licensing Statute – N. Ha. Code	Company ("PLLC") – Domestic or	professional services or (ii) certain other business
	RSA	Foreign	organizations with proscribed ownership
	§310-A:28, et seq.	(6) Corporation – Domestic or Foreign	structures, N. Ha. Code RSA §304-D:7.
		(7) Professional Corporation –	
	Administrative Rules – N. Ha. Admin.	Domestic or Foreign ("PC")	 Each manager and all officers, other than the
	Rules, Arch 100 - 500		secretary/asst. secretary and treasurer/asst.
		Entity Organization:	treasurer must be qualified persons, N. Ha. Code
			RSA §304-D:12.
		Domestic and foreign architecture firms	
		must obtain a Certificate of	 All members and managers of a foreign PLLC who
		Authorization from the Board of	practice in N. Ha. must be qualified persons, N. Ha.
		Architects, N. Ha. Code RSA §310-A:42-a	Code RSA §304-D:16, §304-D:1(VII).
		(I) (domestic), N. Ha.	
		Code RSA §310-A:42-a (V) (foreign) and	Specific PC rules:
		then file organizational documents	
		with the New Hampshire Secretary of	All members of domestic PCs must be (i) natural
		State.	persons authorized by N. Ha. or some other state
			or territory to render professional services or (ii)

New Hampshire	Design Professional Regulation	Firm License	Corporate Formation Requirements
			 certain other business organizations with proscribed ownership structures, N. Ha. Code RSA §294-A:8. Not less than ½ of the directors and all officers, other than the secretary/asst. secretary and treasurer/asst. treasurer must be qualified persons, N. Ha. Code RSA §294-A:20 (domestic),
	Engineering New Hampshire Secretary of State, Corporation Division,	Engineering/land surveying services may be offered by: (1) Sole Proprietorship	RSA §294-A:25 (foreign). At least one officer of a corporation or at least one general partner or associate must be designated as responsible for engineering activities and decisions of the business organization and such individual must be licensed in New
	http://www.sos.nh.gov/corporate/Forms.html Engineering Licensing Statute – N. Ha. Code RSA §310-A:1, et seq. Joint Board of Licensure and Certification; Administrative Rules – N. Ha. Admin. Rules, Eng 100 - 500 State of New Hampshire Board of Professional Engineers, http://www.nh.gov/jtboard/pe.htm	 (2) Limited Partnership – Domestic or Foreign (3) Limited Liability Partnership – Domestic or Foreign (4) Limited Liability Company – Domestic or Foreign (5) Professional Limited Liability Company ("PLLC") – Domestic or Foreign (6) Corporation – Domestic or Foreign (7) Professional Corporation – Domestic or Foreign Domestic and foreign engineering firms must obtain a Certificate of Authorization from the Board of Professional Engineers, N. Ha. Code RSA §310-A:20 (III) (domestic) 	 Hampshire, N. Ha. Code RSA §310-A:20 (I)(a). Specific PLLC rules: All members of domestic PLLCs must be (i) natural persons authorized by N. Ha., some other state, territory, or any foreign country to render professional services or (ii) certain other business organizations with proscribed ownership structures N. Ha. Code RSA §304-D:7. Each manager and all officers, other than the secretary/asst. secretary and treasurer/asst. treasurer must be qualified persons, N. Ha. Code RSA §304-D:12.

 certain other business organizations with proscribe ownership structures, N. Ha. Code RSA §294-A:8. Not less than ½ of the directors and all officers, oth than the secretary/asst. secretary and treasurer/asst treasurer must be qualified persons, N. Ha. Code RS §294-A:20 (domestic), RSA §294-A:25 (foreign).
--

New Jersey	Design Professional Regulation	Firm License	Corporate Formation Requirements
Author Daniel P. Valentine, Esq., PE SIMPSON GUMPERTZ & HEGER INC. 480 Totten Pond Rd. Waltham, MA 02451 dvalentine@sgh.com	Architecture New Jersey State Board of Architects http://www.njconsumeraffairs.gov/arch/ N.J. Admin. Code, Title 13, Chapter 27 https://www.njconsumeraffairs.gov/reg ulations/chapter-27-new-jersey-state-board-of-architects.pdf Licensing Statute: N.J. Statutes Annotated ("N.J.S.A."), Title 45, Professions and Occupations, Chapter 3, Architects Professional Service Corporation Act: N.J.S.A. § 14A, Chapter 17 Uniform Partnership Act (Partnerships and Limited Liability Partnerships): N.J.S.A. § 42:1A-1 et seq. Revised Uniform Limited Liability Company Act: N.J.S.A. § 42:2C-1 et seq. Corporations, General: N.J.S.A. § 14A:1-1 et seq.	Architectural services may be offered by: (1) Sole Proprietorship (2) Partnership (3) Professional Corporation ("PC") (4) Limited Liability Partnership ("LLP") (5) Limited Liability Company ("LLC") (6) Corporation ("Corp.") Corporate Filings are done through the NJ Department of Treasury, Division of Revenue and Enterprise Services. https://www.niportal.com/dor/businessformation/home/welcome The entity is formed first with the NJ Treasury Department. Once a Corp. or LLC is formed, it must obtain a certificate of authorization ("COA") from the NJ Arch. Board before it can practice architecture. Note the ownership provisions detailed at right in the corporate formation requirements section.	"Closely allied professionals" (CAPs) are licensed architects, professional engineers, land surveyors, professional planners, licensed landscape architects, and persons that provide space planning services, interior design services or the substantial equivalent thereof. N.J.A.C. § 13:27-3.1. "Closely allied professional service" means and is limited to the practice of architecture, professional engineering, land surveying and land planning. N.J.S.A. § 14A:17-3. One or more persons, each of whom is duly licensed or otherwise legally authorized to render the same or closely allied professional service within this State, may organize and become a shareholder or shareholders of a professional corporation for pecuniary profit under the provisions of the Business Corporation Act of New Jersey, for the sole and specific purpose of rendering such professional service. N.J.S.A. § 14A:17-5(a). A professional corporation (PC) which has only one shareholder need have only one director who shall be such shareholder. Such one shareholder shall also serve as the president of the PC The other officers of the PC in such a case need not be licensed or otherwise legally authorized to render the same professional service within this State, as such one shareholder. A PC which has only 2 shareholders need have only 2 directors who shall be such shareholders. The 2 shareholders shall, between them, fill all the officerships of the PC. N.J.S.A. § 14A:17-6.

New Jersey	Design Professional Regulation	Firm License	Corporate Formation Requirements
			No professional corporation (PC) may issue any of its shares to anyone other than an individual who is duly licensed or otherwise legally authorized to render the same professional service as that for which the PC was incorporated. No shareholder of a PC shall enter into a voting trust agreement or proxy or any other type of agreement vesting another person not a shareholder of the PC with the authority to exercise the voting power of any or all of his shares. N.J.S.A. § 14A:17-10(a). To obtain a certificate of authorization from the Board, corporations and LLCs practicing architecture must have at least 2/3 of its directors be licensed architects and at least 2/3 of its ownership interest owned by licensed architects. Alternatively, at least 2/3 of its directors must be licensed architect and CAPs, at least one director is a licensed architect, at least 2/3 of its ownership interest owned by licensed architects or CAPs, and a minimum of 20% of the shares are owned by licensed architects. N.J.A.C. § 13:27-4.8(a).
	Engineering New Jersey State Board of Professional Engineers and Land Surveyors http://www.njconsumeraffairs.gov/pels/ N.J. Admin., Title 13, Chapter 40 State Board of Professional Engineers and Land Surveyors https://www.njconsumeraffairs.gov/pels/ /Pages/regulations.aspx	Engineering services may be offered by: (1) Sole Proprietorship (2) Partnership (3) Professional Corporation ("PC") (4) Limited Liability Partnership ("LLP") (5) Limited Liability Company ("LLC") (6) Corporation ("Corp.")	"Closely allied professionals" means and is limited to licensed architects, professional engineers, professional land surveyors, professional planners, and licensed landscape architects. N.J.A.C. § 13:40-1.3. "Closely allied professional service" means and is limited to the practice of architecture, professional engineering, land surveying and land planning. N.J.S.A. § 14A:17-3. One or more persons, each duly licensed or otherwise legally authorized to render the same or closely allied professional service within this State, may organize and become a shareholder(s) of a professional corporation for under the provisions of the Business Corporation Act, for the sole and specific purpose of rendering such service. N.J.S.A. § 14A:17-5(a).

New Jersey	Design Professional Regulation	Firm License	Corporate Formation Requirements
	Licensing Statute:	Corporate Filings are done	A professional corporation (PC) which has only one shareholder
	N.J. Statutes Annotated ("N.J.S.A."),	through the NJ Department of	need have only one director who shall be such shareholder. Such
	Title 45, Professions and Occupations,	Treasury, Division of Revenue and	one shareholder shall also serve as the president of the PC The
	Chapter 8, Engineers, Professional, and	Enterprise Services.	other officers of the PC in such a case need not be licensed or
	Land Surveyors	https://www.njportal.com/dor/bu	otherwise legally authorized to render the same professional
		sinessformation/home/welcome	service within this State, as such one shareholder. A PC which has
	Professional Service Corporation Act:		only 2 shareholders need have only 2 directors who shall be such
	N.J.S.A. § 14A, Chapter 17	The entity is formed first with the NJ	shareholders. The 2 shareholders shall, between them, fill all the
		Treasury Department. Once a Corp.	officerships of the PC. N.J.S.A. § 14A:17-6.
	Uniform Partnership Act (Partnerships	or LLC is formed, it must obtain a	
	and Limited Liability Partnerships):	certificate of authorization ("COA")	No PC may issue shares to anyone other than an individual who
	N.J.S.A. § 42:1A-1 et seq.	from the NJ Arch. Board before it can	is duly licensed or otherwise legally authorized to render the
		practice architecture. Note the	same professional service as that for which the PC was
	Revised Uniform Limited Liability	ownership provisions detailed at right	incorporated. No shareholder shall enter into a voting trust
	Company Act:	in the corporate formation	agreement or proxy or any other type of agreement vesting
	N.J.S.A. § 42:2C-1 et seq.	requirements section.	another person not a shareholder with the authority to exercise
			the voting power of any shares. N.J.S.A. § 14A:17-10(a).
	Corporations, General:		
	N.J.S.A. § 14A:1-1 et seq.		To obtain a certificate of authorization from the Board,
	·		corporations and LLCs practicing engineering must have (a) at
			least one of the LLC's or corporation's full-time employees be a
			licensed engineer in "responsible charge" of the professional
			services; or (b) the LLC or corporation has entered into a written
			contract with a NJ professional engineer to be in responsible
			charge. N.J.A.C. § 13:40-10.1(a).

New Mexico	Design Professional Regulation	Firm License	Corporate Formation Requirements
Author Jennifer Kanady, Esq. Ben Serverian FAC SERVICES, LLC 800 University Bay Dr Suite 200 Madison, WI 53705 (608) 231-2020 ikanady@facfin.com	Architecture New Mexico Board of Examiners for Architects ("NMBEA") http://www.nmbea.org Licensing Statute — N.M. Stat. Ann. § 61- 15-1 et seq. Licensing Regulations — 16 NMAC Chapter 30 Professional Corporation Act — N.M. Stat Ann. § 53-6-1 et. seq.	No sole proprietorship, partnership, corporation or other entity may be registered under the Architectural Act. A registered architect may practice through a partnership, provided at least one of the partners is a registered architect, and all plans, designs, drawings, or specifications issued by the partnership are stamped by a registered architect who is responsible for the work. Any other type of business entity may provide architectural services, provided the registered architect who is responsible for the work is an employee of the business entity with authority to bind the business entity. All plans and designs must bear the seal of the registered architect, and the architect in responsible charge of the business entity must provide the NMBEA with an affidavit reflecting the authority necessary under the Architectural Act.	As no business entity may be registered to practice architecture, the form of business entity is not regulated, and a firm may organize as a sole proprietorship, partnership, corporation, limited liability company or professional corporation. While not required, a firm may elect to incorporate as a professional corporation pursuant to the Professional Corporation Act ("PCA"). The PCA places significant additional limitations on the ownership and transfer of shares in the corporation. The PCA requires that a P.C. or P.A. be incorporated for a single purpose for which professional licensure is required. The P.C. or P.A. may only provide that professional service and "ancillary services", although the P.C. or P.A. may own real and personal property necessary for the provision of the professional service. The PCA provides that shares in a P.C. or P.A. may only be held by individuals licensed and registered to perform the professional service. If a firm wishes to provide architectural services, but allow for the ownership of an interest in the firm by other than registered architects, it must be organized as a partnership, business corporation or limited liability company.

Design Professional Regulation	Firm License	Corporate Formation Requirements
Engineering	No firm, partnership, corporation or joint stock association may be registered or	As no business entity may be registered to practice engineering, the form of business entity is not
Professional Engineers and Surveyors ("SBLPES")	of New Mexico.	regulated, and a firm may organize as a sole proprietorship, partnership, corporation, professional corporation or joint stock association.
Licensing Statute – N.M. Stat. Ann. § 61-23-1 et. seq.	practice of engineering through a partnership, provided at least one of the partners is a professional engineer and all	While not required, a firm may elect to incorporate as a professional corporation pursuant to the Professional Corporation Act ("PCA"). The PCA places significant
Licensing Regulations – 16 NMAC Chapter 39	plans, designs, drawings, specifications or reports are stamped by a professional engineer in responsible charge of the work.	additional limitations on the ownership and transfer of shares in the corporation. The PCA requires that a P.C. or P.A. be incorporated for
Professional Corporation Act – N.M. Stat. Ann. § 53-6-1 et. seq.	A corporation or joint stock association may offer engineering services, provided that the person in responsible charge is a professional engineer who has authority to bind the entity and that all plans, drawings, designs, specifications or reports are	a single purpose for which professional licensure is required. The P.C. or P.A. may only provide that professional service and "ancillary services", although the P.C. or P.A. may own real and personal property necessary for the provision of the professional service.
	stamped by a professional engineer in responsible charge of the work.	The PCA provides that shares in a P.C. or P.A. may only be held by individuals licensed and registered to perform the professional service. If a firm wishes to provide engineering services, but allow for the ownership of an interest in the firm by other than registered engineers, it must be organized as a partnership, business corporation or joint stock
	Engineering New Mexico State Board of Licensure for Professional Engineers and Surveyors ("SBLPES") http://www.sblpes.state.nm.us Licensing Statute — N.M. Stat. Ann. § 61-23-1 et. seq. Licensing Regulations — 16 NMAC Chapter 39 Professional Corporation Act — N.M. Stat.	Engineering No firm, partnership, corporation or joint stock association may be registered or licensed to perform engineering in the State of New Mexico. ("SBLPES") http://www.sblpes.state.nm.us Licensing Statute – N.M. Stat. Ann. § 61-23-1 et. seq. Licensing Regulations – 16 NMAC Chapter 39 Professional Corporation Act – N.M. Stat. Ann. § 53-6-1 et. seq. A corporation or joint stock association may offer engineering services, provided that the person in responsible charge is a professional engineer who has authority to bind the entity and that all plans, drawings, designs, specifications or reports are stamped by a professional engineer in

New York	Design Professional Regulation	Firm License	Corporate Formation Requirements
Author Patricia A. Harris, Esq. ZETLIN & DE CHIARA LLP 801 2nd Avenue New York NY 10017 (212) 682-6800 pharris@zdlaw.com	Architecture New York State Education Department, Office of the Professions, Architectural Board ("Ed. Dept.") https://www.op.nysed.gov/architecture Licensing Statute – N.Y. Education Law, Article 147, Architecture et seq. ("Ed. Law")	The following types of entities require Ed. Dept. approval prior to the practice of architecture and filing of formation documents with the NY Secretary of State: (1) Foreign and Domestic - Professional Corporation ("PC") (2) Foreign and Domestic - Professional Service Limited Liability Company ("PLLC") (3) Domestic - Design Professional Service Corporation ("DPC") The following types of practices do not require Ed. Dept. approval prior to practice of architecture: Sole Proprietor General Partnership Limited Liability Partnership – To provide Ed. Dept. evidence of registration with the NY Sec'y of State post-filing	A PC that practices professional architecture in NY is governed by the Business Corporations Law, Article 15 ("BCL"). The BCL requires that in an architectural PC, all shareholders, officers and directors must be NY licensed architects. Under BCL Article 15-A, a foreign PC may qualify in NY requires only if each shareholder, officer and director is licensed in the state of the PC's domicile and the individual (a shareholder) providing the professional services in NY is licensed in NY. Only individuals are permitted to be shareholders. Foreign corporations must be professional corporations in their state of domicile. A PLLC that practices professional architecture in NY is governed by the Limited Liability Company Law ("LLC Law"), Article 12. The LLC Law requires that in an architectural PLLC all members and managers must be NY licensed architects. Under LLC Law, Article 13, a foreign PLLC can qualify to provide professional architectural services in NY provided that all members and managers are licensed in NY and the original jurisdiction. Foreign LLCs must be PLLCs in their state of domicile. A LLP that practices professional architecture in NY is governed by the NY Partnership Law, Article 8-B ("Part. Law"). The Part. Law requires that in an architectural LLP all partners must be NY licensed architects. A foreign LLP can qualify to provide professional architectural services in NY provided that all partners are NY licensed architects.

New York	Design Professional Regulation	Firm License	Corporate Formation Requirements
			A DPC that practices professional architecture in NY is governed by the Business Corporations Law, Article 15 ("BCL"). The BCL requires that in an architectural DPC greater than 75% of the shareholders, officers and directors must be NY licensed architects, and less than 25% can be unlicensed employees. Only individuals are permitted to be shareholders. The Ed. Law. includes an exemption from its requirements for "grandfathered" corporations which prior to April 12, 1929 were
			permitted by law to practice architecture and continually in operation. The Ed. Dept. requires that the business name of a PC, DPC, PLLC or LLP include the names of the professions practiced. Other name rules specific to NY are here.
	Engineering New York State Education Department, Office of the Professions, Engineering Board ("Ed. Dept.") https://www.op.nysed.gov/engineering Licensing Statute — N.Y. Education Law, Article 145, Engineering et. seq. ("Ed. Law")	The following types of entities require Ed. Dept. approval prior to practice of engineering and filing of formation documents with the NY Secretary of State: 1) Professional Corporation ("PC") 2) Professional Service Limited Liability Company ("PLLC") 3) Design Professional Service Corporation ("DPC")	A PC that practices professional engineering in NY is governed by the Business Corporations Law, Article 15 ("BCL"). The BCL requires that in an engineering PC, all shareholders, officers and directors must be NY licensed engineers. Under BCL Article 15-A, a foreign PC may qualify in NY requires only if each shareholder, officer and director is licensed in the state of the PC's domicile and the individual (a shareholder) providing the professional services in NY is licensed in NY. Only individuals are permitted to be shareholders. Foreign corporations must be professional corporations in their state of domicile. A PLLC that practices professional engineering in NY is governed by the Limited Liability Company Law ("LLC Law"), Article 12. The

New York	Design Professional Regulation	Firm License	Corporate Formation Requirements
		The following types of practices do not require Ed. Dept. approval prior to practice of engineering: 1) Sole Proprietor 2) General Partnership 3) Limited Liability Partnership – To provide Ed. Dept. evidence of registration with the NY Sec'y of State post-filing. After filing the formation documents with the NY Secretary of State, a Partnership, PC, DPC, PLLC, and LLP (domestic & foreign) must also obtain a Certificate of Authority from the Ed. Dept. prior to being permitted to practice engineering.	LLC Law requires that in an engineering PLLC all members and managers must be NY licensed engineers. Under LLC Law, Article 13, a foreign PLLC can qualify to provide professional engineering services in NY provided that all members and managers are licensed in NY and the original jurisdiction. Foreign LLCs must be PLLCs in their state of domicile. An LLP that practices professional engineering in NY is governed by the NY Partnership Law, Article 8-B ("Part. Law"). The Part. Law requires that in an engineering LLP all partners must be NY licensed engineers. A foreign LLP can qualify to provide professional engineering services in NY provided that all partners are NY licensed engineers. A DPC that practices professional engineering in NY is governed by the Business Corporations Law, Article 15 ("BCL"). The BCL requires that in an engineering DPC greater than 75% of the shareholders, officers and directors must be NY licensed engineers, and less than 25% can be unlicensed employees. Only individuals are permitted to be shareholders. The Ed. Law. includes an exemption from its requirements for "grandfathered" corporations which prior to April 15, 1935, were permitted by law to practice engineering and continually in operation. The Ed. Dept. requires that the business name of the PC, DPC, PLLC or LLP include the name of the professions practiced. Other name rules specific to New York are here.

North Carolina	Design Professional Regulation	Firm License	Corporate Formation Requirements
Author Jennifer Kanady, Esq. Ben Serverian FAC SERVICES, LLC 800 University Bay Dr Suite 200 Madison, WI 53705	Architecture North Carolina Board of Architecture ("NCBA") http://www.ncbarch.org Licensing Statute – N.C. Gen. Stat. § 83A-1	The following types of entities require firm registration with NCBA to practice architecture: (1) Professional Corporation ("PC") (2) Professional Limited Liability Company ("PLLC")	Corporations and LLC's that practice professional architecture in North Carolina are governed by the Professional Corporation Act ("PCA"). The PCA requires the architectural PC or PLLC to be owned a minimum 2/3 by professional architects or other professionals designated in the PCA if the firm is also licensed to offer the services of the other profession (i.e. engineering,
jkanady@facfin.com	et. seq. Licensing Regulations – 21 NCAC Chapter 2 Professional Corporation Act – N.C. Gen. Stat. § 55B-1 et. seq.	The following types of entities are authorized to practice architecture but do not require firm registration: (1) Sole Proprietor (2) Registered Limited Liability Partnership (3) General Partnership	surveying, etc.). The other 1/3 of the PC or PLLC may be owned by non-licensed employees. Corporate entities are not allowed to hold an ownership interest in the PC or PLLC. The PCA requires at least one licensee <i>must</i> be a NC licensee and an Officer, Director (Board of Directors) and Shareholder of the corporation or a Member and Manager of the Limited Liability Company.
			The PCA includes an exemption from its requirements for corporations or limited liability companies which prior to June 5, 1969, were permitted by law to practice architecture in any state. However, the NCBA has enacted a regulation that requires the exemption to have been applied for before October 1, 1979. The PCA limits the non-professional services that the architectural PC or PLLC may render to those "ancillary" to the practice of architecture. NCBA determines what services qualify as ancillary.

North Carolina	Design Professional Regulation	Firm License	Corporate Formation Requirements
	Engineering North Carolina Board of Examiners for Engineers & Surveyors ("NCBEES") http://www.ncbels.org Licensing Statute – N.C. Gen. Stat. § 89C-1 et. seq. Licensing Regulations – 21 NCAC Chapter 56 Professional Corporation Act – N.C. Gen. Stat. § 55B-1 et. seq. Limited Liability Company Act – N.C. Gen. Stat. § 57D-1 et. seq.	The following types of entities require firm licensure with NCBEES to practice engineering: (1) Professional Corporation ("PC") (2) Professional Limited Liability Company ("PLLC") (3) Sole Proprietor (only if practicing under a name other than the licensee's name) (4) Registered Limited Liability Partnership (5) General Partnership (6) Limited Partnership (7) Exempt Corporations or LLCs http://www.ncbels.org/FirmLicensurechart.html Each office offering professional engineering services within an entity subject to firm licensure must have a resident P.E. (licensed in NC) in responsible charge of the NC projects.	Corporations and LLC's that practice professional engineering in North Carolina are governed by the Professional Corporation Act ("PCA"). The PCA requires the engineering PC or PLLC to be owned a minimum 2/3 by professional engineers or other professionals designated in the PCA if the firm is also licensed to offer the services of the other profession (i.e. architecture, surveying, etc.). The other 1/3 of the PC or PLLC may be owned by non-licensed employees. Business entities are not allowed to hold an ownership interest in the PC or PLLC. The PCA requires at least one licensee (in each profession authorized or that will be authorized (e.g engineering, surveying, architecture, etc.) <i>must</i> be a NC licensee and an Officer, Director (Board of Directors) and Shareholder of the corporation or a Member and Manager of the Limited Liability Company. The PCA includes an exemption from its requirements for corporations or limited liability companies which prior to June 5, 1969, were permitted by law to practice engineering. These "pre-1969" entities may still apply to NCBEES for the exemption and must obtain firm licensure. The PCA also includes an exemption for contractor entities licensed under Articles 1 (general), 2 (plumbing

North Carolina	Design Professional Regulation	Firm License	Corporate Formation Requirements
			and heating), 4 (electrical), or 5 (refrigeration) of
			Chapter 87 of the General Statutes, which offer design
			(engineering)/build services, but the design/builder may
			not offer stand-alone engineering services. These
			Chapter 87 entities must still apply to NCBEES for the
			exemption and to obtain firm licensure.
			The PCA limits the non-professional services that the
			engineering PC or PLLC may render to those "ancillary"
			to the practice of engineering. NCBEES determines
			what services qualify as ancillary and offers the
			following guidance: It needs to be a service that is
			related to, subordinate to (less important than the
			primary service of engineering), utilizes the resources
			that the company has for its engineering services
			or the specific knowledge required of engineers, and is
			not recognized as a separate field of service or
			profession (such as a separate license is required).
			Examples of services that are not considered ancillary:
			construction, law, selling used cars. Examples of
			possible ancillary services: drafting, CAD work, word
			processing, model building, renderings, Home
			Inspector Services (even though may require that
			additional license).

North Dakota Design	ign Professional Regulation	Firm License	Corporate Formation Requirements
Author Jason A. Lien, Esq. MASLON, LLP 225 South Sixth Street, Suite 2900 Minneapolis, MN 55402 Phone: (612) 672-8319 Archit Archit 2300 Archit	hitecture th Dakota State Board of hitecture: http://www.ndsba.net/ ensing Statute – N.D. Cent. Code § 43- N.D. Cent. Code § 10-31. ensing Rules – N.D. Admin. Code 8-03-	There are no specific licensure requirements. "[R]egistration is granted to a person. Accordingly, registration cannot be granted to a firm, corporation, or other entity." N.D. Admin. Code 8-03-01. Yet, North Dakota law still requires a business entity practicing architecture to satisfy the following conditions: (1) Any business entity may practice architecture if and only if a registered architectural services. N.D. Admin. Code 8-03-01. (2) All individuals in control of the business entity must be registered architects. N.D. Admin. Code 8-03-01. (3) If a partnership, each partner must register as an architect with the board. An architect forming a partnership with individuals from other professions may do so only if the individuals are registered "in North Dakota in their respective	There are no specific requirements for the formation of corporations that practice architecture in North Dakota. North Dakota, however, allows the formation of professional corporations, professional limited liability companies, and professional limited liability partnerships. Only owners with minority interests may form a professional organization. An owner "may not simultaneously be an executive or owner of more than one professional organization rendering the same professional service." N.D. Cent. Code § 10-31. A professional corporation renders a particular professional service and requires that all shareholders be licensed in North Dakota to practice the professional service. When filing the articles of incorporation with the secretary of state, the incorporator must also provide (1) the profession that the corporation will practice, (2) the names and addresses of all the shareholders and (3) certificates from the board regulating the profession showing that the shareholders are registered to practice the profession. The name of the corporation must include one of the following: "chartered," "Ltd.,"

North Dakota	Design Professional Regulation	Firm License	Corporate Formation Requirements
			A professional limited liability company renders a particular professional service and requires that all members be licensed in North Dakota to practice the professional service. When filing the articles of organization with the secretary of state, the members must also provide (1) the profession that the company will practice, (2) the names and addresses of all the members and (3) certificates from the board regulating the profession showing that the members are registered to practice the profession. The name of the company must include one of the following: "professional limited liability company," "P.L.C.," "PLC," "P.L.L.C.," "PLLC," "L.L.C.," or "LLC." N.D. Cent. Code § 10-31.
			A professional limited liability partnership renders a particular professional service and requires that all partners be licensed in North Dakota to practice the professional service. When filing the registration with the secretary of state, the partners must also provide (1) the profession that the partnership will practice, (2) the names and addresses of all the partners and (3) certificates from the board regulating the profession showing that the partners are registered to practice the profession. The name of the partnership must include one of the following: "professional limited liability partnership," "P.L.L.P.," "PLLP," "L.L.P.," or "LLP." N.D. Cent. Code § 10-31

North Dakota	Design Professional Regulation	Firm License	Corporate Formation Requirements
	Engineering North Dakota State Board of Registration	To practice engineering, any business organization must satisfy five criteria:	There are no specific requirements for the formation of corporations that practice engineering in North Dakota.
	for Professional Engineers and Land Surveyors: http://ndpelsboard.org/ Licensing Statute – N.D. Cent. Code § 43-	First, "all officers, employees, and agents" of the business "who will perform the practice of engineering" in North Dakota must register as engineers in North Dakota. N.D.	North Dakota, however, allows the formation of professional corporations, professional limited liability companies, and professional limited liability partnerships. Only owners with minority interests may form a
	19.1-27; N.D. Cent. Code § 10-31. Licensing Rules – N.D. Admin. Code 28- 02.1-07-02 (2010).	Cent. Code § 43-19.1-27. Second, "each person in responsible charge" of activities constituting the practice of engineering must be registered as an engineer in North Dakota. N.D. Cent. Code §	professional organization. An owner "may not simultaneously be an executive or owner of more than one professional organization rendering the same professional service." N.D. Cent. Code § 10-31. A professional corporation renders a particular
		43-19.1-27. Third, the organization must receive a certificate of commercial practice. To obtain	professional service and requires that all shareholders be licensed in North Dakota to practice the professional service. When filing the articles of incorporation with the secretary of state, the incorporator must also provide (1)
		a certificate of commercial practice, the organization must (1) pay a fee, (2) "file a written application with the board setting forth the names and addresses of all partners, officers, directors, managers, or	the profession that the corporation will practice, (2) the names and addresses of all the shareholders and (3) certificates from the board regulating the profession showing that the shareholders are registered to practice the profession. The name of the corporation must
		governors, if any," and (3) provide "the names and addresses of all employees who are duly registered to practice" engineering	include one of the following: "chartered," "Ltd.," "professional corporation," "P.C.," "PC," "professional association," "P.A." or "PA." N.D. Cent. Code § 10-31.

North Dakota	Design Professional Regulation	Firm License	Corporate Formation Requirements
		in North Dakota and who will practice	A professional limited liability company renders a
		engineering. N.D. Cent. Code § 43-19.1-27.	particular professional service and requires that all
		The organization must annually renew this	members be licensed in North Dakota to practice the
		certificate and must notify the board within	
			professional service. When filing the articles of
		thirty days of making any changes to the	organization with the secretary of state, the members
		"officers, directors, partners, business	must also provide (1) the profession that the company
		addresses" or registered engineers. N.D.	will practice, (2) the names and addresses of all the
		Admin. Code 28-02.1-07-02 (2010); N.D.	members and (3) certificates from the board regulating
		Cent. Code § 43-19.1-27. The organization	the profession showing that the members are registered
		must also "[k]eep and maintain its annual	to practice the profession. The name of the company
		filing requirements with the secretary of	must include one of the following: "professional limited
		state's office current and provide a copy to	liability company," "limited liability company," "P.L.C.,"
		the board office." N.D. Admin. Code 28-	"PLC," "P.L.L.C.," "PLLC," "L.L.C.," or "LLC." N.D. Cent.
		02.1-07-02.	Code § 10-31.
		Fourth, "each organization or individual	A professional limited liability partnership renders a
		operating under" the business organization	particular professional service and requires that all
		must be "jointly and severally responsible"	partners be licensed in North Dakota to practice the
		for all professional engineering activities.	professional service. When filing the registration with the
		N.D. Cent. Code § 43-19.1-27.	secretary of state, the partners must also provide (1) the
		-	profession that the partnership will practice, (2) the
		Fifth, "[a]ll final drawings, specifications,	names and addresses of all the partners and (3)
		plans, reports, or other engineering or land	certificates from the board regulating the profession
		surveying papers or documents involving the	showing that the partners are registered to practice the
		practice of engineeringmust be dated and	profession. The name of the partnership must include
		bear the seals and signatures of the	one of the following: "professional limited liability
		professional engineers" who prepared the	partnership," "limit liability company," "P.L.L.P.," "PLLP,"
		materials. N.D. Cent. Code § 43-19.1-27.	"L.L.P.," or "LLP." N.D. Cent. Code § 10-31
			,

Ohio	Design Professional Regulation	Firm License	Corporate Formation Requirements
Authors Jennifer Kanady, Esq. Ben Serverian FAC SERVICES, LLC 800 University Bay Dr Suite 200 Madison, WI 53705 (608) 231-2020 jkanady@facfin.com	Architecture Ohio Architects Board Ohio Landscape Architects Board http://www.arc.ohio.gov/ Architect Laws — Ohio Revised Code Chapter 4703. Architect Rules Ohio Administrative Code Chapter 4703	The following types of business entities require firm licensure to practice architecture: (1) Corporations Organized under Ohio Revised Code Chapter 1701 (2) Professional Association Organized Under Ohio Revised Code Chapter 1785 (3) Limited Liability Company Organized under Ohio Revised Code Chapter 1705 (4) Sole Proprietor (only if practicing under a name other than the licensee's name)	Firms formed for the purpose of providing architectural services must meet the following requirements: (1) More than 50% of the partners, members or shareholders, more than 50% of directors in the case of a corporation or professional association, more than 50% of the managers in the case of an LLC, and more than 50% of the trustees in the case of an employee stock ownership plan, shall be professional engineers, surveyors, architects or landscape architects, or any combination thereof who are registered in Ohio or any other state; (2) More than 50% of the interest or shares shall be held by professional engineers, surveyors, architects or landscape architects, or a combination thereof; (3) The firm shall designate one or more architects as being in responsible charge of the architectural activities and decisions and shall file a notarized affidavit setting forth this responsibility; (4) If the firm is formed as a professional association under Chapter 1785 of the Ohio Revised Code, then it must meet the requirements set forth therein in addition to the rules promulgated under Revised Code Chapter 4703. "Professional Association" means an association organized under Chapter 1785 for the sole purpose of rendering one of the authorized professional services (including architecture). A Professional Association may render a particular professional service only through officers,

Ohio	Design Professional Regulation	Firm License	Corporate Formation Requirements
			employees, and agents who are themselves duly licensed, certificated, or otherwise legally authorized to render the professional service within the state.
			Firms meeting the above qualifications are also required to apply for an obtain a certificate of authorization from the Architects Board.
	Engineering Ohio Engineers and Surveyors Board http://www.peps.ohio.gov Licensing Statutes — Ohio Revised Code Chapter 4733 Licensing Regulations — Ohio Administrative Code — Chapter 4733	Any individual, firm, partnership, association, limited liability company, or corporation may provide professional engineering or professional surveying services in this state as long as the services are provided only through natural persons registered to provide those services in Ohio. Corporations, partnerships, associations, limited liability companies, or firms organized under the laws of another state or country wishing to provide professional engineering or professional surveying services shall obtain a certificate of authorization and meet the applicable requirements of Ohio Revised Code Chapter 4733.	There are no specific requirements in Ohio for the corporate formation of an engineering firm as there are with architectural firms. Engineering firms are permitted to practice under all types of business entities. It is noted that It is noted that Ohio law does provide that each firm, partnership, association, limited liability company, or corporation through which professional engineering or professional surveying services are offered or provided in Ohio shall designate one or more full-time partners, managers, members, officers, or directors as being responsible for and in responsible charge of the professional engineering or professional surveying activities and decisions, and those designated persons shall be registered in Ohio. See Professional Association requirements above.

Oklahoma	Design Professional Regulation	Firm License	Corporate Formation Requirements
Author Jennifer Kanady, Esq. Ben Serverian FAC SERVICES, LLC 800 University Bay Dr Suite 200 Madison, WI 53705 (608) 231-2020 ikanady@facfin.com	Design Professional Regulation Architecture Board of Governors of the Licensed Architects, Landscape Architects and Registered Interior Designers of Oklahoma (the "Board") http://www.ok.gov/Architects/ Licensing Statute — 59 Okla. St. § 46.2 et seq. Oklahoma Professional Entity Act, 18 Okla. St. § 801 et seq.	The following require firm licensure to practice architecture: (1) Sole Proprietor (2) Partnership (3) Firm (4) Association (5) Corporation (6) Limited Liability Company;	Any Entity that practices professional architecture in Oklahoma is governed by the State Architectural and Registered Interior Design Act (the "Act"). The Board shall provide a Certificate of Authority to an authorized entity enabling the entity to practice or offer to practice architecture. To qualify, at least one director of the entity must be licensed under the Act. Licensing requires: 1. The individual submits an application with the Board. 2. Take a written examination. An applicant can be exempt from the written examination if the applicant holds a certification issued by the National Council of Architectural Registration Boards; or In any case the Board decides the interest of the public will be served and the person is determined to be qualified and competent by equivalent standards for education, training, and examination; or Persons who have been licensed to practice in states other than Oklahoma provided that the state or county has a similar reciprocal provision to authorize the issuance of licenses to persons who have been licensed in this state. The entity must file with the Board an application for a certificate of authority for each office location performing work on Oklahoma projects on a form approved by the Board which shall include the names, addresses, state of licensure and license number of all partners, directors, officers, members, managers or principals of the entity responsible for the entity's
			members, managers or principals of the entity responsible for the entity's practice. Under Oklahoma's "Professional Entity Act," architects may form a Professional Entity, defined as a domestic corporation, limited partnership or limited liability company formed for the purpose of rendering

Oklahoma Desi	ign Professional Regulation	Firm License	Corporate Formation Requirements
Okianoma Desi	Ign Professional Regulation	Firm License	professional services. The individual or individuals forming the professional entity shall be duly licensed in accordance with the provisions of this state's licensing laws for the profession and in good standing within the profession to be practiced through the professional entity. A professional entity may be formed for the purpose of rendering one specific type of professional service or related professional services and services ancillary thereto and shall not engage in any business other than rendering the professional service or services which it was organized to render and services ancillary thereto; provided, however, that a professional entity may own real and personal property necessary or appropriate for rendering the type of professional services it was organized to render and may invest its funds in real estate, mortgages, stocks, bonds and any other type of investments. No person may be a manager of a professional entity who is not a person duly licensed in accordance with the provisions of this state's licensing laws for the profession or related profession to render the same professional services or related professional services as those for which the entity is formed. No person may be a shareholder of a professional corporation who is not an individual duly licensed to render the same professional services or related professional services as those for which the corporation is organized. A professional entity may render professional services only through its owners, managers, employees and agents who are duly licensed in accordance with the provisions of this state's licensing laws to render professional services.

Oklahoma Design Pr	rofessional Regulation Fi	Firm License	Corporate Formation Requirements
Engineeri Oklahoma Licensure Engineers (the "Boa http://wv Licensing 475.1 et. s Licensing Oklahoma ("OAC") T Oklahoma	ing T lie a State Board of e for Professional s and Land Surveyors ard") ww.ok.gov/pels (Statute – 59 O.S. §	The following require firm licensure to practice engineering: (1) Any form of business entity (2) A private practitioner employing other licensed engineers; or (3) Any person or entity using one or more fictitious names (collectively "Entity").	Any Entity seeking licensure as a practicing firm in Oklahoma is governed by the OAC Title 245 Chapter 15. Firms practicing, engineering services in the State of Oklahoma shall obtain a Certificate of Authorization issued by the Board. The Board may grant a Certificate of Authorization to practice through individual licensees to those firms meeting the following criteria: (1) An application is filed and approved by the Board or its designee. (2) At least one individual in responsible charge of the services and personnel performing services on behalf of the firm in this state is licensed to practice engineering or land surveying in the State of Oklahoma. A licensee must be a full-time employee of a firm to qualify as a person designated in responsible charge. (3) The firm's practice of engineering or land surveying in any main office or branch office is under the direct control and personal supervision of a duly licensed engineer or land surveyor in responsible charge. In the case of an out-of-state firm authorized to perform engineering services in Oklahoma, the firm may have one or more branch offices located in Oklahoma only if the firm has a professional engineer designated responsible and in charge of the firm's professional practice in the state. The professional engineer designated for this purpose shall be required to spend a majority of normal business hours at one or more branch offices located in Oklahoma and be duly licensed as a professional engineer in Oklahoma. (4) All documents relating to engineering or surveying work shall be sealed, signed, and dated by the Professional Engineer or Land Surveyor in responsible charge of the work.

Oklahoma	Design Professional Regulation	Firm License	Corporate Formation Requirements
			EXCEPTIONS:
			A firm whose business does not consist, either wholly or in part, of the rendering of engineering or land surveying services to the public is allowed without obtaining a Certificate of Authorization, providing they are also in compliance with OAC 245:15-19-7.
			The work of a person rendering engineering or land surveying services to a firm as an employee of the firm, when the services are rendered in carrying on the general business of the firm and the general business does not consist, either wholly or in part, of the rendering of engineering or land surveying services to the public, is allowed under the provision of O.S. Title 59, Section 475.1 et seq.
			Failure to properly file a Certificate of Incorporation or authentication and maintain same with the Secretary of State may result in revocation of the Certificate of Authorization and disciplinary action pursuant to the Rules of this Chapter.
			See above regarding Oklahoma's Professional Entity Act.

Oregon	Design Professional Regulation	Firm License	Corporate Formation Requirements
Authors Jennifer Kanady, Esq. Ben Serverian FAC SERVICES, LLC 800 University Bay Dr Suite 200 Madison, WI 53705 (608) 231-2020 ikanady@facfin.com	Architecture Oregon Board of Architect Examiners www.osbae.com Licensing Statute – ORS 671.020 et. seq. Licensing Regulations – OAR 806-001-0000 et. seq.	All types of business entities (e.g. corporations, limited liability companies, and partnerships) require firm licensure to practice architecture in Oregon. Every firm must register with the State Board of Architect Examiners before the firm may provide architectural services. A firm must file a renewal of the registration as provided by rule of the board.	Domestic private corporations, foreign private corporations, domestic cooperative corporations, foreign cooperative corporations, domestic professional corporations and foreign professional corporations must satisfy the following requirements to perform architectural services: 1) At least two-thirds of the board of directors are registered or licensed as architects or as engineers in any jurisdiction recognized by rule of the State Board of Architect Examiners or the State Board of Examiners for Engineering and Land Surveying; 2) At least one-third of the board of directors are architects registered or licensed in any jurisdiction recognized by rule of the State Board of Architect Examiners; 3) All persons in charge of the practice of architecture in this state for the firm are (a) members of the board of directors or owners of the firm, (b) regularly employed in the office of the firm that directs and has supervisory control of the practice of architecture in this state, and (c) registered as architects under ORS 671.010 to 671.220; 4) The corporate or assumed business name does not include the surname of an individual who is not presently or was not previously associated in the practice of architecture or engineering in this state or in any jurisdiction recognized by rule of the State Board of Architect Examiners or the State Board of Examiners for Engineering and Land Surveying with the named entity or with the members or predecessors of the named entity; and 5) The corporate or assumed business name identifies the firm as being engaged in the provision of architectural services.

Oregon	Design Professional Regulation	Firm License	Corporate Formation Requirements
			All other firm types (e.g. limited liability companies and
			partnerships) must satisfy the following requirements to conduct
			architectural services under its corporate name or an assumed
			business name:
			(1) Owners having at least a two-thirds ownership interest are
			registered or licensed as architects or as engineers in any jurisdiction
			recognized by rule of the State Board of Architect Examiners or the
			State Board of Examiners for Engineering and Land Surveying;
			(2) At least one-third of owners having at least a one-third ownership
			interest in the firm are architects registered or licensed in any
			jurisdiction recognized by rule of the State Board of Architect
			Examiners;
			(3) All persons in charge of the practice of architecture in this state for
			the firm are (a) members of the board of directors or owners of the
			firm, (b) regularly employed in the office of the firm that directs and
			has supervisory control of the practice of architecture in this state,
			and (c) registered as architects under ORS 671.010 to 671.220;
			(4) The corporate or assumed business name does not include the
			surname of an individual who is not presently or was not previously
			associated in the practice of architecture or engineering in this state
			or in any jurisdiction recognized by rule of the State Board of
			Architect Examiners or the State Board of Examiners for Engineering
			and Land Surveying with the named entity or with the members or
			predecessors of the named entity; and
			(5) The corporate or assumed business name identifies the firm as
			being engaged in the provision of architectural services.

Oregon Design Professional Regulation	Firm License	Corporate Formation Requirements
Engineering Oregon Board of Examiners for Engineering and Land Surveying http://www.oregon.gov/OSBEELS/Pages/index.aspx Licensing Statute – ORS 672.002 et. seq. Licensing Regulations – OAR 820-010-0010 et. seq.	All types of business entities (e.g. corporations, limited liability companies, and partnerships) must be registered and hold a valid certificate to practice engineering in accordance with ORS 672.002 to 672.325. Every firm must register with the State Board of Examiners for Engineering and Land Surveying before the firm may provide engineering services. This requirement is, however, subject to a number of exceptions set forth in ORS 672.060.	A firm, partnership, corporation, limited liability company, joint stock company, or other organization cannot advertise for, offer to perform, or perform professional services for which a license or certificate is required unless the licensee or organization has a full-time partner, manager, officer or employee licensed and certified to practice in the discipline for which a license or certificate is required. A "full-time partner, manager, officer or employee" refers to a person who: (1) Is physically present at least one half of the person's working time in the offices of the licensee or organization during normal business hours unless the full-time partner's, manager's, officer's or employee's professional duties require that the person be elsewhere; and (2) Is not working for the licensee or organization under a contract or as a consultant for specific projects.

Pennsylvania	Design Professional Regulation	Firm License	Corporate Formation Requirements
Author	Architecture	The Pennsylvania State Architects	The title "architect" or "architects" may not be used in a business title
		Licensure Board must provide written	when the use would imply that an individual or the business is engaged
Jason A. Lien, Esq.	Pennsylvania State Architects	approval before any of the following seven	in the practice of architecture when, in fact, the individual or business
Maslon, LLP	Licensure Board:	business forms may practice architecture:	is not licensed, registered and approved by the Board. 49 Pa. Code §
225 South Sixth Street,	https://www.dos.pa.gov/Professiona	(1) a sole proprietorship, (2) a partnership,	9.171.
Suite 2900	ILicensing/BoardsCommissions/Archi	(3)) a professional association, (4) a	
Minneapolis, MN 55402	tects/Pages/default.aspx	professional corporation, (5) a limited	To form a professional association or a general or limited liability
(612) 672-8319		liability company, (6) a limited liability	partnership, the ownership structure of the business must satisfy the
jason.lien@maslon.com	Licensing Statute: 63 Pa. Cons. Stat.	partnership or (7) a business corporation.	following three criteria: (1) "at least two-thirds of the partners or
	§§ 34.1-34.17.	49 Pa. Code § 9.163.	members [must be] licensed in a state to practice architecture,
			engineering, or landscape architecture"; (2) "at least one-third of the
	Licensing Regulations: 49 Pa. Code	An entity seeking Board approval must	partners or members [must be] licensed in a state to practice
	§§ 9.1-9.177.	complete and submit the Board	architecture"; and (3) "at least one partner or member [must be] a
		application. With the application, the	licensee of the Board." 63 Pa. Cons. Stat. § 34.13; 49 Pa. Code § 9.162.
		entity must provide:	The business must also comply with the general formation
		(1) A copy of the Fictitious Name	requirements for professional associations and partnerships under 15
		Application, the Articles of Incorporation,	Pa. Cons. Stat. §§ 82, 83, 93.
		the Articles of Association, the Partnership	
		Agreement, the Certificate of Authority or	To form a professional corporation, the ownership structure of the
		any other relevant documents of	business must satisfy the following two criteria: (1) "every
		association or agreement. If the business	shareholder [must be] licensed in a state to practice
		entity involves none of these documents,	architecture, engineering or landscape architecture" and (2) "at least
		the business entity must then comply with	one shareholder [must be] a licensee of the Board." 63 Pa. Cons. Stat.
		the following requirements for	§ 34.13; 49 Pa. Code § 9.162. The business must also comply with the
		documentation.	general formation requirements for professional corporations under
		(2) A copy of the proposed letterhead,	15 Pa. Const. Stat. § 29.
		along with the names of the principals and	
		their credentials. The name or subtitle of	

Pennsylvania	Design Professional Regulation	Firm License	Corporate Formation Requirements
Pennsylvania	Design Professional Regulation	the business must include the word "architect" or some derivation of the word "architect." At least one of the principals must be a licensee of the Board. (3) A list of all parties with ownership interests. The list should include (a) the percentage of each party's ownership, (b) each party's profession, (c) whether each party is licensed, (d) the state of licensure, and (e) verification of licensure. (4) A written certification that the owners will inform the Board before altering the ownership structure of the business. The Board must review and approve any changes to the ownership structure. 49 Pa. Code § 9.163.	To form a business corporation, the ownership structure must satisfy the following five criteria: (1) "at least two-thirds of the directors [must be] licensed in a state to practice architecture, engineering or landscape architecture," (2) "at least one-third of the directors [must be] licensed in a state to practice architecture," (3) "at least one director [must be] a licensee of the Board," (4) "at least two-thirds of each class of voting stock" must be owned by architects, engineers or landscape architects, and (5) "at least one-third of each class of voting stock" must be owned by "individuals licensed in a state to practice architecture." 63 Pa. Cons. Stat. § 34.13; 49 Pa. Code § 9.162. The business must also comply with the general formation requirements for business corporations under 15 Pa. Const. Stat. §§ 11-19. To form a LLC, the business structure of the LLC must satisfy the following five criteria: (1) "at least two-thirds of the members if managed by members or at least two-thirds of the members if managed by managers [must be] licensed" in any state to practice architecture, engineering or landscape architecture, (2) "at least one-third of the members if managed by managers" must be licensed in any state to practice architecture, (3) "at least one member or manager [must be] a licensee of the Board," (4) "at least two-thirds of all classes of voting membership" must be owned by an individual licensed in any state to practice architecture, engineering or landscape architecture and (5) "at least one-third of all classes of voting membership" must be owned by an individual licensed in any state to practice architecture, engineering or landscape architecture and (5) "at least one-third of all classes of voting membership" must be owned by an individual licensed in any state to practice architecture. 63 Pa. Cons. Stat. § 34.13; 49 Pa. Code § 9.162. The business must also comply with the general formation requirements for LLCs under 15 Pa. Const. Stat. § 89.

Pennsylvania Design Professional Regulation	Firm License	Corporate Formation Requirements
Engineering State Registration Board of Professional Engineers, Land Surveyors and Geologists: https://www.dos.pa.gov/ProfessilLicensing/BoardsCommissions/EeersLandSurveyorsandGeologists ges/default.aspx Licensing Statute: 63 Pa. Cons. St § 153; 15 Pa. Cons. Stat. § 1303. Licensing Regulation: 49 Pa. Cod. §§ 37.16-37.71	No business entity may practice engineering, or use the words "engineer" or "engineering" in its name, "unless the directing heads and employees of such firm or corporation in responsible charge of its activities in the practice of such profession are licensed and registered in conformity with the requirements of this act, and whose name, seal and signature, along with the date of signature, [are] stamped on all plans, specifications, plats and reports issued by such firm or corporation." In other words, to practice engineering as a business entity, all	Before submitting the articles of incorporation or the fictitious name registration to the Corporation Bureau of the Department of State, a business entity practicing engineering must provide an application for certificate of approval of fictitious name or corporate name to the Board. 49 Pa. Code § 37.71. The application to the Board must include a nonrefundable fee. "The Board will approve the use of a fictitious name or corporate name, if at least one of the persons filing for the use of the name, one of the incorporators of a proposed corporation, or one of the directors of an existing corporation, has been properly registered as a professional engineer" in Pennsylvania. When the Board approves the application, it will issue a certificate of approval to the Corporation Bureau of the Department of State. 49 Pa. Code § 37.71.

Rhode Island	Design Professional Regulation	Firm License	Corporate Formation Requirements
Authors	Architecture	The following types of entities require firm licensure (called the certificate of	In order to qualify for firm licensure under the Architect Licensing Statute, R.I. Gen. Laws § 5-1-15.1, the firm (sole proprietorship, partnership,
R. Thomas Dunn, Esq.	State of Rhode Island Division	authorization) to practice architecture:	limited liability partnership, corporation, or limited liability company) must
Katharine Kohm, Esq.	of Design Professionals - Board		meet certain requirements in their corporate composition and control:
Sheya Rivard, Esq.	of Examination and	(1) sole proprietorship	
PIERCE ATWOOD LLP	Registration of Architects	(2) partnership	(1) Two-thirds (2/3) of the partners (if a partnership or limited liability
One Citizens Plaza, 10 th Floor	https://bdp.ri.gov/architects	(3) limited liability partnership	partnership), two-thirds (2/3) of the directors and officers (or shareholders
Providence, RI 02903		(4) corporation	if there are no directors, if a corporation), or two-thirds (2/3) of the
(401) 490-3418	Licensing Statute – R.I. Gen.	(5) limited liability company	managers (or members if there are no managers, if a limited liability
rtdunn@PierceAtwood.com	Laws § 5-1-1 et. seq.	R.I. Gen. Laws § 5-1-7; -15.1.	company) must be registered under the laws of any state or any reciprocal
kkohm@PierceAtwood.com			jurisdiction as defined by the National Council of Architectural Registration
srivard@PierceAtwood.com	Licensing Regulations – 415-	Although the Architect Licensing Statute is	Boards to practice architecture <u>or</u> engineering;
	RICR-00-00-1 et. seq	silent as to firm-certification requirements	
		for professional corporations (e.g. P.C. or	(2) One-third (1/3) of the partners (if a partnership or limited liability
	Professional Service	PLLC), the Professional Services	partnership), or one-third (1/3) of the directors and officers (or
	Corporations – R.I. Gen. Laws §	Corporations Statute expressly authorizes	shareholders if there are no directors, if a corporation), or one-third (1/3)
	7-5.1-1 et. seq.	architects to practice under this corporate	of the managers (or members if there are no managers, if a limited liability
		form. R.I. Gen. Laws § 7-5.1-1; -2. It also	company) must be registered under the laws of any state or reciprocal
		mandates that all provisions of the Rhode	jurisdiction as defined by the National Council of Architectural Registration
		Island Business Corporation Act apply to entities organized as professional services	Boards to practice architecture; and
		corporations. R.I. Gen. Laws § 7-5.1-2. Accordingly, a professional corporation is	(3) The person having the firm's practice of architecture in his or her direct control and who exercises responsible control of all personnel who act in
		considered a "corporation" for purposes of	behalf of the firm in professional and technical matters, is himself or
		architect firm licensure. <u>See R.I. Gen. Laws §</u>	herself a partner (if a partnership or limited liability partnership), a
		5-1-7; -15.1.	director or officer (or shareholders if there are no directors, if a
			corporation), or a manager (or members if there are no managers, if a
			limited liability company), and is registered to practice architecture in
			Rhode Island.

Rhode Island	Design Professional Regulation	Firm License	Corporate Formation Requirements
			Note that separate from the architect licensing statute, the professional corporation statute additionally requires that "every officer, director, and shareholder of the corporation is an individual authorized to practice the profession and is employed by the corporation in that practice." R.I. Gen. Laws § 7-5.1-3.
			Before receiving final approval for firm licensure, corporations, limited liability companies, and limited liability partnerships must obtain a "Certificate of Good Standing" from the Rhode Island Secretary of State. R.I. Gen. Laws § 5-1-15.1.
			Every certificate of authorization is valid for a period of two (2) years and expires on the last day of December of each even numbered year following its issuance. R.I. Gen. Laws § 5-1-15.1(e).
			In the event of ownership transition or change in the responsible control of a firm, the board may permit a six (6) month grace period to allow a Rhode Island registered architect to continue to practice until a new certificate of authorization is issued. R.I. Gen. Laws § 5-1-15.1(f).
	Engineering State of Rhode Island Division of Design Professionals - Board of Registration for Professional Engineers https://bdp.ri.gov/professional-engineers	The following types of entities require firm licensure (called the certificate of authorization) to practice engineering: (1) sole proprietorship (2) partnership (3) limited liability partnership (4) corporation (5) limited liability company (6) joint stock company	In order to qualify for firm licensure under the Engineer Licensing Statute, R.I. Gen. Laws § 5-8-24, the firm (sole proprietorship, partnership, limited liability partnership, corporation, or limited liability company) must meet certain requirements with respect to control. An individual or individuals must take direct control of the practice, exercise personal supervision of all personnel who act on behalf of the firm in professional and technical matters, and be jointly and severally liable with the firm. The individual or individuals in responsible charge must be registered to practice engineering in Rhode Island.

Rhode Island	Design Professional Regulation	Firm License	Corporate Formation Requirements
	Licensing Statute – R.I. Gen. Laws § 5-8-1 et. seq.	R.I. Gen. Laws § 5-8-20(b); -21; -24.	A professional corporation additionally requires that "every officer, director, and shareholder of the corporation is an individual authorized to
	Licensing Regulations –430-	Although the Engineer Licensing Statute is silent as to firm-certification requirements	practice the profession and is employed by the corporation in that practice." R.I. Gen. Laws § 7-5.1-3.
	RICR-00-00-1 et. seq	for professional corporations (e.g. P.C. or PLLC), the Professional Services	Upon the termination of any designation of the engineer in responsible
	Professional Service Corporations – R.I. Gen. Laws § 7-5.1-1 et. seq.	Corporations Statute expressly authorizes engineers to practice under this corporate form. R.I. Gen. Laws § 7-5.1-1; -2. It also	charge, the registrant must give notification within ten (10) days in writing. 430-RICR-00-00-1.4(B)(3). Until such time as said notice is received, the designated individual shall remain in responsible charge and shall be held
	7-3.1-1 et. sey.	mandates that all provisions of the Rhode Island Business Corporation Act apply to entities organized as professional services	accountable for the work performed by that firm, partnership, or sole proprietorship.
		corporations. R.I. Gen. Laws § 7-5.1-2. Accordingly, a professional corporation is considered a "corporation" for purposes of engineer firm licensure. See R.I. Gen. Laws §	There is a limited exception to the requirement of individual (and presumably firm) registration: The practice of engineering by a person who is not a resident of Rhode Island or who does not have an established place of business in Rhode Island permissible if (1) that practice does not
		5-8-20(b); -24.	exceed in the aggregate more than thirty (30) days in any calendar year, (2) the person is legally qualified by registration to practice engineering in
			his or her own state or country, and (3) the person applies for, receives, and pays a fee for a temporary certificate of registration for a definite period of time to do a specific job. R.I. Gen. Laws. 5-8-21.

South Carolina	Design Professional Regulation	Firm License	Corporate Formation Requirements
Author	Architecture	All types of business entities (corporations, partnerships, limited liability companies, etc.) require firm licensure to	South Carolina does not have any restrictions on the corporate
Paul Sperry, Esq.	SC Board of Architectural Examiners -	practice architecture in South Carolina except for individual	formation of an architectural firm.
COPELAND STAIR VALZ & LOVELL LLP	https://llr.sc.gov/arch/	sole proprietorships which bear an individual architect's name.	Architectural firms are permitted to
40 Calhoun Street, Suite 400		A sole proprietorship is not required to be licensed by the	practice under all types of business
Charleston, SC 29401	Licensing Statute - S.C. Code § 40-3-5 et	Board, only the licensed individuals who form the	entities.
(843) 727-0307	seq.	proprietorship must be licensed.	
psperry@csvl.law			
	Licensing Regulations – S.C. Code of Reg.	The licensing statute defines a sole proprietorship as a business	
	§ 11-1 et seq.	in which one or more registered architects are engaged as	
		employees. The statute requires that a sole proprietorship	
	Firm Requirements – S.C. Code § 40-3-30;	must be conducted under the name registered with the Board	
	40-3-270; S.C. Code of Reg. § 11-10	as an individual (i.e., John Smith, Architect). Any other practice	
		name (i.e., John Smith & Associates) requires a certificate of	
		authorization issued by the Board.	
		A firm must have a certificate of authorization issued by the	
		Board and must employ one or more architects registered in	
		South Carolina who are designated as being in full authority	
		and responsible charge of the architectural practice. All	
		personnel of the firm who act in its behalf as architects in	
		South Carolina must be registered and must hold a current	
		registration. If there is a change in ownership, management,	
		or the architect in responsible change during the year, the change must be filed with the Board within 30 days.	
		If a firm or out of state firm registers with the Board under a	
		name referring to persons rather than a trade name, the	
		persons in the firm's name must be licensed.	
		persons in the firm s name must be needsed.	

South Carolina	Design Professional Regulation	Firm License	Corporate Formation Requirements
	Engineering	All types of business entities (corporations, partnerships,	South Carolina does not have any
		limited liability companies, etc.) require firm licensure to	restrictions on the corporate
	SC State Board of Registration for	practice engineering in South Carolina except for sole	formation of an engineering firm.
	Professional Engineers and Surveyors	proprietorships where the ownership is held by a single	Engineering firms are permitted to
	https://llr.sc.gov/eng/	individual who is licensed, where there is no stock ownership in	practice under all types of business
		the firm, and where the practice name is identical to that in	entities.
	Licensing Statute - S.C. Code § 40-22-2 et	which the individual registration is held.	
	seq.	In order to practice engineering as a firm, three requirements	
		must be satisfied:	
	Licensing Regulations – S.C. Code of Reg. §	(1) One or more of the corporate officers, in the case of a	
	49-100 et seq.	corporation, or one or more of the principal owners, or a full-	
		time employee, in the case of other firms, must be licensed by	
	Firm Requirements – S.C. Code § 40-22-	the Board and must be designated as being responsible for the	
	250; S.C. Code of Reg. § 49-205	engineering services regulated by the Board.	
		2) All personnel of the firm who act on behalf of the firm as	
		professional engineers must be licensed in South Carolina.	
		(3) The firm must have a certificate of authorization by the	
		Board.	

South Dakota	Design Professional Regulation	Firm License	Corporate Formation Requirements
Author Jennifer Kanady, Esq. Ben Severian FAC SERVICES LLC 800 University Bay Dr Suite 200 Madison, WI 53705 (608) 231-2020 jkanady@facfin.com	Architecture South Dakota State Board of Technical Professions http://dlr.sd.gov/bdcomm/btp/architects.asp Licensing Statute — SDCL 36-18A et seq. Licensing Rules — ARSD Article 20:38	Any business entity which plans to practice architecture in South Dakota must register with the Board of Technical Professions and obtain a certificate of authorization, absent an exception as outlined in SDCL 36-18A-9 and 36-18A-1(1). All officers, agents "in responsible charge," or employees who will practice architecture in South Dakota must be licensed in South Dakota. "Responsible charge" means the provision of professional services under the "immediate and responsible direction by a licensed professional who has exercised personal direction, guidance, and control over the design, preparation of documents, construction administration, and other professional services and has exercised professional judgment in all matters relating to those services." SDCL 36-18A-1(27). A licensee who renders occasional part-time or consulting services to or for an entity may not be designated as the person "in responsible charge." SDCL 36-18A-48.	There are no specific requirements in South Dakota for the corporate formation of an architectural firm. Architecture may be practiced by an individual or business entity, including a corporation, partnership, limited liability partnership, limited liability company, or sole proprietorship.
	Engineering South Dakota State Board of Technical Professions http://dlr.sd.gov/bdcomm/btp/engineers.asp Licensing Statute – SDCL 36-18A et seq.	Any business entity which plans to practice engineering in South Dakota must register with the Board of Technical Professions and obtain a certificate of authorization, absent an exception as outlined in SDCL 36-18A-9 and 36-18A-1(1). All officers, agents "in responsible charge," or	There are no specific requirements in South Dakota for the corporate formation of an engineering firm. Engineering may be practiced by an individual or business entity, including a corporation, partnership, limited liability partnership, limited liability company, or sole

South Dakota	Design Professional Regulation	Firm License	Corporate Formation Requirements
	Licensing Rules – ARSD Article 20:38	Dakota must be licensed in South Dakota. "Responsible charge" means the provision of professional services under the "immediate and responsible direction by a licensed professional who has exercised personal direction, guidance, and control over the design, preparation of documents, construction administration, and other professional services and has exercised professional judgment in all matters relating to those services." SDCL 36-18A-1(27). A licensee who renders occasional part-time or consulting services to or for an entity may not be designated as the person "in responsible charge." SDCL 36-18A-48.	

Tennessee	Design Professional Regulation	Firm License	Corporate Formation Requirements
Author Vic L. McConnell, Esq. SMITH CASHION & ORR, PLC 231 Third Avenue North	Architecture and Engineering Tennessee Board of Architectural and Engineering Examiners (the "Board")	A firm disclosure form is required for corporations, partnerships, and firms (such as LLCs, LPs and LLPs) practicing or offering to practice architecture or engineering in Tennessee in accordance with Tenn. Code	A corporation, partnership, or firm offering architectural or engineering services to the public may engage in the practice of architecture or engineering in Tennessee; provided, that at least one (1) of the principals (an individual capable of making independent design decisions) or officers of such corporation,
Nashville, TN 37201-1603 (615) 742-8580 VMcConnell@smithcashion.com	https://www.tn.gov/commerce/regboards/architects-engineers.html	Ann. § 62-2-601 and 602, and Chapter 0120- 06 of the Rules of the State Board of Architectural and Engineering Examiners.	partnership, or firm is in responsible charge of such practice, maintains active Tennessee registration as an architect or engineer, and is employed full-time for a minimum of thirty (30) hours per week.
	Licensing Statute – Tenn. Code Ann. § 62-2-101 et seq. Rules of the State Board of Architectural and Engineering Examiners – Chapter 0120-0601 through 0120-0604 The Tennessee Professional Corporation Act, Tenn. Code Ann. § 48-101-601 et seq.	The disclosure form lists the names and addresses of all principals and officers, as well as the principals or officers duly registered to practice architecture, engineering or landscape architecture in Tennessee who are in responsible charge of the Tennessee practice. Corporations, partnerships, and firms maintaining a place of business in Tennessee must have one Tennessee registrant in responsible charge of the firm's Tennessee practice.	A principal is not required to be an officer in the firm. A person may be in responsible charge of more than one firm only if the firms are at the same physical location. A registrant who renders occasional, part-time, or consulting services to or for a firm may not be designated as an officer or principal in responsible charge. In the event of a change in the officer or principal in responsible charge, a firm cannot provide or offer design services to the public until such time as a new officer or principal in responsible charge is identified.
	Tennessee Professional Limited Liability Companies statute, Tenn. Code Ann. § 48-248-101 et seq.	An individual practicing as a sole proprietorship is not required to submit a disclosure form.	The Tennessee Professional Corporation Act, Tenn. Code Ann. § 48-101-601 et seq. ("PCA") applies to both domestic and foreign professional service corporations. The Board does not require a specific corporate form in order to practice or offer to practice architecture, engineering, and/or landscape architecture in Tennessee. Thus, a corporation providing design professional services is subject to the PCA only if incorporating as a professional corporation or elects professional corporation status. Similarly, a limited liability company providing design

Tennessee	Design Professional Regulation	Firm License	Corporate Formation Requirements
Tennessee	Design Professional Regulation	Firm License	professional services is subject to the Tennessee Professional Limited Liability Companies statute, Tenn. Code Ann. § 48-248-101 et seq., only if incorporates as a PLLC or elects such status. A professional corporation (or PLLC) may be a promoter, general partner, member, associate or manager of a partnership, joint venture, trust or other entity only if the entity is engaged solely in rendering professional services or in carrying on business authorized by the professional corporation's charter (or the PLLC's articles). A domestic or foreign corporation (or LLC) may render professional services in Tennessee only through individuals licensed or otherwise authorized to render the services.
			However, this does not (1) require an individual employed by a professional corporation (or PLLC) to be licensed to perform services for the corporation (or PLLC) if a license is not otherwise required; (2) prohibit a licensed individual from rendering professional services in such person's individual capacity although such person is a shareholder, director, officer, employee or agent (or member, manager, employee, or agent) of a domestic or foreign professional corporation (or PLLC); or (3) prohibit an individual licensed in another state from rendering professional services for a domestic or foreign professional corporation (or PLLC) in Tennessee if not prohibited by the Board. A professional corporation (or PLLC) may not render any professional service or engage in any business other than the

Tennessee	Design Professional Regulation	Firm License	Corporate Formation Requirements
			articles), though this does not prohibit the professional corporation (or PLLC) from investing its funds in real estate,
			mortgages, securities or any other type of investment.

Texas	Design Professional Regulation	Firm License	Corporate Formation Requirements
Author Betty Quintana Richmond, Esq. BAKER, DONELSON, BEARMAN, CALDWELL & BERKOWITZ, PC 1301 McKinney Street, Suite 3700 Houston, Texas 77010 713-210-7440 brichmond@bakerdonelson.com	Architecture Texas Board of Architectural Examiners (the "Board") http://ww3.tbae.texas.gov/ Texas Engineering Practices Act Occupations Code, Title 6. Regulation of Engineering, Architecture, Land Surveying and Related Practices 22 Tex. Admin. Code, Part 1	An architectural firm or other business entity that offers or provides architectural services in Texas must annually register information regarding the firm or business entity with the Board. Such an architectural firm, business entity, or association may not continue to offer or provide architectural services unless it employs or contracts with an Architect to offer or provide service and updates its registration within 30 days. 22 Tex. Admin. Code § 1.124. An Architect who is a sole practitioner doing business under his/her name, which is registered with the Board, is exempt from this requirement.	Tex. Occ. Code Ann. §1051.701: "A firm, partnership, corporation, or association, including a firm, partnership, corporation, or joint stock association engaged in the practice of engineering under Section 1001.405, may engage in the practice of architecture, represent to the public that the entity is engaged in the practice of architecture or is offering architectural services, or use the word "architect" or "architecture" in any manner in its name only if any practice of architecture or architectural service performed on behalf of the entity is performed by or through a person registered as an architect under this chapter."
			Architects are allowed to form a professional corporation under the provisions of the Texas Business Organizations Code (BOC).
	Engineering Texas Board of Professional Engineers https://pels.texas.gov/	Texas requires that any entity offering engineering services to the public of Texas must register with the Texas Board of Professional Engineers. An entity is defined as a sole proprietorship, firm, partnership,	There are no specific requirements in Texas for the corporate formation of an engineering firm. Engineering firms are permitted to practice under all types of business entities.
	Texas Engineering Practices Act Occupations Code, Title 6. Regulation of Engineering, Architecture, Land Surveying and Related Practices; Tex. Occ. Code Ann. § 1001.001 et seq.	corporation or joint stock association. To register an entity, a firm must complete the form "Firm Application for Registration" and provide the following:	Under 22 Tex. Admin. Code § 131.81, the Texas Board of Professional Engineers will issue a Certificate of Registration (defined as the annual certificate issued by the board to a firm offering or providing professional engineering services to the

number of the firm offering to engage or engaging in the practice of professional engineering for the public in Texas; (2) the name, position, address, and communication numbers of each officer or director; (3) the name, address, and current active Texas professional engineer license number of each engineer employee performing engineering for the public in Texas on behalf of the firm; pas "[a]not as "[a]not as "[a]not an include processional engineering for with the public in Texas on behalf of the firm;	Texas). "Firms" are defined by the Section entity that engages or offers to engage in tice of professional engineering in this state. Under sole practitioners, sole proprietorships, or partnerships, corporations, partnerships, or ck associations." ection 137.77, a firm shall provide that at a full-time active license holder is employed
offering to engage or engaging in the practice of professional engineering for the public in Texas, if any;	entity and that the active license holder s or directly supervises all engineering work vities that require a license that is performed imary, branch, remote, or project office(s). The sare allowed to form a professional cion under the provisions of the Texas organizations Code (BOC).

Utah	Design Professional Regulation	Firm License	Corporate Formation Requirements
Author Matthew J. Ninneman, Esq. Hall & Evans, LLC 1001 17 th Street, Suite 300 Denver, Colorado	Architecture Utah Division of Occupational and Professional Licensing https://dopl.utah.gov/arch/	Business organizations (e.g., sole proprietorships, partnerships, limited liability companies, or corporations) cannot be licensed under the Architects Licensing Act ("ALA").	Because business organizations cannot be licensed to practice architecture, the type of business entity formation is not regulated. Therefore, an organization may establish itself as a sole proprietorship, partnership, limited liability company, corporation, or professional corporation.
(303) 572-3100 Ninnemanm@hallevans.com	Licensing Statute – Utah Code Ann. § 58-3a-101 et seq. (Architects Licensing Act) Licensing Regulations – Utah Administrative Code R156-3a-101 et seq. Professional Corporation Act – Utah Code	It is unlawful to engage in the practice of architecture as a corporation, proprietorship, partnership, or limited liability company, unless an organization is exempted from licensure under Utah Code Ann. §§ 58-1-307 or 58-3a-304. Utah Code Ann. § 58-3a-501	Though not required, an organization can incorporate as a professional corporation ("PC") pursuant to the Professional Corporation Act ("PCA"). The PCA places unique requirements on the corporate structure. The PCA requires that a PC be incorporated for a single
	Ann. § 16-11-1 et seq.	An organization may, however, engage in the practice of architecture if the organization employs a "principal" and all individuals employed by the organization who practice architecture are licensed or otherwise exempt from licensure. For	purpose for which the subject licensure is required. The PC can provide "ancillary" services to the designated professional service. The PC may also own real and personal property necessary for rendering the professional service.
		purposes of the ALA, a principal is defined as a licensed architect who has "responsible charge" of an organization's architectural practice. Responsible charge means direct control and management of an organization's architectural practice.	Under the PCA, a PC may only issue stock to individuals who are licensed to perform the designated professional service or to those otherwise allowed under any applicable licensing act. Therefore, if an organization intends to perform architectural services but also allow non-licensed individuals to have ownership, they must organize under a different structure.

Utah	Design Professional Regulation	Firm License	Corporate Formation Requirements
	Engineering Utah Division of Occupational and Professional Licensing: https://dopl.utah.gov/eng/ Licensing Statute – Utah Code Ann. § 58-22-101 et seq. (Professional Engineers and Professional Land Surveyors Licensing Act) Licensing Regulations – Utah Administrative Code R156-22-101 et seq. Professional Corporation Act – Utah Code Ann. § 16-11-1 et seq.	Business organizations (e.g., sole proprietorships, partnerships, limited liability companies, or corporations) cannot be licensed under the Professional Engineers and Professional Land Surveyors Licensing Act (the "Act"). An organization may, however, engage in the practices of professional engineering, structural engineering or professional land surveying if the organization employs a "principal" and all individuals employed by the organization who practice such professions are licensed or otherwise exempt from licensure. For purposes of the Act, a principal is defined as a licensed professional engineer, professional structural engineer or professional land surveyor who has "responsible charge" of an organization's engineering or surveying practice. Responsible charge means being assigned to and personally accountable for an organization's production of specified professional engineering, professional structural engineering or professional land surveying projects.	Because business organizations cannot be licensed to practice professional engineering or surveying, the type of business entity formation is not regulated. Therefore, an organization may establish itself as a sole proprietorship, partnership, limited liability company, corporation, or professional corporation. Though not required, an organization can incorporate as a professional corporation ("PC") pursuant to the Professional Corporation Act ("PCA"). The PCA places unique requirements on the corporate structure. The PCA requires that a PC be incorporated for a single purpose for which the subject licensure is required. The PC can provide "ancillary" services to the designated professional service. The PC may also own real and personal property necessary for rendering the professional service. Under the PCA, a PC may only issue stock to individuals who are licensed to perform the designated professional service or to those otherwise allowed under any applicable licensing act. Therefore, if an organization intends to perform professional engineering or surveying services but also allow non-licensed individuals to have ownership, they must organize under a different structure. Utah Code Ann. § 58-22-501(3)

Vermont	Design Professional Regulation	Firm License	Corporate Formation Requirements
Author Daniel P. Valentine, Esq., PE SIMPSON GUMPERTZ & HEGER INC. 480 Totten Pond Rd. Waltham, MA 02451 dvalentine@sgh.com	Vermont Board of Architects https://governor.vermont.gov/boards and commissions/architects Licensing Statute – 26 V.S.A. § 121 et. seq. https://legislature.vermont.gov/statut es/section/26/003/00121 Licensing Regulations – CVR 04-030-020 https://regulations.justia.com/states/ vermont/aqency-04/sub-aqency- 030/chapter-020/section-04-030-020/	(a)The right to practice architecture is a personal right based on the qualities of the individual. There is no firm licensure. (b) A corporation, limited liability company, partnership, association, or individual proprietorship may furnish architectural services provided a member or employee thereof is a licensed architect and is in responsible charge of such services, and provided all plans and specifications are signed and sealed with the personal seal of the licensed architect in responsible charge. (c) A corporation, limited liability company, partnership, association, or individual proprietorship with which the architect may practice shall be jointly and severally liable with the architect for work performed.	Licensed architects may form a professional corporation under 11 V.S.A. § 801, et seq.; however, only licensed architects may own shares of the capital stock in the corporation, and the officers and directors must be licensed architects. Nothing in the law prevents regular business corporations from furnishing architectural services, so long as the employee in responsible charge of the architectural services is a licensed architect and signs and seals all plans and specifications.

Vermont	Design Professional Regulation	Firm License	Corporate Formation Requirements
Vermont	Engineering Vermont Board of Professional Engineers https://governor.vermont.gov/boards and commissions/engineering Licensing Statute — 26 V.S.A. § 1161 et. seq. https://legislature.vermont.gov/statutes/section/26/020/01161 Licensing Regulations — CVR 04-030-100 https://regulations.justia.com/states/vermont/agency-04/sub-agency-030/chapter-100/	Licensure as a professional engineer is available only to individuals, based upon the education and experience of that individual. There is no firm licensure. Licensed engineers may form partnerships, including limited liability partnerships, for the practice of professional engineering. Although not a settled question, presumably all the partners must be licensed engineers.	Licensed engineers may form a professional corporation under 11 V.S.A. § 801, et seq.; however, only licensed engineers may own shares of the capital stock in the corporation, and the officers and directors must be licensed engineers. Note that per 11 V.S.A. § 801, no person may be simultaneously an officer, director, or shareholder of more than one professional corporation. 26 V.S.A. § 1186 A corporation created after July 1, 1984, or a limited liability company, completely or in part for the practice of engineering shall incorporate as a professional corporation, or organize as a professional limited liability company. However, a corporation or a limited liability company engaged in both engineering and land surveying may allow a licensed land surveyor to exercise all of the corporate rights and duties of an engineer in that corporation or company.

Virginia	Design Professional Regulation	Firm License	Corporate Formation Requirements
Authors Stephen K. Pudner, Esq. Baker, Donelson, Bearman, Caldwell & Berkowitz, PC 1901 Sixth Ave. North, Suite 2400 Birmingham, AL 35203 (205) 250-8318 spudner@bakerdonelson.com	Architecture and Engineering Virginia Board for Architects, Professional Engineers, Land Surveyors, Certified Interior Designers and Landscape Architects (APELSCIDLA) http://www.dpor.virginia.gov/Boards/APELS Licensing Statute – Va. Code, Title 54.1, Chapter 4, et. seq. Licensing Regulations – 18 Va. Admin. Code 10-20, et. seq. Entity Statutes – Va. Code § 13.1, et. seq.	Any business entity offering or rendering professional services in Virginia shall register to do business with the Virginia State Corporation Commission (SCC) and shall register with and obtain a certificate of authority from APELSCIDLA. 18 Va. Admin. Code §§ 10-20-627 and 10-20-640; Va. Code §§ 13.1-542.1; 13.1-549; 13.1-1111; 13.1-1101.1; 54.1-406; and 54.1-411. Foreign professional limited liability companies and foreign professional services corporations may apply for a certificate of authority from APELSCIDLA after registering with the SCC. Va. Code § 13.1-1105; § 13.1-544.2. A business entity's application with APELSCIDLA must contain an affidavit from an authorized official with the business entity that all professional services of the entity shall be under "the direct control and personal supervision" of a licensed or certified professional who is an employee or principal of the entity. 18 Va. Admin. Code 10-20-650. Each licensed entity must have at least one "responsible person" at each of its places of business for each profession offered during a majority of its operating hours. 18 Va. Admin. Code 10-20-780.	Professional Corporations Stock: At least 2/3 of the professional corporation's shares must be issued to individuals licensed to render the relevant professional services. The remainder of the stock may only be issued to (1) employees of the corporation (whether or not they are licensed to render the relevant professional services), (2) to trustees of an eligible employee stock ownership plan, or to (3) a partnership each of the partners of which is licensed to render the same professional services for which the corporation was incorporated. Va. Code §§ 13.1-543, 13.1-549. Board of Directors: The board of directors must consist of at least 2/3 of individuals licensed to render the relevant professional services. The remainder of the board may consist of employees of the corporation, whether or not they are licensed. Va. Code § 13.1-553. Though foreign corporations are generally required to comply with all the provisions above, foreign professional corporations are not required to comply with the requirement that 2/3 their stockholders be licensed or certified to perform the professional service in Virginia. Va. Code § 13.1-544.2. Professional Limited Liability Companies At least 2/3 of a company's membership interests must be held by individuals who are licensed, or professional business entities legally authorized, to render the relevant service. The remaining membership interests may be held only by individuals who are employees of the company (whether or not those individuals who are employees of the company (whether or not those individuals who are employees of the company (whether or not those individuals are licensed). Va. Code § 13.1-1111. Professional limited liability companies may render professional services only through people licensed to do so in Virginia. Va. Code § 13.1-1107. A foreign LLC must meet the same requirements, except for the requirement that 2/3 of the members and managers be licensed or certified to perform the relevant professional service in Virginia. Va. Code § 13.1-1105.

Washington	Design Professional Regulation	Firm License	Corporate Formation Requirements
Authors	Architecture	All business entities, including sole proprietorships, require firm licensure—through	Generally, professional corporations and professional limited liability companies must be wholly owned by
Douglas S. Oles, Esq.	Washington State Board for Architects –	a certificate of authorization—to practice	individuals licensed to render the same professional service
Melia Preedy, Esq.	https://www.dol.wa.gov/business/archite	architecture in Washington.	as the corporation or company. Wash. Rev. Code §§
OLES MORRISON RINKER &	cts/architectlaws.html	WASH. REV. CODE § 18.08.420(1).	18.100.090, 25.15.045. However, "registered architects and
BAKER, LLP	Line and a Charles and Advance Brown Commands	Face a such that we first to a black a securification of	registered engineers may own stock in and render their
701 Pike Street, Ste 1700	Licensing Statute – WASH. REV. CODE ch.	For an architecture firm to obtain a certificate of	individual professional services through one professional
Seattle, WA 98101	18.08	authorization, it must appoint a designated architect; the designated architect must be a	service corporation." Wash. Rev. Code § 18.100.050(2).
(206) 623-3427	Licensing Regulations – WASH. ADMIN. CODE	general partner (if a partnership or limited	For architecture firms organized as an LLC or PLLC, the
oles@oles.com	ch. 308-12	liability partnership), a manager (if a limited	principal purpose and business of the firm must be the
preedy@oles.com		liability company), or a director (if a business	provision of architectural services. WASH. REV. CODE §§
	Professional Service Corporation Act:	corporation or professional service	18.190.010(1), 25.15.045. Similarly, a professional service
	Wash. Rev. Code §§ 18.100.010160	corporation), and must be personally registered	corporation shall not "engage in any business other than
		to practice architecture in Washington. WASH.	the rendering of the professional services for which it was
		REV. CODE § 18.08.420.	incorporated" WASH. REV. CODE § 18.100.080.
	Engineering	The following types of entities require firm licensure—through a certificate of	Generally, professional corporations and professional limited liability companies must be wholly owned by
	Washington State Board of Registration	authorization—to practice engineering:	individuals licensed to render the same professional service
	for Professional Engineers and Land	(1) Corporations	as the corporation or company. WASH. REV. CODE §§
	Surveyors	(2)) Joint Stock Associations	18.100.090, 25.15.045. However, "registered architects and
	https://app.leg.wa.gov/WAC/default.aspx	(3) Limited Liability Companies	registered engineers may own stock in and render their
	?cite=196-25-005	The following types of entities do not require	individual professional services through one professional
	Licensing Statute – WASH. REV. CODE ch.	firm licensure to practice engineering:	service corporation." WASH. REV. CODE § 18.100.050(2).
	18.43	(1) Professional Service Corporations(2) Professional Limited Liability Companies	For engineering firms organized as an LLC or PLLC, the
	Licensing Regulations – WASH. ADMIN. CODE	Wash. Admin. Code § 196-25-005.	principal purpose and business of the firm must be the
	tit. 196		provision of engineering services. WASH. REV. CODE §§

Washington	Design Professional Regulation	Firm License	Corporate Formation Requirements
	Professional Service Corporation Act: WASH. REV. CODE §§ 18.100.010160	Engineering firms applying for a certificate of authorization must appoint a designated engineer. The designated engineer must be an	18.190.010(1), 25.15.045. Similarly, a professional service corporation shall not "engage in any business other than the rendering of the professional services for which it was
		employee of the firm and may not serve as the professional in responsible charge for any other firm or business in the state of Washington. WASH. REV. CODE § 18.43.130	incorporated" WASH. REV. CODE § 18.100.080.

West Virginia	Design Professional Regulation	Firm License	Corporate Formation Requirements
Author Patricia A. Harris, Esq.	Architecture West Virginia Secretary of State,	Architectural/Landscape Architectural services may be offered by:	Any architecture entity shall carry out its practice under the direct supervision of a W. Varegistered architect, W. Va. Code §30-12-12(e).
ZETLIN & DE CHIARA LLP	Domestic Business Information,	(1) Sole Proprietorship	vv. va. code 930-12-12(e).
801 2nd Avenue New York NY 10017	https://sos.wv.gov/business/Pages/Start WVBus.aspx	 (2) Limited Partnership – Domestic or Foreign (3) Limited Liability Partnership ("LLP") – Domestic or 	Each office in West Virginia maintained for the preparation of architectural drawings, specifications,
(212) 682-6800		Foreign	reports or other technical submissions shall have a
pharris@zdlaw.com	Foreign Business Information,	(4) Limited Liability Company – Domestic or Foreign	registered architect regularly employed and residing in
	https://sos.wv.gov/business/Pages/RegO	(5) Professional Limited Liability Company ("PLLC") –	that office having responsible control of all work. Title
	<u>utStateBus.aspx</u>	Domestic or Foreign	2, Legislative Rule, Board of Architects. Series 1,
		(6) Corporation – Domestic, Foreign or Foreign	Registration of Architects, Rule 9.5.1.
	West Virginia Board of Architects,	Professional Corporation	
	https://brdarch.wv.gov/public/Pages/de	F 0:	Specific LLP rule:
	<u>fault.aspx</u>	Entity Organization:	 Required to carry \$1MM in professional liability insurance, W. Va. Code §47B-10-5.
	Architect Licensing Statute – W. Va. Code	File organizational documents with the West Virginia	
	Chapter 30, Article 12	Secretary of State.	Specific PLLC rules:
		T 14/ 11/2 1 1 D 1 CA 11/2 1 1 1	All members must be licensed, W. Va. Code
		The West Virginia Board of Architects registers	§31B-13-1302.
		licensed individuals but not entities.	W. Va. Code §31B-13-1304 provides the relevant regulatory board shall propose procedures for
		Despite the language in the Landscape Architect	approving PLLCs. At present, neither the Board
		Licensing Statute, W. Va. Code §30-22-19, the State	of Architects nor the Board of Landscape
		Board of Landscape Architects does not issue a	Architects has an approval process in place.
		Certificate of Authorization as part of the entity organization process.	 Required to carry \$1MM in professional liability insurance, W. Va. Code §31B-13-1305.

West Virginia De	esign Professional Regulation	Firm License	Corporate Formation Requirements
En Wo Do htt W' Fo htt ut W fo htt	resign Professional Regulation Mest Virginia Secretary of State, Mest Virginia Secretary of State, Mest Virginia Secretary of State, Metps://sos.wv.gov/business/Pages/Start Metps://sos.wv.gov/business/Pages/RegO Metps://sos.wv.gov/business/Pages/RegO Mest Virginia State Board of Registration Metps://www.wvpebd.gov/ Megineering Licensing Statute – W. Va. Medical Chapter 30, Article 13	Engineering services may be offered by: (1) Sole Proprietorship (2) Limited Partnership – Domestic or Foreign (3) Limited Liability Partnership ("LLP") – Domestic or Foreign (4) Limited Liability Company – Domestic or Foreign (5) Professional Limited Liability Company ("PLLC") – Domestic or Foreign (6) Corporation – Domestic, Foreign or Foreign Professional Corporation Engineering firms must procure a Certificate of Authorization from the Board of Registration for Professional Engineers, W. Va. Code §30-13-17, in advance of filing organizational documents with the West Virginia Secretary of State.	The Certificate of Authorization to be issued by the Board of Registration for Professional Engineers requires designation of a W. Va. licensee to be in responsible charge of the practice. Specific LLP rule: Required to carry \$1MM in professional liability insurance, W. Va. Code §47B-10-5. Specific PLLC rules: All members must be licensed, W. Va. Code §31B-13-1302. W. Va. Code §31B-13-1304 provides the relevant regulatory board shall propose procedures for approving PLLCs. At present, neither the Board of Architects nor the Board of Landscape Architects has an approval process in place. Required to carry \$1MM in professional liability insurance, W. Va. Code §31B-13-1305.

Wisconsin	Design Professional Regulation	Firm License	Corporate Formation Requirements
Authors Bryan T. Kroes, Esq. HURTADO ZIMMERMAN SC 1011 N. Mayfair Road, Suite 204 Wauwatosa, WI 53226 (414) 727-6250 bkroes@hzattys.com	Architecture Wisconsin Department of Safety and Professional Services http://dsps.wi.gov/Licenses- Permits/Architect Licensing Statutes — Wisconsin Statutes Chapter 440: Department of Safety and Professional Services Wisconsin Statutes Chapter 443: Examining Board of Architects, Landscape Architects, Professional Engineers, Designers, Professional Land Surveyors, and Registered Interior Designers Licensing Regulations — Wisconsin	The practice of architecture pertaining to the internal operations of a firm, partnership or corporation may be performed by employees if the architectural services are performed by or under the direct supervision of architects registered under Wis. Ch. 443, or under persons who are exempt under Wis. Stat. § 443.14. (Wis. Stat. § 443.08(1)(a)) Exempt persons include: 1) an employee of a person holding a certification of registration under Wis. Stat. § 443.10 who is engaged in the practice of architecture and an employee of a person temporarily exempted from registration in architecture under Wis. Ch. 443, if the practice of the employee does not include responsible charge of architecture practice; 2) officers and employees of the federal government while engaged within the state of Wisconsin in the practice of architecture or landscape architecture for the federal	There are no specific requirements in Wisconsin for the corporate formation of an architectural firm. Architectural firms are permitted to practice under all types of business entities, however, Wisconsin makes the Service Corporation business entity available for individuals who render professional or other personal services for which a license, certificate, registration or other legal authorization is required. (Wis. Ch. 180, Subchapter XIX). A shareholder, director, officer or employee of the Service Corporation is not personally liable for the debts or other contractual obligations of the Service Corporation, nor for the omissions, negligence, wrongful acts, misconduct and malpractice of any person who is not under his or her actual supervision and control in the specific activity in which the omissions,
	Designers, Professional Land Surveyors, and Registered Interior Designers	responsible charge of architecture practice; 2) officers and employees of the federal government while engaged within the state of Wisconsin in the practice of	negligence, wrongful acts, misconduct and malpractice of any person who is not under his or her actual supervision and control in the
	SPS 1 et. seq.: Safety and Professional Services	has at least one architect who is registered under Wis. Ch. 443 in responsible charge of the company or corporation's architectural work in Wisconsin; or 4) a person engaged in the manufacture of a product or unit, including a laboratory research affiliates of the person, where the services performed are the design, assembly, manufacture, sale or installation of a product or unit and the product or unit does not include a building. (Wis. Stat. §443.14 (1)(a), (2), (4)(a) & (5)).	landscape architecture, or use in connection with the person's name or otherwise assume, use or advertise any title or description that conveys the impression that the person is an architect or landscape architect, or advertise to furnish architectural or landscape architectural services unless the person has been duly registered under Wis. Stat. §443.10(1)(d). (Wis. Stat. 443.02(3))

Wisconsin	Design Professional Regulation	Firm License	Corporate Formation Requirements
		No individual architect registered under Wis. Stat. Ch.	No firm, partnership or corporation may
		443 may practice or offer to practice architecture, as a	engage in the practice of or offer to practice
		principal, officer, employee, or agent of a firm,	architecture or designing in Wisconsin, or use in
		partnership, or corporation unless: 1) all personnel who	connection with its name, or otherwise assume,
		practice or offer to practice in its behalf as architects are	use or advertise any title or description tending
		registered under Wis. Stat. Ch. 443 and 2) the firm,	to convey the impression that it is engaged in
		partnership, or corporation has been issued a certificate	the practice of architecture or designing, nor
		of authorization under Wis. Stat. § 443.3(a)(1). (Wis. Stat.	may it advertise or offer to furnish an
		§ 443.08(2)(a))	architectural or designing service, unless the
			firm, partnership, or corporation has complied
		A firm, partnership, or corporation desiring a certificate	with Wis. Ch. 443. (Wis. Stat. §443.08(5)(a)&(c))
		of authorization shall submit an application to the	
		department on forms provided by the department, listing	Any firm, partnership, or corporation using the
		the names and addresses of all officers and directors, and	word "engineering" or any of its derivatives in
		all individuals in its employment registered to practice	its name prior to April 24, 1964, shall be
		architecture or designing in Wisconsin who will be in	permitted to continue to do so and shall be
		responsible charge of architecture or designing being	permitted to use such word in any new firm,
		practiced in this state through the firm, partnership, or	partnership or corporation formed as a result of
		corporation and other relevant information required by	a reorganization of the firm, partnership or
		the architect or designer section of the examining board.	corporation, if the firm, partnership or
		If there is a change in any of these persons, the change	corporation does not practice or offer to
		shall be reported on the same type of form, and filed	practice architecture, professional engineering
		with the department within 30 days after the effective	or designing unless it complies with all other
		date of the change. The architect or designer section	applicable provisions of Wis. Ch. 443. (Wis.
		shall grant a certificate of authorization to a firm,	Stat. §443.08(6))
		partnership, or corporation complying with this	
		subsection upon payment of the initial credential fee	
		determined by the department under Wis. Stat.	
		§440.03(9)(a). (Wis. Stat. §443.08(3)(a)(1) & (3))	
		Exempt buildings from the architectural licensing	
		requirements exist for persons who make plans and	

Wisconsin	Design Professional Regulation	Firm License	Corporate Formation Requirements
		specifications for, or supervise the erection, enlargement or alteration of: 1) dwellings for single families, and outbuildings in connection with single-family dwellings, including, but not limited to, barns and private garages; 2) apartment buildings used exclusively as a residence of not more than two families; 3) buildings used exclusively for agricultural purposes; 4) temporary buildings or sheds used exclusively for construction purposes, not exceeding two stories in height, and not used for living quarters or 5) any new building containing less than 50,000 cubic feet total volume or addition to a building which results in the building containing less than 50,000 cubic feet total volume. (Wis. Stat. §443.15(1) & (2))	
	Engineering Wisconsin Department of Safety and Professional Services http://dsps.wi.gov/Licenses- Permits/Engineer Licensing Statutes – Wisconsin Statutes Chapter 440: Department of Safety and Professional Services Wisconsin Statutes Chapter 443: Examining Board of Architects, Landscape Architects, Professional Engineers, Designers, and Professional Land Surveyors	The practice of professional engineering pertaining to the internal operations of a firm, partnership or corporation may be performed by employees if the professional engineering services are performed by or under the direct supervision of professional engineers registered under Wis. Ch. 443, or under persons who are exempt under Wis. Stat. § 443.14. (Wis. Stat. §443.08(1)(b)) Exempt persons include: 1) an employee of a person holding a certification of registration under Wis. Stat. §443.10 who is engaged in the practice of professional engineering and an employee of a person temporarily exempted from registration in professional engineering under Wis. Ch. 443, if the practice of the employee does not include responsible charge of professional	There are no specific requirements in Wisconsin for the corporate formation of a professional engineering firm. Professional engineering firms are permitted to practice under all types of business entities, however, Wisconsin makes the Service Corporation business entity available for individuals who render professional or other personal services for which a license, certificate, registration or other legal authorization is required. (Wis. Ch. 180, Subchapter XIX). A shareholder, director, officer or employee of the Service Corporation is not personally liable for the debts or other contractual obligations of the Service Corporation, nor for the omissions, negligence,

wrongful acts, misconduct and malpractice of any person who is not under his or her actual supervision and control in the specific activity in which the omissions, negligence, wrongful acts, misconduct or malpractice occurred. (Wis. Stat. §180.1915) No person may offer to practice professional engineering, or use in connection with the person's name or otherwise assume, use or advertise any title or description that conveys the impression that the person is a professional
supervision and control in the specific activity in which the omissions, negligence, wrongful acts, misconduct or malpractice occurred. (Wis. Stat. §180.1915) No person may offer to practice professional engineering, or use in connection with the person's name or otherwise assume, use or advertise any title or description that conveys
in which the omissions, negligence, wrongful acts, misconduct or malpractice occurred. (Wis. Stat. §180.1915) No person may offer to practice professional engineering, or use in connection with the person's name or otherwise assume, use or advertise any title or description that conveys
where the acts, misconduct or malpractice occurred. (Wis. Stat. §180.1915) Diject to commission of ernment; 4) any ering exclusively ny or acts, misconduct or malpractice occurred. (Wis. Stat. §180.1915) No person may offer to practice professional engineering, or use in connection with the person's name or otherwise assume, use or advertise any title or description that conveys
Stat. §180.1915) oject to commission of ernment; 4) any ering exclusively ny or Stat. §180.1915) No person may offer to practice professional engineering, or use in connection with the person's name or otherwise assume, use or advertise any title or description that conveys
oject to commission of ernment; 4) any ering exclusively ny or No person may offer to practice professional engineering, or use in connection with the person's name or otherwise assume, use or advertise any title or description that conveys
commission of No person may offer to practice professional engineering, or use in connection with the person's name or otherwise assume, use or advertise any title or description that conveys
ernment; 4) any engineering, or use in connection with the person's name or otherwise assume, use or advertise any title or description that conveys
ering exclusively person's name or otherwise assume, use or advertise any title or description that conveys
ny or advertise any title or description that conveys
ally and the impression that the person is a professional
or corporation engineer, or advertise to furnish professional
o is registered engineering services unless the person has
of the company been duly registered under Wis. Stat.
work in §443.10(1)(d). (Wis. Stat. 443.02(3))
manufacture of
esearch No firm, partnership or corporation may
s performed are engage in the practice of or offer to practice
or installation of professional engineering in Wisconsin, or use in
does not include connection with its name, or otherwise assume,
(3), (4)(b) & (5)) use or advertise any title or description tending to convey the impression that it is engaged in
ered under Wis. the practice of professional engineering, nor
ce professional may it advertise or offer to furnish a
yee, or agent of professional engineering service, unless the
: 1) all personnel firm, partnership, or corporation has complied
nalf as with Wis. Ch. 443. (Wis. Stat. §443.08(5)(b))
er Wis. Stat. Ch.
ration has been
on e sold

Wisconsin Design Professional Regulation	Firm License	Corporate Formation Requirements
	issued a certificate of authorization under Wis. Stat. § 443.3(a)(2). (Wis. Stat. § 443.08(2)(b)) personnel who practice or offer to practice in its behalf as professional engineers are registered under Wis. Stat. Ch. 443 and 2) the firm, partnership, or corporation has been issued a certificate of authorization under Wis. Stat. § 443.3(a)(2). (Wis. Stat. § 443.08(2)(b)) A firm, partnership, or corporation desiring a certificate of authorization shall submit an application to the department on forms provided by the department, listing the names and addresses of all officers and directors, and all individuals in its employment registered to practice professional engineering in Wisconsin who will be in responsible charge of a professional engineering being practiced in this state through the firm, partnership, or corporation and other relevant information required by the professional engineer section of the examining board. If there is a change in any of these persons, the change shall be reported on the same type of form, and filed with the department within 30 days after the effective date of the change. The professional engineer section shall grant a certificate of authorization to a firm, partnership, or corporation complying with this subsection upon payment of the initial credential fee determined by the department under Wis. Stat. §440.03(9)(a). (Wis. Stat. §443.08(3)(a)(2))	Any firm, partnership, or corporation using the word "engineering" or any of its derivatives in its name prior to April 24, 1964, shall be permitted to continue to do so and shall be permitted to use such word in any new firm, partnership or corporation formed as a result of a reorganization of the firm, partnership or corporation, if the firm, partnership or corporation does not practice or offer to practice architecture, professional engineering or designing unless it complies with all other applicable provisions of Wis. Ch. 443. (Wis. Stat. §443.08(6))

Wisconsin	Design Professional Regulation	Firm License	Corporate Formation Requirements
		Exempt buildings from the professional engineering	
		licensing requirements exist for persons who make plans	
		and specifications for, or supervise the erection,	
		enlargement or alteration of: 1) dwellings for single	
		families, and outbuildings in connection with single-	
		family dwellings, including, but not limited to, barns and	
		private garages; 2) apartment buildings used exclusively	
		as a residence of not more than two families; 3) buildings	
		used exclusively for agricultural purposes; 4) temporary	
		buildings or sheds used exclusively for construction	
		purposes, not exceeding two stories in height, and not	
		used for living quarters or 5) any new building containing	
		less than 50,000 cubic feet total volume or addition to a	
		building which results in the building containing less than	
		50,000 cubic feet total volume. (Wis. Stats. §443.15(1) &	
		(2))	

Wyoming	Design Professional Regulation	Firm License	Corporate Formation Requirements
Author Matthew J. Ninneman, Esq. Hall & Evans, LLC 1001 17 th Street, Suite 300 Denver, Colorado (303) 572-3100 Ninnemanm@hallevans.com	Architecture Wyoming State Board of Architects and Landscape Architects ("WBALA") https://architects.wyo.gov/ Licensing Statute – Wyo. Stat. § 33-4-101 et. seq. Licensing Regulations – WBALA Rules and Regulations, Ch.1 through Ch. 12	Wyoming law does not provide for the licensing of architectural firms, licenses to practice professional architecture in Wyoming are issued on an individual basis only.	None.
	Engineering Wyoming Board of Professional Engineers and Professional Land Surveyors ("WBPEPLS") https://engineersandsurveyors.wyo.gov/ Licensing Statute — Wyo. Stat. § 33- 29-201 et. seq. Licensing Regulations — WBPEPLS Rules and Regulations, Ch. 1 through Ch. 7	Any type of business entity other than a sole proprietorship may register with the WBPEPLS as a firm to practice professional engineering in the State of Wyoming.	There are no specific requirements in Wyoming for the corporate formation of an engineering firm. Engineering firms are permitted to practice under all types of business entities. Wyoming permits the establishment of Professional Corporations. Wyo. Stat. §17-3-101 et seq. provides that a corporation organized under the Wyoming Business Corporation Act or the Wyoming Statutory Close Corporation Supplement, whose capital stock is owned exclusively by a person or persons licensed to practice a profession by the state of Wyoming or by an agency, office or instrumentality authorized by the laws of Wyoming to license individuals for the practice of such profession, may, by and through the person or persons of such licensed stockholder or stockholders, or licensed employees, practice and offer professional services in such profession.

Puerto Rico	Design Professional Regulation	Firm License	Corporate Formation Requirement
Author	Architecture	The following types of business	Corporations (whether PSCs or regular ones), LLCs, or SPs that
		entities require firm licensure to	practice architecture are governed by the Law of the Examination
Raúl Castellanos, Esq.	Puerto Rico Board of Examiners for	practice architecture:	Board of Engineers, Architects, Surveyors and Landscape
DEVELOPMENT & CONSTRUCTION	Architects and Landscape Architects-	1. Professional Services Corporations	Architects of Puerto Rico (the "Law") and the applicable chapter
Law Group, LLC	https://www.statedepartment.pr.gov/ju	("PSC") and "regular"	of the PR General Corporation Law.
PMB 443, Suite 112	ntas-examinadoras/architects-and-	Corporations formed pursuant to	
100 Grand Paseos Boulevard	landscape-architects.	the Puerto Rico General Law of	The Law requires that all such entities be dully registered in the
San Juan, Puerto Rico 00926		Corporations;	corporate registry that is maintained by the PR Department of
(787) 403-2757	Licensing Statute – 20 P.R.L.A. § 711 et	2. Limited liability companies ("LLC")	State. All owners/members/shareholders/partners of said entities
rcastellanos@devconlaw.com	seq.	formed pursuant to the Puerto	must be duly licensed as architects to render such professional
		Rico General Law of Corporations;	services within the PR jurisdiction. The architectural services can
	Licensing Rules –	3. Special Partnerships ("SP") formed	only be provided by the licensed individual. The licensed
	https://docs.pr.gov/files/Estado/Juntas%	pursuant to the Puerto Rico	professional is responsible of the entities' employees, clerical
	20Examinadoras/Arquitectos%20y%20ar	General Law of Corporations;	personal, secretaries, administrators, technicians, bookkeepers,
	quit	4. Foreign corporations or LLCs.	and other assistants that are not considered to have a license or
	ectos%20paisajistas/Base%20Legal/Reg		legal authorization to practice the profession.
	7717.pdf		
			Professional services corporation — Shall mean a corporation organized under the Puerto Rico General Corporations Act, 14
			P.R.L.A. 2601 et seq., whose sole and exclusive purpose is to
			render professional services as regulated by 20 P.R.L.A. 711—
			711z and whose stockholders are persons duly licensed in PR to
			offer the same professional services rendered by the corporation.
			P.R. Laws tit. 20, § 711a.
			https://casetext.com/statute/laws-of-puerto-rico/title-twenty-
			examining-boards-and-professional-colleges/chapter-35-board-
			of-examiners-of-engineers-architects-surveyors-and-landscape-
			architects/711a-definitions.
			The same afore stated requirements apply to a foreign entity (i.e.,
			non-PR created entity) to practice architecture in PR, with the
			addition that it must request and obtain authorization to do
			business in PR from the PR Department of State. Most of these
			procedures can be performed online.

Puerto Rico	Design Professional Regulation	Firm License	Corporate Formation Requirement
	Engineering	The following types of business	Corporations that practice professional engineering are governed
		entities require firm licensure to	by the Law of the Examination Board of Engineers, Architects,
	Puerto Rico Board of Examiners for	practice engineering:	Surveyors and Landscape Architects of Puerto Rico.
	Engineering and Surveyors –	1. Professional Services Corporations	
	https://www.statedepartment.pr.gov/ju	("PSC") and "regular"	The Law requires that all corporations be dully registered under
	ntas-examinadoras/engineers-and-	Corporations formed pursuant to	the General Law of Corporations of Puerto Rico and that all
	<u>surveyors-of-puerto-rico</u> .	the Puerto Rico General Law of	employees and agents of said corporations (that render
		Corporations;	professional services) are duly licensed as engineers or in any
	Licensing Statute – 20 P.R.L.A. § 711 et	2. Limited liability companies ("LLC")	other form legally authorized to render such professional services
	seq.	formed pursuant to the Puerto	within the jurisdiction. This does not include the employees,
		Rico General Law of Corporations;	clerical personal, secretaries, administrators, technicians,
	Licensing Rules-	3. Special Partnerships ("SP") formed	bookkeepers, and other assistants that are necessary or
	https://docs.pr.gov/files/Estado/Juntas%	pursuant to the Puerto Rico	incidental for the licensed professional to render the services.
	20Examinadoras/Ingenieros%20y%20Agr	General Law of Corporations;	
	imensores	4. Foreign corporations or LLCs.	The corporate practice of the engineering, and/or surveying
	%20de%20Puerto%20Rico/Regl 7749.pd		professions shall be allowed provided that all the shareholders
	<u>I.</u>		are licensed in their respective professions and that said
			corporation is organized as a professional corporation pursuant
			to the provisions of 20 P.R.L.A. 711—711z and 14 P.R.L.A. 2601 et
			seq. https://casetext.com/statute/laws-of-puerto-rico/title-twenty-
			examining-boards-and-professional-colleges/chapter-35-board-
			of-examiners-of-engineers-architects-surveyors-and-landscape-
			architects/711q-2-professional-corporations
			architects//114-2-professional-corporations
			Professional corporation — Shall mean a corporation organized
			under the Puerto Rico General Corporations Act, §§ 2601 et seq.
			of title 14, and as provided in the latter, whose sole and exclusive
			purpose is to render professional services as regulated by §§
			711—711z of title 20 and whose stockholders are persons duly
			licensed in Puerto Rico, to offer the same professional services
			rendered by the corporation.
			.,
			The same afore stated requirements apply to a foreign entity (i.e.,
			non-PR created entity) to practice architecture in PR, with the

Puerto Rico	Design Professional Regulation	Firm License	Corporate Formation Requirement
			addition that it must request and obtain authorization to do
			business in PR from the PR Department of State.
			Most of these procedures can be performed online.
			No corporation organized and incorporated under the Puerto Rico General Corporations Act may render professional services except through officials, employees or agents who are duly licensed or otherwise legally authorized to render said professional services within this jurisdiction. However, this provision shall not be construed to include within the term "employee", such clerical, secretarial, administrative, book-keeping and technical personnel and other assistants that are neither legally nor according to use or custom, deemed as having to hold a license or legal authorization to practice their profession. No person shall, under the pretext of being an employee of a professional corporation, practice a profession unless he/she is duly licensed to do so pursuant to the laws of this jurisdiction. 20 P.R.L.A. 711a. https://casetext.com/statute/laws-of-puerto-rico/title-twenty-examining-boards-and-professional-colleges/chapter-35-board-of-examiners-of-engineers-architects-surveyors-and-landscape-architects/711a-definitions.